

CAPITOL REGION COUNCIL OF GOVERNMENTS



UPDATED - Regional Capability Assessment

Summary Report

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Prepared by:

Tetra Tech EM Inc.



240 Continental Drive, Ste. 200
Newark DE, 19713



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APPENDICES

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APPENDIX B – Updated P-CAT Assessment Results

APPENDIX C – Target Capability POETE Analysis

APPENDIX D – Work Group Members



ACRONYMS

A	
ACC	Alternate care capacity
ACFs	Alternative Care Facilities
AHC	All Hands Consulting
AHJ	Authority Having Jurisdiction
AMTS	alternative medical treatment sites
B	
BERM	Bioterrorism and Epidemic Outbreak Response <i>Model</i>
BZPP	buffer zone protection plan
C	
C/ACAMS	Constellation/Automated Critical Asset Management System
CBRNE	Chemical, biological, radiological, nuclear, and/or explosive
CDC	Center for Disease Control
CERT	Citizens Emergency Response Team
CI/KR	Critical Infrastructure and Key Resource
COG	Council of Governments
COM-L	Communications Unit Leader
COOP	continuity of operations plans
CRCOG	Capitol Region Council of Governments
CREPC	Capitol Region Emergency Planning Committee
CRI	Cities Readiness Initiative
CTDPH	Connecticut Department of Public Health
CTIC	Connecticut Intelligence Center
D	
DEMHS	Department Emergency Management and Homeland Security
DHS	U.S. Department of Homeland Security
DMA	Disaster Mitigation Act
DMAT	Disaster Medical Assistance Team
DOD	Department of Defense
DPH	Department of Public Health

E	
EAS	Emergency Alert System
EMAC	Emergency Management Assistance Compact
EMS	Emergency Medical Service
EOC	Emergency Operations Centers
EPIW	Emergency Public Information and Warning
F	
FBI	Federal Bureau of Investigation
FEMA	Federal Emergency Management Agency
FMOP	Forward Movement of Patients Plan
G	
GIS	Geographic Information System
H	
HazMat	hazardous materials
HIRA	hazard identification and risk assessment
HSEEP	Homeland Security Exercise and Evaluation Program
HS GP –	Homeland Security Grant Program
HVA	Hazard Vulnerability Analysis
HVA-Lite	Hazard and Vulnerability Assessment-Lite
I	
ICALL	Interoperable Mutual Aid Calling Channel
ICS	Incident Command System
IMT	Incident Management Team
ITAC	Interoperable Mutual Aid Tactical Channel
J	
JIC	Joint Information Center
JIS	Joint Information System
JTTF	Joint Terrorism Task Force
L	
LHD	local health departments
M	
MAC	Multi-Agency Coordination



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MACS	Multi- Agency Coordination System
MCI	Mass Casualty Incident
MDA	mass dispensing areas
MDTs	Mobile data terminals
Med surge	medical surge
MHz	megahertz
MMRS	Metropolitan Medical Response System
MOU	Memorandum Of Understanding
MRC	Medical Reserve Corps
N	
NDMS	National Disaster Management System
NGOs	Non- government organizations
NIMS	National Incident Management System
O	
OPLAN	operations plan
P	
P-CAT	Pilot Capability Assessment Tool
PIO	public information officer
POD	point of distribution
POETE	plans, organization (people and structure), equipment, training, and exercises
PPE	Personal Protective Equipment
PSAP	Public Safety Answering Points
PSIC	Public Safety Interoperable Communications
R	
RCC	Regional Coordination Center
RED	Regional Emergency Deployment
RESF	Regional emergency support function
RICS	Regional Integrated Coordination System
RIPC	Regional Improvement Planning Conference

ROL	Restoration of Lifeline
S	
SAA	State Administrative Agency
SAR	Search And Rescue
SCIP	Statewide Communications Interoperability Plan
SIP	Shelter in Place
SMART	Specific, Measurable, Actionable, Relevant, and Timely
SNS	Strategic National Stockpile
SOPs	Standard Operating Procedures
SPR	State Preparedness Report
STOCS	Statewide Tactical On- Scene Communication System
T	
TC	target capabilities
TCL	Target Capabilities List
TEPW	Training and Exercise Planning Workgroup
Tetra Tech	Tetra Tech EM Inc.
TICP	Tactical Interoperability Communications Plan
TTX	Table Top Exercise
U	
UASI	Urban Area Security Initiative
UAWG	Urban Area Workgroup
UDT	underwater demolition team
USAR	Urban Search and Rescue
USMA	United States Military Academy
V	
VMD	Volunteer Management and Donations
VOAD	voluntary organizations active in disaster
W	
WebEOC	
WMD	Weapons of Mass Destruction



1.0 INTRODUCTION

In 2009, the Capitol Region Council of Governments (CROG), an organization representing homeland security interests (on behalf of the Capitol Region Emergency Planning Committee - CREPC), engaged with Tetra Tech EM Inc. (Tetra Tech) to perform a programmatic capability assessment and gap analysis for the Hartford, Connecticut metropolitan area. The purpose of that engagement was to assist the Hartford Urban Area Security Initiative (UASI), covering and also known as DEMHS Region 3 (hereafter referred to as "the Region") in developing a prioritized list of preparedness investments.

That effort culminated in a report delivered in December 2009 and represented a two-step process. The first step was to rate the Region's capabilities in each of the U.S. Department of Homeland Security's (DHS) Target Capabilities (TC). Second, working in conjunction with the Urban Area Working Group (UAWG), Tetra Tech identified a prioritized set of TCs and recommended specific projects for the CROG to implement over a three-year timeframe.

In 2011, the CROG tasked Tetra Tech with updating the original capability assessment in order to highlight programmatic gains or continuing challenges that would help guide future investment and planning efforts. This report highlights those changes and provides specific recommendations concerning funding and exercise priorities as well as planning efforts.

2.0 UPDATED REGIONAL RISK ASSESSMENT

In continuation with the original Capability Assessment, this report utilizes an abbreviated hazard identification and risk assessment (HIRA) process developed by Tetra Tech called Hazards and Vulnerability Assessment-Lite (HVA-Lite). Tetra Tech has updated the original HVA-Lite released with the 2009 capability assessment to incorporate new hazard occurrences and any new predictors concerning probability and impact. In addition, in November of 2010, FEMA released a new planning guideline entitled CPG 101: Developing and Maintaining Emergency Operations Plans. Within this guide, several additional hazards were identified as being worthy of inclusion within the HVA-Lite. To this end, the HVA-Lite now includes the analysis of threats related to an Airline Crash, School Violence, Power Failure, Train Derailment, Urban Conflagration and Sabotage. The results from the HVA-Lite analysis are included in **Appendix A – Hazard Vulnerability Assessment-Lite Results**.

3.0 UPDATED ASSESSMENT METHODOLOGY

Because of HVA-lite, the CREPC was able to engage in a capability assessment and gap analysis keenly focused on the most probable and likely highest-impact threats to the Region. As such, the process of developing stakeholder consensus for needed investments requires less work as investment objectives are based on empirical evidence, collaboration, and complete process transparency.

In updating CREPC's capability assessment, Tetra Tech relied on the Pilot-Capability Assessment Tool (P-CAT), developed by DHS in 2007. The tool is designed to evaluate each of the critical tasks in the DHS TCL. This report presents the DHS outcome statements for individual TC. These outcome statements discuss a broad capability, which are built from several individual capability-specific measures. As was done with the original assessment, the following TCs were eliminated from the assessment due to their incompatibility with CREPCs mission:

- Counter-Terrorism Investigation and Law Enforcement
- Food and Agriculture Safety and Defense



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- Epidemiological Surveillance and Investigation
- Laboratory Testing
- Fatality Management
- Animal Disease Emergency Support
- Environmental Health
- Isolation and Quarantine
- Structural Damage Assessment

To assess each of the remaining 27 applicable TCs, Tetra Tech once again divided the TCs amongst six work groups; each comprised of stakeholders from the Regional emergency support function (RESF) committees:

- Health and Medical
- Public Safety Communications
- Law Enforcement
- Critical Infrastructure/Public Private Partnership
- Fire Services & Hazardous Materials
- Emergency Management

An additional workgroup, representing the entire Urban Area Working Group (UAWG) was used to evaluate generic TCs such as Planning and Cross-Cutting Measures.

Tetra Tech facilitated work group discussions that re-evaluated TC critical tasks in the context of the original capability assessment. The scale used to score each evaluated TC is included as **Table 1** below.

TABLE 1: P-CAT SCORING MATRIX

Level of Event Factors												
<ul style="list-style-type: none"> The Region may not have adequate resources to sustain a response to the incident Local resources are or will become depleted, while the population continues to experience typical emergencies The incident has a measurable impact throughout the Region in terms of threat to the population and economy of the Region The Region has or will be declared a state of emergency by the governor and will lead to a Federal Disaster declaration 												
Measurement Scale Directions												
For each measure, please select the value on the scale that best represents how much progress your Region has made with regard to the objective or criterion described by the question. "Progress" means development, advancement, or evolution in the ability to fulfill the objective or criterion. This table provides explanations and examples to help you use the scale. These descriptions may not fit your jurisdiction exactly, but should help you judge your progress accurately.												
Label	No Progress	Limited Progress			Moderate Progress			Substantial Progress			Objective Achieved	Not Applicable
Explanation	Score of 0: indicates that, while this aspect of the capability is applicable to the Region, no progress has been made towards achieving the identified objective. This may be because there has been no activity in this area, or because insurmountable barriers exist.	Low – mid range: <ul style="list-style-type: none"> Preliminary efforts have been initiated. Needs related to this objective have been recognized and the Region is beginning to identify requirements in this area. Few if any steps have been implemented successfully so far. Mid – upper range: <ul style="list-style-type: none"> Region has analyzed needs, understands requirements, and has taken specific steps toward achieving the objective. Steps may include initial plans to develop this aspect of the capability, allocation of resources, and identification personnel responsible for achievement of the objective. 			Low – mid range: <ul style="list-style-type: none"> Significant efforts are under way but the objective has not yet been fulfilled. Important gaps remain. Challenges that could potentially undermine achievement exist and have not yet been resolved. Mid – upper range: <ul style="list-style-type: none"> Significant efforts are under way and specific examples of progress in this area can be identified. Strategies for closing gaps and overcoming barriers to success are being developed and initiated. 			Low – mid range: <ul style="list-style-type: none"> Efforts to achieve this objective are established and stable. Some weaknesses or barriers that prevent success persist, but strategies to resolve them are documented and well under way. Mid – upper range: <ul style="list-style-type: none"> Efforts in this area are mature. Few gaps or barriers to success remain. None are significant. Evidence documenting this level of progress is readily available. Evidence may include After Action Reports from exercises or events where this aspect of capability was demonstrated. 			Score of 10: indicates that the Region has fully achieved this objective with regard to the capability. All barriers to success have been overcome. Strengths are robust and likely to be sustained. Evidence is readily available attesting to this level of achievement.	The aspect of the capability that this question describes is not applicable to the Region.
Scale Value	0	1	2	3	4	5	6	7	8	9	10	N/A



4.0 UPDATED ASSESSMENT RESULTS

4.1 WORKGROUPS

Although each TC and its sub-elements is revisited and updated with current scores, changes highlighted by the updated assessment are more easily distinguished on a broader scale. To that end, the results and trends of each TC have been grouped and presented within the context of their associated workgroups. This presentation more easily reflects the organization of CREPC and its preparedness activities. The remainder of this section is dedicated to the presentation of a SWOT analysis for each of the workgroups, followed by summarized P-CAT scores and trends identified by the Tetra Tech planners and analysts who facilitated the workgroup discussions and reviewed the results of the capability assessment. Where applicable, certain TCs are represented in multiple groups. Complete scoring of each TC is included in **Appendix B – Updated P-CAT Assessment Results**.

WORK GROUPS:

Emergency Management

- EOC Management
- Resource Management
- Citizen Evacuation & Shelter-in-Place
- Community Preparedness and Participation
- Structural Damage & Mitigation Assessment
- Critical Resource Logistics and Distribution
- Mass Care

Public Safety Communications

- Communications
- Public Information & Warning
- Intelligence and Information Sharing and Dissemination

Law Enforcement

- Information Gathering/Indicators
- Intelligence Analysis
- Intelligence and Information Sharing and Dissemination
- Public Safety and Security Response
- Explosive Device Response Operations
- Onsite Incident Management

Fire Services & Hazardous Materials

- CBRNE Detections
- Explosive Device Response
- WMD/HazMat Response & Decontamination
- Onsite Incident Management
- Responder Health & Safety
- Firefighting Operations and Support
- Urban Search and Rescue

Public Health, Health Care & Emergency Medical

- Medical Surge
- Mass Prophylaxis
- Medical Supplies
- Pre-Hospital Treatment
- Volunteer Management

Critical Infrastructure/Public Private Partnership

- Restoration of Lifelines
- Economic and Community Recovery
- Risk Management
- Critical Infrastructure Protection

Urban Area Working Group

- Planning
- Cross-cutting Measures

4.2 EMERGENCY MANAGEMENT

S

Strengths

- Good regional construct and adequate funding for planning initiatives.
- Fully functioning Regional Coordination Center
- Sufficient buy-in from local governments to the RCC concept and its application

W

Weaknesses

- Current ability to engage the public in preparedness activities
- No real mechanism for the Critical Distribution of Logistics
- Capabilities inherent at the local level are not necessarily reflected in the Regional construct
- Lack of clear definition with DEMHS regarding regional role and responsibilities

O

Opportunities

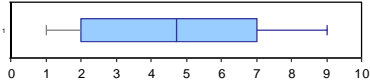
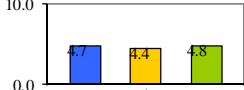
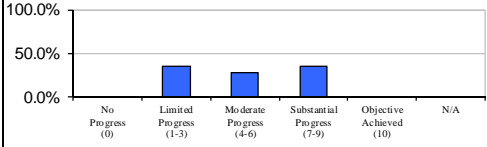
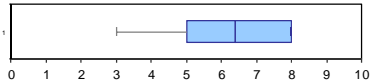
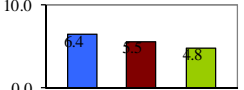
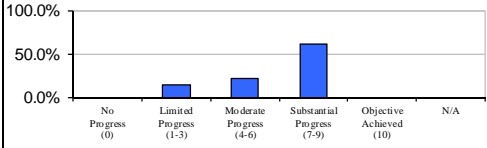
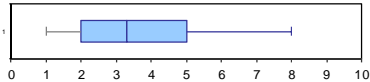
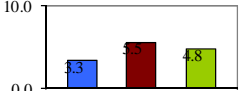
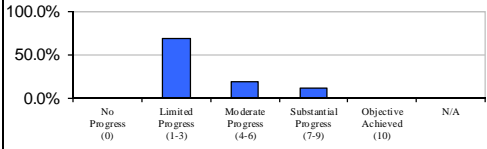
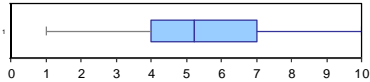
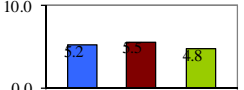
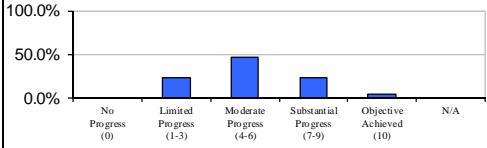
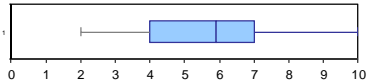
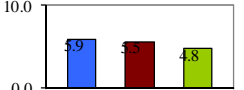
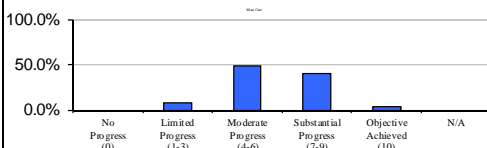
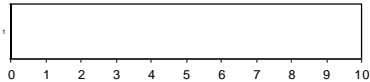
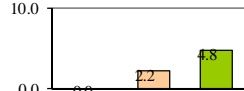
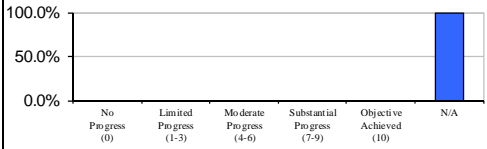
- CPG-101 provides an opportunity to standardize the planning process amongst local jurisdictions
- Whole Community Framework provides additional guidance on planning and response priorities
- Whole Community Framework's Center's of Gravity, closely align with PPD – 8 and potential future grant guidance

T

Threats (Challenges)

- No regional mandate introduces confusion as to overlapping roles and responsibilities
- Reduction in funding due to potential loss of UASI status
- State interference or by-passing of regional coordination center if UASI status is lost

UPDATED EMERGENCY MANAGEMENT DATA SUMMARY

Capability	Average Score	Range	Average Scores Comparison	Distribution of Measure Responses
Community Preparedness and Participation	4.7 Moderate Progress ■ ■ ■ □ □		Capability Average Compared to the Common Mission Area and All Target Capabilities 	
Emergency Operations Center Management	6.4 Moderate Progress ■ ■ ■ □ □		Capability Average Compared to the Protect Mission Area and All Target Capabilities 	
Critical Resource Logistics and Distribution	3.3 Limited Progress ■ ■ □ □ □		Capability Average Compared to the Protect Mission Area and All Target Capabilities 	
Citizen Evacuation and Shelter-In-Place	5.2 Moderate Progress ■ ■ ■ □ □		Capability Average Compared to the Protect Mission Area and All Target Capabilities 	
Mass Care	5.9 Moderate Progress ■ ■ ■ □ □		Capability Average Compared to the Protect Mission Area and All Target Capabilities 	
Structural Damage and Mitigation Assessment	Incomplete		Capability Average Compared to the Recover Mission Area and All Target Capabilities 	



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TRENDS – Emergency Management

Regional emergency management is largely a function of the Regional Coordination Center (RCC) and CRCOG and CREPC planning efforts. In addition, the regional incident management team (IMT) provides a platform for integrating many of the plans and resources gathered by the region. However, the Region still struggles with the role of the RCC in relation to the State. While the RCC has many capabilities, the lack of a State mandate leads to confusion and blunts the effectiveness of the organization.

No regional process exists for critical resource logistics outside of a select group of medical supplies and pharmaceuticals. Critical Resource Logistics would rely upon the Logistics Section within and on-scene Incident Command deployment, local EOCs or the RCC to facilitate.

The Region has determined that Structural Damage and Mitigation Assessment is strictly a local issue.

Although work is underway to greatly expand public participation and preparedness, more outreach is needed. Some programs such as CERT and the Medical Reserve Corps offer training programs; however, more can be done to assimilate existing groups such as NGOs and faith-based organizations.

4.3 PUBLIC SAFETY COMMUNICATIONS

S

Strengths

- Many aspects of public safety communications are successfully conducted on a routine basis
- Multiple technologies are in place to facilitate communications
- Strong technical knowledge exists within the organization
- Regional Incident Dispatch (RID) Team in place to assist incident communications and local PSAPs

W

Weaknesses

- Law enforcement procedures create silos for information flow
- Information sharing related to intelligence does not occur horizontally amongst local jurisdictions
- Current tactical interoperable communications plan addresses voice only, more robust plan needed

O

Opportunities

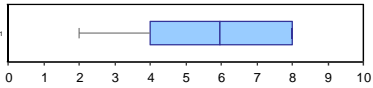
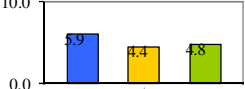
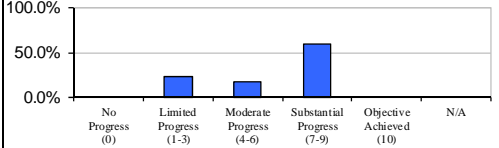
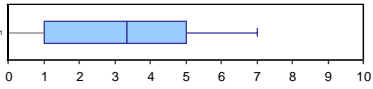
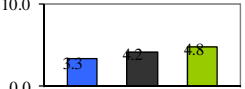
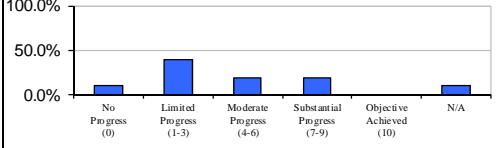
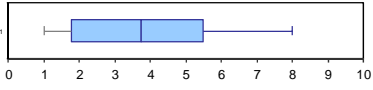
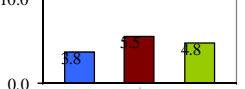
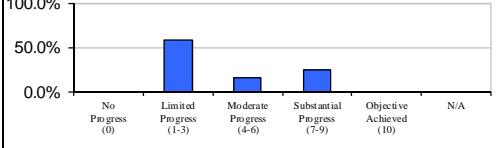
- Advanced technologies exist allowing for greater coverage, reliability and interoperability.
- In all likelihood, communications will remain a priority for DHS funding.
- SAFECOM allows for a baseline of standardization and interconnectivity.

T

Threats (Challenges)

- Currently, homeland security intelligence information sharing from the State is limited in scope.
- Reduction of funding or UASI status could remove impetus for costly hardware purchases in the name of standardizing communications
- No regional ability exists to establish a JIC or other source of synchronizing public information. The Region relies on the State for this function.

UPDATED PUBLIC SAFETY COMMUNICATIONS DATA SUMMARY

Capability	Average Score	Range	Average Scores Comparison	Distribution of Measure Responses
Communications	5.9 Moderate Progress ■ ■ ■ ■ □		Capability Average Compared to the Common Mission Area and All Target Capabilities 	
Intelligence/Information Sharing and Dissemination	3.3 Limited Progress ■ ■ □ □ □		Capability Average Compared to the Prevent Mission Area and All Target Capabilities 	
Emergency Public Information and Warning	3.8 Limited Progress ■ ■ □ □ □		Capability Average Compared to the Protect Mission Area and All Target Capabilities 	

TRENDS – Public Safety Communications

Advanced communications technology exists within The Region including the potential for multiple redundant systems. However, the tactical interoperable communications plan (TIC-P) is in need of revision and has not been operationally tested. A TTX was conducted in 2008 but the AAR/IP has never been completed. Furthermore, regional platforms for information sharing such as the State's WebEOC system are not widely used.

While systems exist to facilitate information sharing with the public, The Region must rely upon the State or activation by the IMT to establish a Joint Information Center (JIC).

4.4 LAW ENFORCEMENT

S

Strengths

- Numerous local departments
- All law enforcement personnel have received awareness level training on intelligence gathering and CBRNE
- Multiple specialty teams such as the SWAT Team, dive team and bomb squad provide for robust operational capabilities

W

Weaknesses

- Law enforcement agencies operate independently and lack formalized horizontal communication
- Law enforcement has traditionally operated within silos and is not fully integrated with other emergency response elements

O

Opportunities

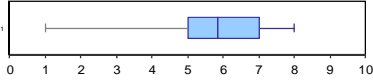
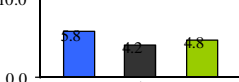
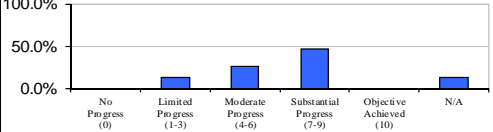
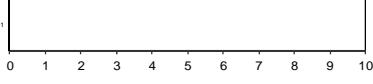
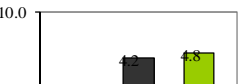
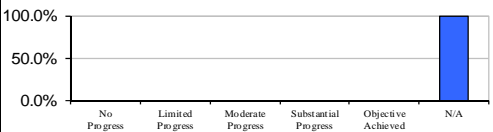
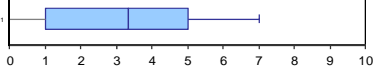
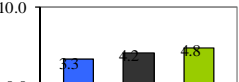
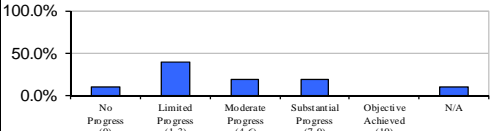
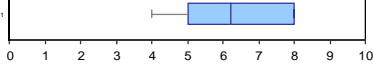
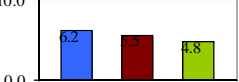
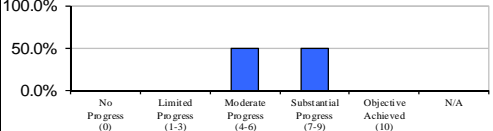
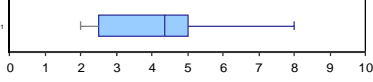
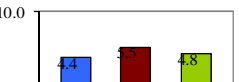
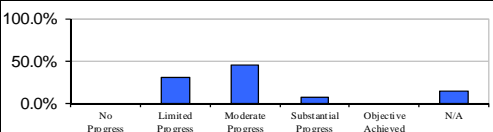
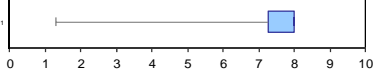
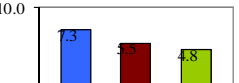
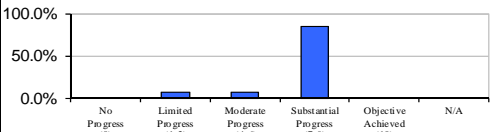
- Interoperability based upon NIMS typing and equipment standards ensure rapid integration of mutual aid resources from outside the region

T

Threats (Challenges)

- Analysis of potential threat information is done at the State level and is currently only delivered once a week
- UASI status is in doubt and funding opportunities may decrease
- CIKR data is not released to local governments

UPDATED LAW ENFORCEMENT DATA SUMMARY

Capability	Average Score	Range	Average Scores Comparison	Distribution of Measure Responses
Information Gathering and Recognition of Indicators and Warning	5.8 Moderate Progress ■ ■ ■ ■ □		Capability Average Compared to the Prevent Mission Area and All Target Capabilities 	
Intelligence Analysis and Production	Incomplete		Capability Average Compared to the Prevent Mission Area and All Target Capabilities 	
Intelligence/Information Sharing and Dissemination	3.3 Limited Progress ■ ■ □ □ □		Capability Average Compared to the Prevent Mission Area and All Target Capabilities 	
Onsite Incident Management	6.2 Moderate Progress ■ ■ ■ ■ □		Capability Average Compared to the Protect Mission Area and All Target Capabilities 	
Public Safety and Security Response	4.4 Moderate Progress ■ ■ ■ ■ □		Capability Average Compared to the Protect Mission Area and All Target Capabilities 	
Explosive Device Response Operations	7.3 Substantial Progress ■ ■ ■ ■ □		Capability Average Compared to the Protect Mission Area and All Target Capabilities 	



CAPITOL REGION COUNCIL OF GOVERNMENTS UPDATED - Regional Capability Assessment Summary Report

TRENDS – Law Enforcement

The regional law enforcement community is challenged to identify their information collection and sharing role in relation to the State and the Fusion Center. While all law enforcement agencies have the ability to gather information and send it to the State, very little information comes back with the exception of weekly bulletins from the Connecticut Intelligence Center (CTIC). Regional law enforcement recognizes that intelligence analysis is the responsibility of the State or Fusion Center and is dependent upon timely notification should a real-time threat emerge.

Horizontal information sharing amongst law enforcement agencies remains ad hoc with no formalized system and relies mostly on word of mouth. This is equally true for information sharing with other service groups such as fire and emergency medical. Integration of law enforcement with a regional response would rely heavily on on-scene incident command system (ICS) or the Regional Coordination Center (RCC).

Law enforcement operational capabilities have received upgrades with the purchase of new equipment for the bomb squad, dive team and SWAT team. However, regional planning for specialized law enforcement issues such as inmate sheltering is still in the early stages. Likewise, little regional planning has been done to address law enforcement operational issues such as setting up staging areas and demobilization, however, it is believed that these issues should be done as part of the ICS process and are mentioned in the IMT standard operating procedures (SOP).

4.5 FIRE SERVICES & HAZARDOUS MATERIALS

S

Strengths

- Strong understanding and usage of ICS including the development of Incident Action Plans (IAP)
- Robust usage of specialty teams such as HazMat, bomb squad and search and rescue
- Regional Fire Task Forces

W

Weaknesses

- Law enforcement assets normally relied upon to secure an incident may not be available during a widespread emergency
- No formal horizontal information sharing platform among fire departments or related partners such as emergency medical services, hospitals or law enforcement

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Opportunities

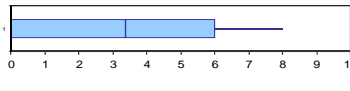
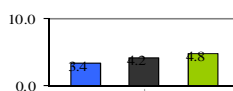
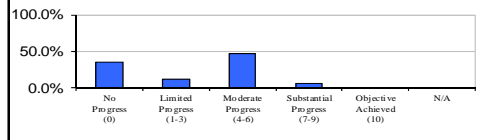
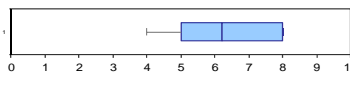
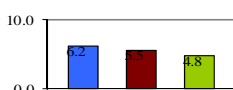
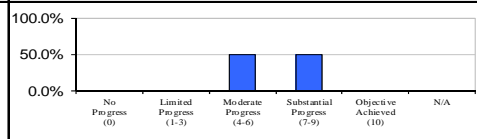
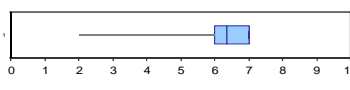
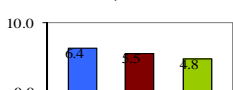
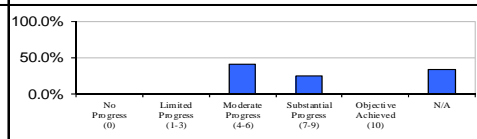
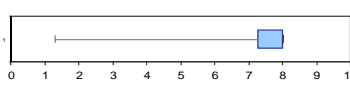

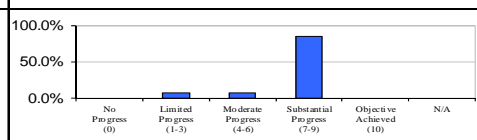
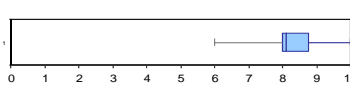
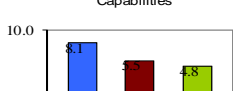
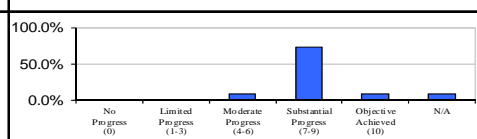
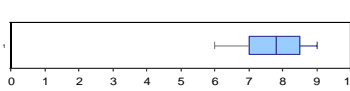
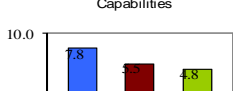
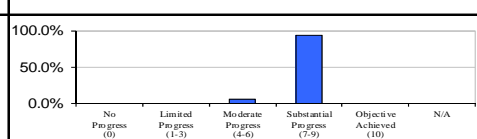
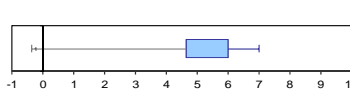
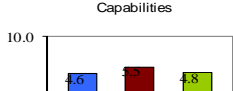
- Interoperability based upon NIMS typing and equipment standards ensure rapid integration of mutual Aid resources from outside the region

T

Threats (Challenges)

- Loss of UASI status could reduce funding used to maintain specialty teams

UPDATED FIRE SERVICES AND HAZARDOUS MATERIALS DATA SUMMARY

Capability	Average Score	Range	Average Scores Comparison	Distribution of Measure Responses
CBRNE Detection	3.4 Limited Progress ■ ■ ■ □ □ □		Capability Average Compared to the Prevent Mission Area and All Target Capabilities 	
Onsite Incident Management	6.2 Moderate Progress ■ ■ ■ ■ □ □		Capability Average Compared to the Protect Mission Area and All Target Capabilities 	
Responder Safety and Health	6.4 Moderate Progress ■ ■ ■ ■ □ □		Capability Average Compared to the Protect Mission Area and All Target Capabilities 	
Explosive Device Response Operations	7.3 Substantial Progress ■ ■ ■ ■ ■ □		Capability Average Compared to the Protect Mission Area and All Target Capabilities 	
Firefighting Operations/Support	8.1 Substantial Progress ■ ■ ■ ■ ■ □		Capability Average Compared to the Protect Mission Area and All Target Capabilities 	
WMD/ Hazardous Materials Response and Decontamination	7.8 Substantial Progress ■ ■ ■ ■ ■ □		Capability Average Compared to the Protect Mission Area and All Target Capabilities 	
Urban Search and Rescue	4.6 Moderate Progress ■ ■ ■ ■ □ □		Capability Average Compared to the Protect Mission Area and All Target Capabilities 	



CAPITOL REGION COUNCIL OF GOVERNMENTS UPDATED - Regional Capability Assessment Summary Report

TRENDS – Fire Services & Hazardous Materials

The Fire service continues to be the most proficient response group in utilizing ICS for on-scene incidents. This includes the development of an Incident Action Plan (IAP) and related management issues such as rehabilitation, mutual aid resources, staging areas and demobilization. More attention is needed to integrate other groups into this process, including the use of non-governmental organizations (NGO).

Together with local personnel and equipment resources, firefighting capabilities are robust and routinely utilized and exercised. This high level of capability extends into the realm of HazMat response where advanced training and SOPs exist. Existing explosive device response has been supplemented by several purchases, including remote operated vehicles, SWAT vehicles and dive team equipment. Search and Rescue operations are more integrated into regional plans; however, they rely mostly on SOPs and operations level planning. As before, regional capabilities extend only to search and rescue rather than specialized urban search and rescue (USAR) teams, which include specialized structure collapse training and resources.

The region has shown extensive gains in CBRNE detection and response due to training efforts and more robust SOPs. However, consistent with the previous assessment, no formal monitoring occurs at CIKR sites with the possible exception of hospitals, which may have radiation portal monitors. This includes searching people at critical transportation hubs or border crossings. CRCOG believes high value CBRNE transportation risks may be tracked at the Fusion Center and does not pursue it as a regional capability.

4.6 PUBLIC HEALTH, HEALTH CARE & EMERGENCY MEDICAL

S

Strengths

- Hospitals adhere to separate requirements ensuring at least 72 hours of self sufficiency as well as medical surge and decontamination capabilities
- All response personnel including emergency medical technicians have received awareness level training for CBRNE
- Active Local Public Health planning component

W

Weaknesses

- Limited ability to manage the distribution of critical medical supplies
- No efficient way to manage or credential large numbers of healthcare volunteers
- Lack of defined roles and responsibilities for Regional coordination activities

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Opportunities

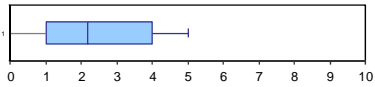
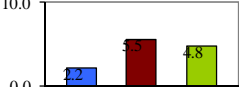
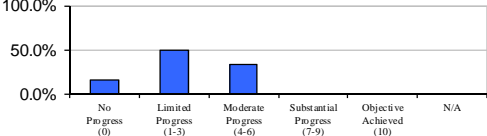
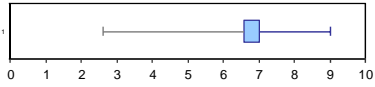
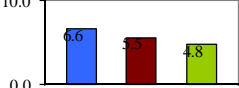
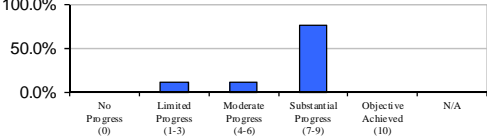
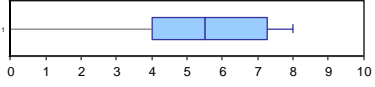
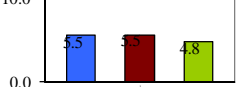
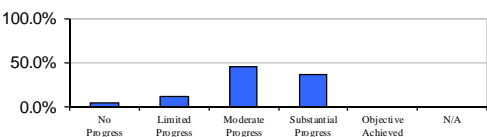
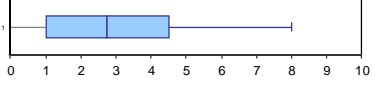
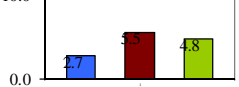
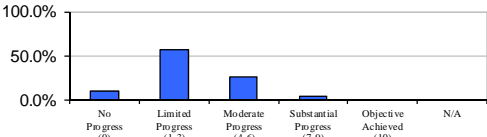
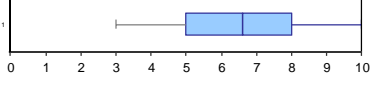
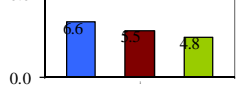
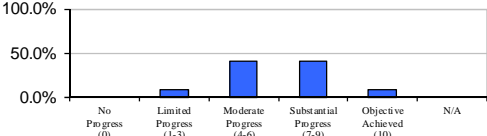
- External grants such as the Department of Health and Human Services Office of the Assistant Secretary for Preparedness and Response (ASPR) provide additional funding streams for hospitals and public health
- Numerous healthcare organizations in the region ensure a large pool of potential volunteers

T

Threats (Challenges)

- No significant threat identified

UPDATED PUBLIC HEALTH, HEALTH CARE AND EMERGENCY MEDICAL DATA SUMMARY

Capability	Average Score	Range	Average Scores Comparison	Distribution of Measure Responses
Volunteer Management and Donations	2.2 Limited Progress ■ ■ ■ ■ ■		Capability Average Compared to the Protect Mission Area and All Target Capabilities 	
Triage and Pre-Hospital Treatment	6.6 Moderate Progress ■ ■ ■ ■ ■		Capability Average Compared to the Protect Mission Area and All Target Capabilities 	
Medical Surge	5.5 Moderate Progress ■ ■ ■ ■ ■		Capability Average Compared to the Protect Mission Area and All Target Capabilities 	
Medical Supplies Management and Distribution	2.7 Limited Progress ■ ■ ■ ■ ■		Capability Average Compared to the Protect Mission Area and All Target Capabilities 	
Mass Prophylaxis	6.6 Moderate Progress ■ ■ ■ ■ ■		Capability Average Compared to the Protect Mission Area and All Target Capabilities 	



CAPITOL REGION COUNCIL OF GOVERNMENTS UPDATED - Regional Capability Assessment Summary Report

TRENDS – Public Health, Healthcare & Emergency Medical

The region maintains a robust Public Health and Medical infrastructure.

Recent capability gains have been made in the area of Long Term Care Facility planning including evacuation and transportation plans.

The Medical Reserve Corps (MRC) maintains 72 hours of supplies although a specialized risk assessment is needed to confirm the metrics utilized to arrive at this number and there is no formalized process for their distribution.

The Region currently lacks sufficient personnel within the area and would likely require outside resources or volunteers. Likewise, the Region currently lacks an effective way to coordinate medical volunteers.

4.7 CRITICAL INFRASTRUCTURE/PUBLIC PRIVATE PARTNERSHIP

S

Strengths

- The Region has an updated HVA-Lite to assist in risk management review and analysis activities
- The Whole Community framework provides specific metrics for the Region to base improvements upon

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Weaknesses

- The Region has not focused on recovery elements including economic recovery
- Lack of defined Regional role in recovery process

O

Opportunities

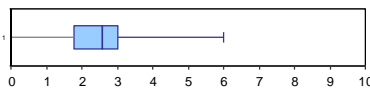
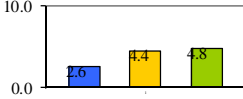
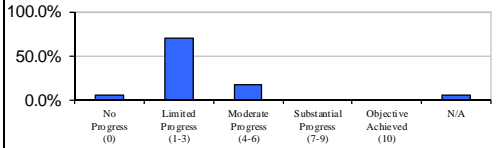
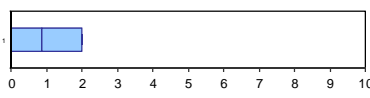
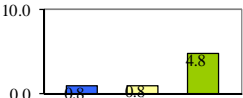
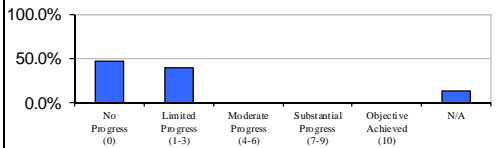
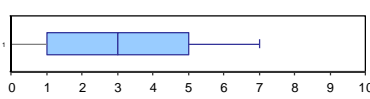
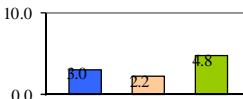
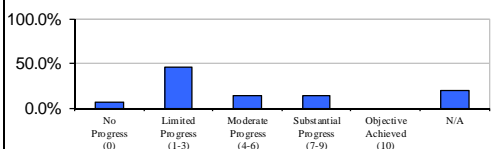
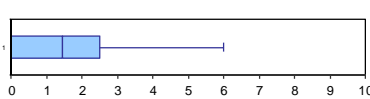
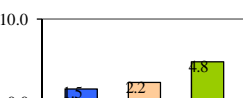
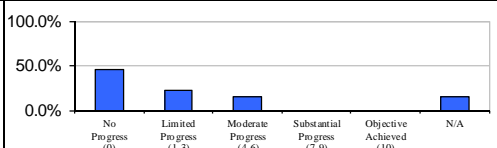
- Utilities have strong business continuity programs and a vested interest in timely recovery
- Most CI protection activities are handled by the State
- Work more closely with the State to assist in the collection CIKR information which may help in retaining UASI status

T

Threats (Challenges)

- The State will not divulge CI information to the Region, thereby impeding any planning activities by the Region

UPDATED CRITICAL INFRASTRUCTURE/PUBLIC PRIVATE PARTNERSHIP DATA SUMMARY

Capability	Average Score	Range	Average Scores Comparison	Distribution of Measure Responses
Risk Management	2.6		<p>Capability Average Compared to the Common Mission Area and All Target Capabilities</p> 	
Critical Infrastructure Protection	0.8		<p>Capability Average Compared to the Protect Mission Area and All Target Capabilities</p> 	
Restoration of Lifelines	3.0		<p>Capability Average Compared to the Recover Mission Area and All Target Capabilities</p> 	
Economic and Community Recovery	1.5		<p>Capability Average Compared to the Recover Mission Area and All Target Capabilities</p> 	



CAPITOL REGION COUNCIL OF GOVERNMENTS UPDATED - Regional Capability Assessment Summary Report

TRENDS – Critical Infrastructure/Public Private Partnership

Little improvement has been shown for the Region's ability to restore critical infrastructure (CI) although the region believes this is largely in the hands of the utilities.

The Region undertook and completed diversion planning for a small portion of the highway system that had not yet been completed.

Very little progress has been made in economic and community recovery. This is the result of low priority given to recovery planning at this stage of the Region's program.

The Region has begun to use risk as a primary factor to drive planning efforts. However, with the exception of response capabilities and some limited diversion planning, The Region believes CI Infrastructure Protection is not their responsibility.

4.8 URBAN AREA WORKING GROUP

S

Strengths

- More robust planning since adoption of the Regional Emergency Support Plan (RESP)
- Adoption of regional framework

W

Weaknesses

- Law enforcement maintains communications silos
- Recovery planning has not been a priority
- Functional needs planning and related capabilities, while improved, has not achieved advanced levels
- CRCOG requires ability to pay for operational needs during an activation

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Opportunities

- Funding from previous grant years, although allocated, has largely been unspent
- Transition to Whole Community Framework may provide a jump on future grant applications


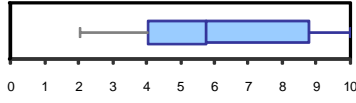
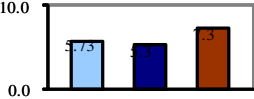
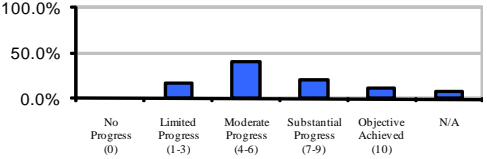

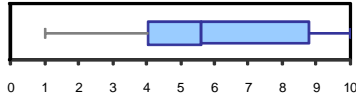
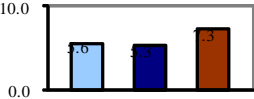
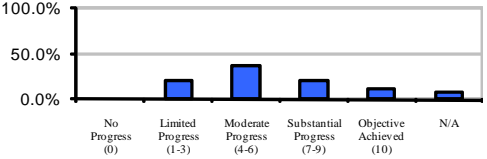

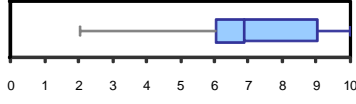
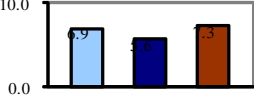
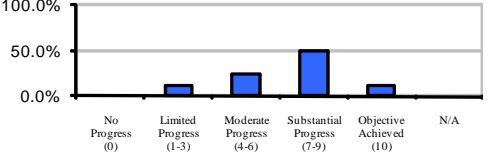

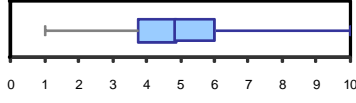
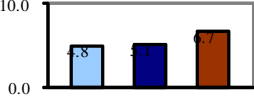
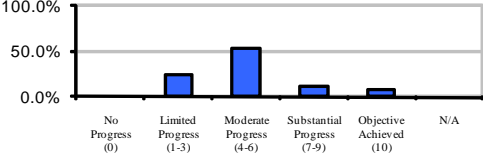
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Threats (Challenges)

- Limited support or inclusion from the private sector or non-governmental organizations outside of the Red Cross and Salvation Army
- Potential reduction of UASI areas and associated funding


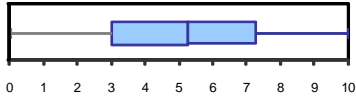
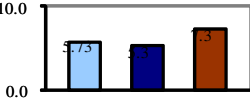
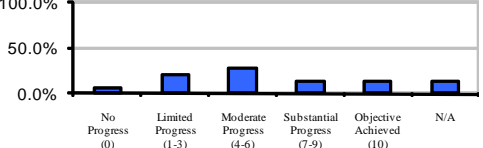

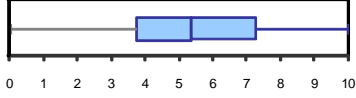
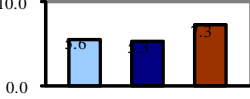
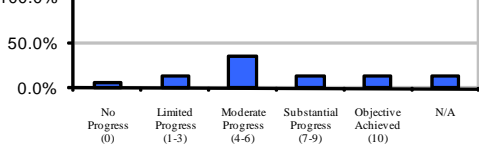

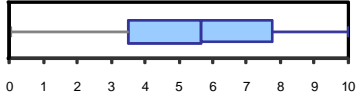
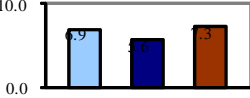
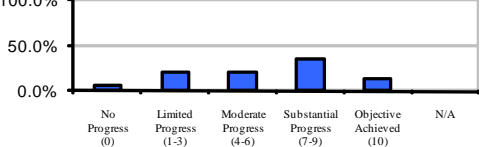

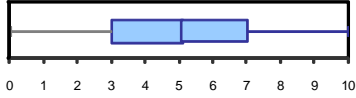
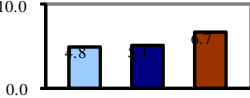
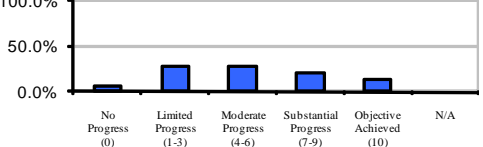
UPDATED URBAN AREA WORKING GROUP DATA SUMMARY

PLANNING

Planning Cross-Cutting Measures					
Prevent Mission Area	5.7	Moderate Progress 		Comparison of Average Planning, Resources, and Implementation Scores for the Prevent Mission Area 	
Protect Mission Area	5.6	Moderate Progress 		Comparison of Average Planning, Resources, and Implementation Scores for the Protect Mission Area 	
Respond Mission Area	6.9	Moderate Progress 		Comparison of Average Planning, Resources, and Implementation Scores for the Respond Mission Area 	
Recover Mission Area	4.8	Moderate Progress 		Comparison of Average Planning, Resources, and Implementation Scores for the Recover Mission Area 	


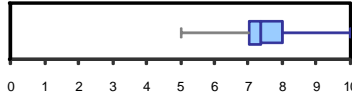
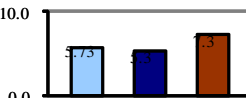
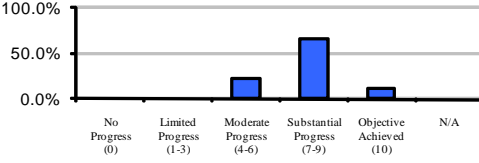

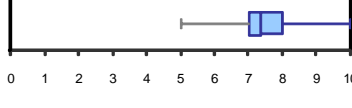
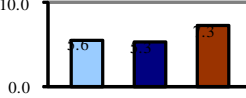
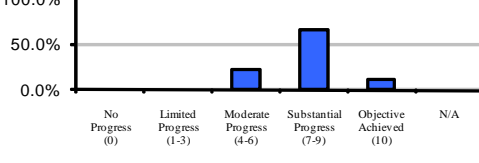

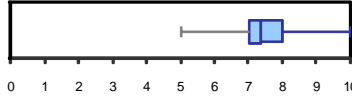
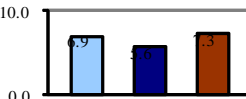
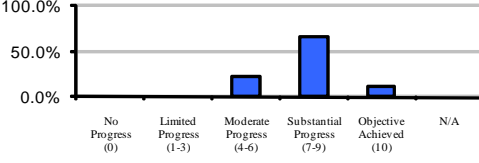

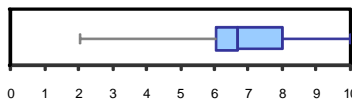
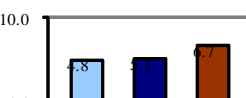
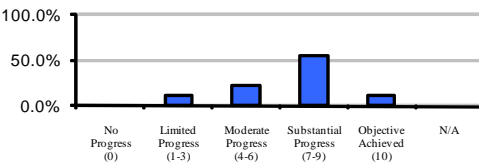
UPDATED URBAN AREA WORKING GROUP DATA SUMMARY

RESOURCES

Resources Cross-Cutting Measures					
Prevent Mission Area	5.3	Moderate Progress 		Comparison of Average Planning, Resources, and Implementation Scores for the Prevent Mission Area 	
Protect Mission Area	5.3	Moderate Progress 		Comparison of Average Planning, Resources, and Implementation Scores for the Protect Mission Area 	
Respond Mission Area	5.6	Moderate Progress 		Comparison of Average Planning, Resources, and Implementation Scores for the Respond Mission Area 	
Recover Mission Area	5.1	Moderate Progress 		Comparison of Average Planning, Resources, and Implementation Scores for the Recover Mission Area 	

UPDATED URBAN AREA WORKING GROUP DATA SUMMARY

IMPLEMENTATION

Implementation Cross-Cutting Measures					
Prevent Mission Area	7.3	Substantial Progress 		Comparison of Average Planning, Resources, and Implementation Scores for the Prevent Mission Area 	
Protect Mission Area	7.3	Substantial Progress 		Comparison of Average Planning, Resources, and Implementation Scores for the Protect Mission Area 	
Respond Mission Area	7.3	Substantial Progress 		Comparison of Average Planning, Resources, and Implementation Scores for the Respond Mission Area 	
Recover Mission Area	6.7	Moderate Progress 		Comparison of Average Planning, Resources, and Implementation Scores for the Recover Mission Area 	



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TRENDS – Urban Area Working Group

The largest trend was a more robust level of comprehensive planning. This stems from the continued planning efforts that have taken place in the last 18 months, and the transition from the previous Regional Emergency Deployment plan to the Regional Emergency Support Plan (RESP). Since the development of the HVA-Lite, CRCOG has been more actively guided by its potential threats than before. Although, information sharing exists at a technological level and is supported by appropriate plans, significant silos still exist, especially in regards to the law enforcement community.

CREPC and CRCOG continue to stress the importance and adoption of the “Regional Framework” throughout the four phases of emergency management across all jurisdictions, however significant challenges still exist in developing support from the private sector and non-governmental organizations outside the Red Cross and Salvation Army. Additionally, there is still lack of comprehension at both the local and state level as to the role and capabilities of the regional construct. Capabilities in support of functional needs populations have improved while still maintaining only moderate progress overall. This may become an issue in light of recent lawsuits concerning functional needs planning and access to emergency shelters. Significant improvements have been made in the realm of strategic spending, with the region fully capable of funding its objectives through the next 12 months and beyond.

Contrary to previous thinking, the region now recognizes it needs a mechanism to spend money during emergency operations without going through traditional channels. This may include the emergency purchasing of food and water or other supplies for regional assets such as the RCC. Outside of exercises in which After Action Reports (AAR) are part of the official Homeland Security Exercise and Evaluation Program, the RESP must be examined to ensure it includes guidance on when AAR's are done. One identified gap is the absence of emphasis on Recovery Planning. Although this area is tangentially discussed in some planning documents, including the SOPs for the IMT, large scale recovery planning has not been a major focus. Additionally, some capabilities receiving low scores, such as continuity of operations and continuity of government planning, are actually requirements at the local level and may not require Regional coordination. Similarly, mitigation planning is conducted at the local level, while still only receiving a moderate score for the region in contrast with the ability to conduct safety and building inspections which is also done at the local level yet earns the region a 10.



5.0 ANALYSIS & RECOMMENDATIONS

5.1 GENERAL IMPRESSIONS

In relation to the previous capability assessment, finalized in December of 2009, this update highlights the maturity of the Region's homeland security programs. Whereas the previous assessment had numerous planning and equipment gaps representing the early stages of a regional effort, this iteration shows that many of these gaps, especially in the realm of comprehensive planning, have begun to be closed.

In addition, although several planned equipment and systems purchases have not yet been made, in many cases this represents the additional time needed for product demonstrations and stakeholder input needed to identify a specific model or vendor. It appears that several of these purchases are in the later stages of this process and will be acquired well before the three-year budget timeframe.

One of the resounding successes and the determining factor for many of the capability increases identified within the report has been the region's sponsorship and certification of Connecticut's first Type III IMT. The advanced capabilities and robust operational procedures of this team allow for the rapid application of regional plans and resources. The utility of this team was demonstrated during real world events involving multiple structure collapses and the Middletown Natural Gas Explosion incident.

Although several scores were lowered during the reassessment, this is another sign of the maturing program and in no way represents a decrease in actual capabilities from the previous assessment. After the preceding 18 months, many of the TCs have shown themselves to be more complex or involved than once thought. As a result, the workgroups took the opportunity to re-score their assessments based upon their new understanding of the requirements. In addition, several categories that received scores in the previous assessment were given an "N/A" during the reassessment. These scores were given in recognition of capabilities that are beyond the ability of the Region to affect, such as those held solely by the local jurisdictions or the State. This debate occurred often during the reassessment process and points to a need for a more global understanding of roles and spheres of influence between the local and State Governments as they relate to The Region.

5.2 REALIGNING PRIORITIES

While the previous capability assessment sought to prioritize TCs based upon input from the UAWG, various developments within the realm of emergency management suggest another approach. As relayed to CRCOG in the "Summary of Recent Trends in U.S. Department of Homeland Security Federal Emergency Management Agency Policy and Guidance" deliverable dated April 18, 2011, FEMA is in the midst of a significant paradigm shift in which it is stressing a new concept in preparedness activities. In its current incarnation, the Whole Community Framework establishes broad capabilities for local communities in response to a catastrophic event. In addition, each of these capabilities is accompanied by a series of metrics designed to gauge success. In conjunction with the newly issued Presidential Policy Directive 8 (PPD-8), Tetra Tech believes these metrics will play an increasing role in not only assessing regional capabilities, but justifying and qualifying for federal homeland security funding.

Therefore, Tetra Tech recommends that CREPC and CRCOG focus on those TCs and their sub elements that directly affect the ability of the Region to effectively meet the Whole Community response-planning framework. A broad crosswalk of TCs to their associated Centers of Gravity, within the Whole Community Framework is included below. They have been coded, using a stoplight color scheme to illustrate their completion status as reflected by current scoring within the P-CAT. In addition, specific sub-elements of the TCs that correlate to specific Whole Community methodology have been highlighted in the Updated P-CAT (**Appendix B**). By focusing on these TCs,



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CRCOG will continue to utilize the TC format currently in use by FEMA while positioning itself for the proposed transition of juxtaposition of the Whole Community Framework.

Whole Community Framework - Center of Gravity	Target Capabilities	Score
Situational Assessment	<i>Onsite Incident Management</i>	6.2
	<i>Structural Damage and Mitigation</i>	N/A
	<i>Volunteer Management and Donations</i>	2.2
Public Messaging	<i>Emergency Public Information and Warning</i>	3.8
Command, Control & Coordination	<i>Onsite Incident Management</i>	6.2
Critical Communications	<i>Communications</i>	5.9
Environmental Health & Safety	<i>Responder Safety and Health</i>	6.4
Critical Transportation	<i>Citizen Evacuation and Shelter-In-Place</i>	5.2
	<i>Medical Surge</i>	5.5
	<i>Mass Care</i>	5.9
	<i>Critical Resource Logistics and Distribution</i>	3.3
	<i>Community Preparedness & Participation</i>	4.7
	<i>Triage and Pre-Hospital Treatment</i>	6.6
	<i>Public Safety and Security</i>	4.4
On-Scene Security and Protection	<i>Critical Infrastructure</i>	0.8
	<i>Urban Search and Rescue</i>	4.6
Mass Search and Rescue Operations	<i>Community Preparedness & Participation</i>	4.7
	<i>Triage and Pre-Hospital Treatment</i>	6.6
Health and Medical Treatment	<i>Medical Surge</i>	5.5
	<i>Medical Supplies Management and Distribution</i>	2.7
	<i>Mass Prophylaxis</i>	6.6
	<i>WMD / Hazardous Materials Response & Decontamination</i>	7.8
	<i>Critical Resource Logistics and Distribution</i>	3.3
Mass Care Services (Nourish & Shelter)	<i>Mass Care</i>	5.9
	<i>Critical Resource Logistics and Distribution</i>	3.3
Public & Private Services & Resources	<i>Volunteer Management and Donations</i>	2.2
	<i>Medical Supplies Management and Distribution</i>	2.7
	<i>Mass Care</i>	5.9
	<i>Restoration of Lifelines</i>	3.0
	<i>Citizen Evacuation and Shelter-In-Place</i>	5.2
	<i>Citizen Evacuation and Shelter-In-Place</i>	5.2
Stabilize and Repair Essential Infrastructure	<i>Restoration of Lifelines</i>	3.0
	<i>Critical Infrastructure</i>	0.8
	<i>Urban Search and Rescue</i>	4.6
Fatality Management Services	<i>Urban Search and Rescue</i>	4.6

5.3 SCENARIO DRIVEN EXERCISES

As part of its ongoing training and Exercise program, Tetra Tech recommends CREPC more fully integrate its HVA. By selecting its top Hazards as the basis for future exercises the Region can further develop its capabilities in the context of its most likely scenarios. However, as the Whole community Framework is primarily envisioned for response to a catastrophic event, at least one of the chosen scenarios must be of sufficient size and extent to trigger the Centers of Gravity being tested.



5.4 DEFINING ROLES

As previously mentioned, an appropriate delineation of roles is imperative to the Region's ability to act as an effective coordinating body. Many TCs contain sub-elements that are currently undefined or contain some level of overlap with the State or local agencies. To the extent possible, and with the level of cooperation available, the CREPC through CRCOG should work with the State and local governments to more sharply define roles and responsibilities. TCs and sub elements found to be the sole purview of another agency can quickly be removed from the CREPCs consideration for planning and funding resources.

5.5 QUANTIFYING INHERENT CAPABILITIES

The Region must determine if certain capabilities have reached their zenith, either because of structural or political realities, regardless of whether or not they have a low, medium or high score. If so, a decision must be made as to whether or not improvement within these categories is a realistic goal.

5.6 ESTABLISHING ENDPOINTS

Throughout the assessment, several TC sub elements received scores indicating substantial progress. This precipitated some debate about the exact point or terminus to achieve completion for that objective. To the extent possible, The Region must make decisions concerning those objectives that are approaching completion. This analysis must determine both when an objective has been completed and given the potential for diminishing returns, if pursuing that goal is reasonable given the expected cost in relation to other potential capabilities.

In addition, some capabilities resist completion and require ongoing effort regardless of the progress being made. For instance, due to attrition and replacement, training for certain skills must occur at regular intervals to account for new personnel. CREPC must decide if a robust and well-maintained training program amounts to completion for this objective regardless of its ongoing costs, or if an eight (8) or nine (9) is more appropriate in light of its perpetual nature and resistance to completion.

5.7 PROJECT RECOMMENDATIONS

As part of the updated assessment, each workgroup analyzed the potential projects list for each Target Capability and made adjustments including the estimated funding requirements to implement each potential project. This updated project list can be found in **APPENDIX C – Target Capability POETE Analysis**.

APPENDIX A

Hazard Vulnerability Assessment-Lite (HVA-Lite) Results



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Hazard	Probability			Impact						Cost	Sum of Risk
	Historical Frequency	Prognosis	Total Probability	Life	Health/Safety	Economic	Environmental	Social	Total Impact		
Hurricane	1	2	3	3	3	3	3	3	15	3	21
Nuclear Attack	1	1	2	3	3	3	3	3	15	3	20
Radiological Attack	1	1	2	3	3	3	3	3	15	3	20
Urban Conflagration***	1	1	2	3	3	3	3	3	15	3	20
Biological Attack	1	1	2	3	3	3	1	3	13	3	18
Pandemic	1	2	3	3	3	2	1	3	12	3	18
Power Failure***	3	3	6	1	1	3	2	2	9	3	18
Hazardous Material Spill	3	3	6	2	3	1	2	2	10	1	17
Flood	2	3	5	2	2	2	2	2	10	2	17
Chemical Attack	1	1	2	3	3	1	3	3	13	1	16
Sabotage***	1	1	2	1	1	3	3	2	10	3	15
Explosive Attack	1	1	2	3	3	1	1	3	11	1	14
Tornado	2	1	3	1	2	2	2	2	9	2	14
Winter Storm	3	2	5	1	2	2	1	2	8	1	14
Airplane Crash***	1	1	2	2	2	1	2	2	9	2	13
Drought	2	1	3	1	1	2	2	2	8	1	12
Ice Jam	2	2	4	1	1	1	1	1	5	1	10
Train Derailment***	1	1	2	1	1	1	2	1	6	2	10
Dam Failure	3	1	4	1	1	1	1	1	5	1	10
School Violence***	1	1	2	1	1	1	1	3	7	1	8
Earthquake	1	1	2	1	1	1	1	1	5	1	8
Forest Fire	1	1	2	1	1	1	1	1	5	1	8
Tsunami	1	1	2	1	1	1	1	1	5	1	8

*** New Hazards in Accordance with CPG-101

Scores: 1 = Low 2 = Medium 3 = High



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APPENDIX B

Updated P-CAT Assessment Results

Capitol Region Council of Governments P-CAT Participation Roster

Directions: Please record assessment meeting participants' contact information and send the completed roster to the Assessment Coordinator or Regional Site Lead to compile into a master roster for the Capabilities Assessment effort.

Last Name	Organization	Title	E-mail	Office Phone/ Mobile Phone		Area of Expertise Primary Mission Area	Capability Contributed To:

Capitol Region Council of Governments P-CAT Participation Roster

Directions: Please record assessment meeting participants' contact information and send the completed roster to the Assessment Coordinator or Regional Site Lead to compile into a master roster for the Capabilities Assessment effort.

Last Name	Organization	Title	E-mail	Office Phone/ Mobile Phone		Area of Expertise Primary Mission Area	Capability Contributed To:
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Table of Contents	
Reference Sheets	
	Description
Information Disclaimer	Describes the security policy for the attached materials.
Instructions	Provides instructions for completing the capability templates.
Event Level Thresholds	Describes the event level thresholds to apply when conducting the capability review.
Scale & Event (Tear-Out Sheet)	Provides for reference the Level of Event Factors and the Measurement Scale.
Completion Summary	Summarizes the completion status for all capabilities.
Self Assessment Summary	Summarizes the response distributions for all capability measures.
Capability Assessment Sheets	
#	Outcome Statement
1 Planning (Planning measures have been integrated into all capability-specific and cross-cutting measures)	Preparedness plans incorporate an accurate hazard analysis and risk assessment and ensure that capabilities required to prevent, protect and mitigate against, respond to, and recover from acts of all-hazards are available when and where they are needed. Plans are vertically and horizontally integrated with appropriate departments, agencies and jurisdictions. Where appropriate, plans incorporate a mechanism for requesting State and Federal assistance with a clearly delineated process for seeking and requesting assistance from appropriate agency(ies).
2 Communications	A continuous flow of critical information is maintained as needed among multi-jurisdictional and multi-disciplinary emergency responders, command posts, agencies, and the governmental officials for the duration of the emergency response operation in compliance with National Incident Management System (NIMS). To accomplish this, the jurisdiction has a continuity of operations plan for public safety communications to include the consideration of critical components, networks, support systems, personnel, and an appropriate level of redundant communications systems in the event of an emergency.
3 Community Preparedness and Participation	There is a structure and a process for ongoing collaboration between government and nongovernmental resources at all levels; volunteers and nongovernmental resources are incorporated in plans and exercises; the public is educated and trained in the four mission areas of preparedness; citizens participate in volunteer programs and provide surge capacity support; nongovernmental resources are managed effectively in disasters; and there is a process to evaluate progress.
4 Risk Management	Federal, State, local, tribal and private sector entities identify and assess risks, prioritize and select appropriate protection, prevention, and mitigation solutions based on reduction of risk, monitor the outcomes of allocation decisions, and undertake corrective actions. Additionally, Risk Management is integrated as a planning construct for effective prioritization and oversight of all homeland security programming.

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#	Outcome Statement
5 Information Gathering and Recognition of Indicators and Warning	Locally generated threat and other criminal and/or terrorism-related information is identified, gathered, entered into an appropriate data/retrieval system, and provided to appropriate analysis centers.
6 Intelligence Analysis and Production	Timely, accurate, and actionable intelligence/information products are produced in support of prevention, awareness, deterrence, response, and continuity planning operations.
7 Intelligence/Information Sharing and Dissemination	Effective and timely sharing of information and intelligence occurs across Federal, State, local, tribal, regional, and private sector entities to achieve coordinated awareness of, prevention of, protection against, and response to a threatened or actual domestic terrorist attack, major disaster, or other emergency.
8 CBRNE Detection	Chemical, biological, radiological, nuclear, and/or explosive (CBRNE) materials are rapidly detected and characterized at borders, critical locations, events, and incidents.
9 Critical Infrastructure Protection	The risk to, vulnerability of, and consequence of attack to critical infrastructure are reduced through the identification of critical infrastructure; conduct, documentation, and standardization of risk assessments; prioritization of assets; decisions regarding protective and preventative programs; and implementation of protective and preventative plans.
10 Onsite Incident Management	The incident is managed safely, effectively, and efficiently through the integration of facilities, resources (personnel, equipment, supplies, and communications), and procedures using a common organizational structure that is the ICS.
11 Emergency Operations Center Management	The event is effectively managed through multi-agency coordination for a pre-planned or no-notice event.
12 Critical Resource Logistics and Distribution	Critical resources are available to incident managers and emergency responders upon request for proper distribution and to aid disaster victims in a cost-effective and timely manner.
13 Volunteer Management and Donations	The positive effect of using unaffiliated volunteers and unsolicited donations is maximized and does not hinder response and recovery activities.
14 Responder Safety and Health	No illnesses or injury to any first responder, first receiver, medical facility staff member, or other skilled support personnel as a result of preventable exposure to secondary trauma, chemical/radiological release, infectious disease, or physical and emotional stress after the initial incident or during decontamination and incident follow-up.
15 Public Safety and Security Response	The incident scene is assessed and secured, access is controlled, security support is provided to other response operations (and related critical locations, facilities, and resources), emergency public information is provided, while protecting first responders and mitigating any further effect to the public at risk, and any crime/incident scene preservation issues have been addressed.

#	Outcome Statement
16 Explosive Device Response Operations	Threat assessments are conducted, the explosive and/or hazardous devices are rendered safe, and the area is cleared of hazards. Measures are implemented in the following priority order: public safety; safeguard the officers on the scene (including the bomb technician), protect and preserve public and private property, collect and preserve evidence, and accommodate the public/restore services.
17 Firefighting Operations/Support	Dispatch and safe arrival of the initial fire suppression resources occurs within jurisdictional response time objectives. The initial arriving unit initiates the incident command system (ICS), assesses the incident scene, communicates the situation, and requests appropriate resources. Firefighting activities are conducted safely and fires are contained, controlled, extinguished, investigated, and managed in accordance with emergency response plans and procedures.
18 WMD/ Hazardous Materials Response and Decontamination	Hazardous materials release is rapidly identified and mitigated; victims exposed to the hazard are rescued, decontaminated, and treated; the impact of the release is limited; and responders and at-risk populations are effectively protected.
19 Citizen Evacuation and Shelter-In-Place	Affected and at-risk populations (and companion animals) are safely sheltered-in-place and/or evacuated to safe refuge areas, in order to obtain access to medical care, physical assistance, shelter, and other essential services, and effectively and safely reentered into the affected area, if appropriate.
20 Urban Search and Rescue	The greatest numbers of victims (human and animal) are rescued and transferred to medical or mass care capabilities, in the shortest amount of time, while maintaining rescuer safety.
21 Emergency Public Information and Warning	Government agencies and public and private sectors receive and transmit coordinated, prompt, useful, and reliable information regarding threats to their health, safety, and property, through clear, consistent information delivery systems. This information is updated regularly and outlines protective measures that can be taken by individuals and their communities.
22 Triage and Pre-Hospital Treatment	Emergency Medical Services (EMS) resources are effectively and appropriately dispatched and provide pre-hospital triage, treatment, transport, tracking of patients, and documentation of care appropriate for the incident, while maintaining the capabilities of the EMS system for continued operations.
23 Medical Surge	Injured or ill from the event are rapidly and appropriately cared for. Continuity of care is maintained for non-incident related illness or injury.
24 Medical Supplies Management and Distribution	Medical Supplies Management and Distribution is the capability to procure and maintain pharmaceuticals and medical materials prior to an incident and to transport, distribute, and track these materials during an incident.
25 Mass Prophylaxis	Appropriate drug prophylaxis and vaccination strategies are implemented in a timely manner upon the onset of an event to prevent the development of disease in exposed individuals. Public information strategies include recommendations on specific actions individuals can take to protect their family, friends, and themselves.
26 Mass Care	Mass care services (sheltering, feeding, bulk distribution) are rapidly provided for the population and companion animals within the affected area.

Once responses have been provided to the enclosed measures, this document is classified as "For Official Use Only" and must be treated in accordance with FOUO guidelines.

#	Outcome Statement
27 Structural Damage and Mitigation Assessment	Accurate situation needs and damage assessments occur. The full range of engineering, building inspection, and enforcement services are implemented, managed, and coordinated in a way that maximizes the use of resources, aids emergency response, implements recovery operations, and restores the affected area to pre-event conditions. Mitigation projects to lessen the impact of similar future events are identified and prioritized.
28 Restoration of Lifelines	Sufficient lifeline services are available to safely support ongoing recovery activities.
29 Economic and Community Recovery	Economic impact is estimated, priorities are set for recovery activities, business disruption is minimized and returned to operation, and individuals and families are provided with appropriate levels and types of relief with minimal delay.
Cross-Cutting Capability Assessment Sheet	
Cross-Cutting Measures	Measures evaluating the quality of homeland security processes such as planning, training, and exercising, for each of the mission areas.
Results Dashboard	
Results Dashboard	Presents a a high level summary of capability responses and results, updated in real time as the assessment is completed.

Capitol Region Council of Governments P-CAT Instructions

**Please refer to the Assessment Coordinator Guide for more in-depth instructions.
Below you will find high level guidance:**

Prior to beginning the self assessment, you should familiarize yourself with the assessment guidance, including: the regional context of the assessment; the level of event factors; how to consider mutual aid and Federal or State assets; and, the measurement scale.

After reviewing the materials, select the spreadsheet in the assessment tool that you want to complete. This can be done by clicking on the title of the spreadsheet in the Table of Contents (TOC), or by selecting the tab for the spreadsheet. Spreadsheets can be completed in any order, although all measures for a capability should be completed before moving on to another capability.

A checklist is provided below to assist you in completing the tool. Please ensure that you can complete this checklist for each assigned capability.

- ☐ The 'Assessment Coordinator Contact Information' field has been completed.
- ☐ All Regional Capability Profile questions have been completed.
- ☐ All Self Assessment measures have been completed.
- ☐ Explanations have been provided in the 'Optional Explanation' field for all N/A responses.
- ☐ An explanation has been provided in the 'Capability Explanation' field.
- ☐ Feedback has been provided in the 'Self Assessment Measure Feedback' field for all measures/questions marked for revision or deletion.
- ☐ Assessment participants' contact information has been recorded in the participant roster.

Once all assigned spreadsheets are completed, return the completed spreadsheets and the Participant Roster to All Hands Consulting.

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Level of Event Factors											

Measurement Scale Directions											
For each measure, please select the value on the scale that best represents how much progress your region has made with regard to the objective or criterion described by the question. "Progress" means development, advancement, or evolution in the ability to fulfill the objective or criterion. This table provides explanations and examples to help you use the scale. These descriptions may not fit your jurisdiction exactly, but should help you judge your progress accurately.											
Label	Limited Progress			Moderate Progress			Substantial Progress			Objective Achieved	Not Applicable
Explanation	Low – mid range: <ul style="list-style-type: none"> • Preliminary efforts have been initiated. • Needs related to this objective have been recognized and the region is beginning to identify requirements in this area. • Few if any steps have been implemented successfully so far. Mid – upper range: <ul style="list-style-type: none"> • Region has analyzed needs, understands requirements, and has taken specific steps toward achieving the objective. • Steps may include initial plans to develop this aspect of the capability, allocation of resources, and identification personnel responsible for achievement of the objective. 			Low – mid range: <ul style="list-style-type: none"> • Significant efforts are under way but the objective has not yet been fulfilled. • Important gaps remain. • Challenges that could potentially undermine achievement exist and have not yet been resolved. Mid – upper range: <ul style="list-style-type: none"> • Significant efforts are under way and specific examples of progress in this area can be identified. • Strategies for closing gaps and overcoming barriers to success are being developed and initiated. 			Low – mid range: <ul style="list-style-type: none"> • Efforts to achieve this objective are established and stable. • Some weaknesses or barriers that prevent success persist, but strategies to resolve them are documented and well under way. Mid – upper range: <ul style="list-style-type: none"> • Efforts in this area are mature. • Few gaps or barriers to success remain. None are significant. • Evidence documenting this level of progress is readily available. Evidence may include After Action Reports from exercises or events where this aspect of capability was demonstrated. 			Score of 10: indicates that the region has fully achieved this objective with regard to the capability. All barriers to success have been overcome. Strengths are robust and likely to be sustained. Evidence is readily available attesting to this level of achievement.	The aspect of the capability that this question describes is not applicable to the region.
Scale Value	1	2	3	4	5	6	7	8	9	10	N/A

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Event Level Thresholds for the Capitol Region Council of Governments

Each measure included in the Self Assessment is focused at the sub-State regional level and is intended to measure preparedness and response for extensive and sizeable Incidents of National Significance. These incidents vary in scope, but always exceed the capacity of any given jurisdiction to prevent, protect, respond, and recover without the assistance of neighboring jurisdictions within the region, or assistance from other regions. To appropriately complete the Self Assessment, participants from across the region are asked to work collaboratively in a multi-disciplinary/cross-governmental manner to provide a consensus response that represents the region's capability to prevent, respond to and recover from extensive and sizeable Incidents of National Significance.

One example is a WMD event that causes widespread destruction, mass casualties that number in the thousands, and that may involve chemical or biological agents that require mass shelter -in-place, evacuations or quarantine over large areas of the region. This type of catastrophic event will: immediately over-stress the system ; require extensive in-region mutual aid response; rapidly require response assistance from other regions, and from the State and Federal governments; result in an influx of unaffiliated volunteers and unrequested donated goods; and require extensive recovery assistance from outside resources.

In order to provide a common understanding of the type of event against which the respondents are assessing their preparedness and response capabilities, respondents should base their answers on the following factors:

- The region does not have adequate resources to perform all the tasks to prevent, plan for or prepare for a response to a major Incident of National Significance.
- The region does not have adequate resources to sustain a response to, or recover from a major Incident of National Significance
- Local and regional resources are or will rapidly become depleted, while the population continues to experience typical emergencies that require response
- The incident has a measurable impact throughout the region in terms of threat to the population and economy of the region
- The regional local governments have declared a state of emergency, and a declaration by the governor will lead to a federal disaster declaration

Capitol Region Council of Governments P-CAT Summary

Target Capability	Regional Capability Profile Questions			Capability-Specific Measures		
	Total # of Questions	# of Completed Questions	# of Incomplete Questions	Total # of Measures	# of Completed Measures	# of Incomplete Measures
Common Capabilities						
1 Planning (Planning measures are integrated into each capability's assessment and the cross-cutting measures. Their completion is not tracked separately).						
2 Communications	3	3	0	17	17	0
3 Community Preparedness and Participation	2	2	0	14	14	0
4 Risk Management	3	3	0	17	17	0
Prevent Mission Capabilities						
5 Information Gathering and Recognition of Indicators and Warning	2	2	0	15	15	0
6 Intelligence Analysis and Production	3	3	0	14	14	0
7 Intelligence/Information Sharing and Dissemination	3	3	0	10	10	0
8 CBRNE Detection	2	2	0	34	34	0
Protect Mission Capabilities						
9 Critical Infrastructure Protection	4	4	0	15	15	0
Respond Mission Capabilities						
10 Onsite Incident Management	2	2	0	14	14	0
11 Emergency Operations Center Management	3	3	0	13	13	0
12 Critical Resource Logistics and Distribution	3	3	0	16	16	0
13 Volunteer Management and Donations	3	3	0	12	12	0
14 Responder Safety and Health	2	2	0	12	12	0
15 Public Safety and Security Response	3	3	0	13	13	0
16 Explosive Device Response Operations	3	3	0	14	14	0
17 Firefighting Operations/Support	3	3	0	11	11	0
18 WMD/ Hazardous Materials Response and Decontamination	3	3	0	15	15	0
19 Citizen Evacuation and Shelter-In-Place	2	2	0	21	21	0
20 Urban Search and Rescue	1	1	0	11	11	0
21 Emergency Public Information and Warning	3	3	0	12	12	0
22 Triage and Pre-Hospital Treatment	4	4	0	17	17	0
23 Medical Surge	5	5	0	24	24	0
24 Medical Supplies Management and Distribution	2	2	0	19	19	0
25 Mass Prophylaxis	3	3	0	22	22	0
26 Mass Care	3	3	0	25	25	0
Recover Mission Capabilities						
27 Structural Damage and Mitigation Assessment	2	2	0	17	17	0
28 Restoration of Lifelines	1	1	0	15	15	0
29 Economic and Community Recovery	1	1	0	13	13	0
All Target Capabilities	74	74	0	452	452	0
Cross-Cutting Capability	Regional Capability Profile Questions (Not Applicable)			Cross-Cutting Capability Measures		
	Total # of Measures	# of Completed Measures	# of Incomplete Measures	Total # of Measures	# of Completed Measures	# of Incomplete Measures
Prevent Mission Areas				47	47	0
Protect Mission Areas				47	47	0
Respond Mission Areas				47	47	0

COMPLETION SUMMARY

Target Capability	Regional Capability Profile Questions			Capability-Specific Measures		
	Total # of Questions	# of Completed Questions	# of Incomplete Questions	Total # of Measures	# of Completed Measures	# of Incomplete Measures
Recover Mission Areas				47	47	0
All Cross-Cutting Capabilities				188	188	0

CAPABILITY: COMMUNICATIONS

Capability: Communications**National Priority****Mission Area:** Common**Outcome Statement:**

A continuous flow of critical information is maintained as needed among multi-jurisdictional and multi-disciplinary emergency responders, command posts, agencies, and the governmental officials for the duration of the emergency response operation in compliance with National Incident Management System (NIMS). To accomplish this, the jurisdiction has a continuity of operations plan for public safety communications to include the consideration of critical components, networks, support systems, personnel, and an appropriate level of redundant communications systems in the event of an emergency.

Pilot Site Information

State Name:	Connecticut
Region:	Region 3 (Capitol Region)

Assessment Coordinator Contact Information

Name:	Dan Scace		
Title and Affiliation:	UASI Project Manager & Training Coordinator		
Phone Number:	860-522-2217 x223	E-mail Address:	daniel.scace@sboglobal.net

Regional Capability Profile

Question	Response
1) Please describe the systems the region uses to promote interoperability (e.g., swap radios, common radio caches, ACU 1000, gateways):	13 mobile gateways, at least 6 more gateways (total 20 STOCs boxes in region) Many towns have radio caches, caches for RID and SWAT teams (300 portables). Regional decon trailers have ITAC (6 each - 50 total). Each community has ITAC for top officials. ITAC provides statewide communications.
2) Please provide a brief description of the region's ability to maintain voice and data communications through redundant communications systems:	Within region have two major networks that are redundant. Provides backup and redundancy. Decon trailers have mast and repeater (ITAC and ICALL). Multiple communications systems offer alternative comms but local communities do not have reliable back-up. There is no regional comms system. MDTs being rolled out in fire, police has had for 10 years.
3) Is the region involved in any state-level efforts to promote communications interoperability? Please describe the efforts and their impact.	Yes, under PSIC, moving to 700 on state system. Still a work in progress. Delays due to staff turnover. Will result in another layer of solution. Rebanding in process. Funding issues may hamper. State has not signed rebanding agreement. No clear long-term objective for I/O. Need a top down process.

Scale Guidance

For each measure, please select the value on the scale that best represents how much progress your region has made with regard to the objective or criterion described by the question. "Progress" means development, advancement, or evolution in the ability to fulfill the objective or criterion. This table provides explanations and examples to help you use the scale. These descriptions may not fit your jurisdiction exactly, but should help you judge your progress accurately.

Label	No Progress	Limited Progress	Moderate Progress	Substantial Progress	Objective Achieved	Not Applicable
Scale	0	1 2 3	4 5 6	7 8 9	10	N/A

Note: If out of region mutual aid assets were factored into the measure scores you MUST indicate this in the explanation section below.

Capability-Specific Measures for Communications

Measure	TCL Activity	Score	Progress Label	Optional Explanation
1 The regional Communications plans are based on a formal assessment of risks and vulnerabilities.	Develop and Maintain Plans, Procedures, Programs, and Systems	2	Limited Progress - Mid	TIC-P was exercised but not based on risk assessment.
2 The regional Communications plans integrate all relevant response agencies and disciplines.	Develop and Maintain Plans, Procedures, Programs, and Systems	5	Moderate Progress - Mid	Plans include everyone but are not fully integrated and no common set of objectives. A new comprehensive approach is needed from the top down. Also, need to look at a stronger regional strategy.
3 The regional Communications plans address interoperability (e.g., inter-agency, inter-jurisdictional exchange of voice, data, and video on demand, in real time).	Develop and Maintain Plans, Procedures, Programs, and Systems	2	Limited Progress - Mid	TICP addresses voice only, Mobile Data Terminals (MDT), VPN in place in the region but not in plan. Again, need clear mission and objectives.

Once responses have been provided to the enclosed measures, this document is classified as "For Official Use Only" and must be treated in accordance with FOUO guidelines.

CAPABILITY: COMMUNICATIONS

4	The regional Communications plans address continuity of operations.	Develop and Maintain Plans, Procedures, Programs, and Systems	5	Moderate Progress - Mid	Redundancies are in place but no formal plan or policy is in place.
5	The Communications standard operating procedures (SOPs) within the region conform to NIMS.	Develop and Maintain Plans, Procedures, Programs, and Systems	9	Substantial Progress - High	Working to follow NIMS and SAFECOM on regional plans and SOPs. All SOP's (excluding Law Enforcement) have been done according to SAFECOM.
6	The regional Communications plans address the exchange of voice with all relevant agencies, as determined by our emergency preparedness (or emergency operations) plan.	Develop and Maintain Plans, Procedures, Programs, and Systems	8	Substantial Progress - Mid	Still need a better regional strategy.
7	Regional plans for Communications address the exchange of data with all relevant agencies, as determined by our emergency preparedness (or emergency operations) plan.	Develop and Maintain Plans, Procedures, Programs, and Systems	2	Limited Progress - Mid	Need to add data to plan, address WebEOC and other data exchange platforms. MDTs support data exchange, common Internet-based tools available. WebEOC is being used more frequently but training is an issue and it is not widespread.
8	The regional Communications plans address the notification of key officials in the event of an incident (e.g., call down lists, groups designated to receive SMS messages).	Alert and Dispatch	7	Substantial Progress - Low	Everbridge is in place to notify all regional officials.
9	Our region maintains a governance structure to improve Communications planning and coordination.	Develop and Maintain Plans, Procedures, Programs, and Systems	7	Substantial Progress - Low	ESF-2 supports emergency response comms, it does not currently support regional planning and coordination. CREPC/UAWG governs regional strategy through the ESF structure for all areas including Communications. Participate in State Interoperability activities.
10	Our region's Communications systems support on demand, real time interoperable voice communication.	Develop and Maintain Plans, Procedures, Programs, and Systems	7	Substantial Progress - Low	Difficulties remain in coordinating with Law Enforcement.
11	Our region's Communications systems support on demand, real time interoperable data communication.	Develop and Maintain Plans, Procedures, Programs, and Systems	4	Moderate Progress - Low	WebEOC, MDTs, Internet and other platforms provide data comms capability. No clear regional strategy for data exchange. Work underway to evaluate common operating picture tools.
12	Our region's personnel have been trained to operate Communications systems according to their incident role.	Develop and Maintain Training and Exercise Programs	8	Substantial Progress - Mid	Future training planned for ground troops.
13	Our region has exercised its ability to implement Communications in large and complex events.	Develop and Maintain Training and Exercise Programs	8	Substantial Progress - Mid	TIC TTX, other exercises and real events have tested this capability
14	Our region has experience successfully implementing multi-discipline/multi-agency Communications in response to a real world event.	Develop and Maintain Training and Exercise Programs	8	Substantial Progress - Mid	
15	Our region coordinates the procurement of Communications assets to ensure interoperability.	Develop and Maintain Plans, Procedures, Programs, and Systems	8	Substantial Progress - Mid	State fulfill the role, region coordinates interoperability and standardization of 4 mobile communication vehicles and Regional Communications Center.
16	Relevant private sector entities and Nonprofit organizations/NGOs are actively engaged in our region's development of Communications plans (e.g., private security firms at critical infrastructure sites, the American Red Cross, Salvation Army, faith-based organizations).	Develop and Maintain Plans, Procedures, Programs, and Systems	3	Limited Progress - High	Not during development of TICP but more engaged now, part of the vetting process.

CAPABILITY: COMMUNICATIONS

17	The regional plans address the return to normal Communications operations (e.g., debriefs personnel, deactivate interoperable communications procedures).	Return to Normal Operations	8	Substantial Progress - Mid	RESP Plan addresses demob generally, Comms SOPs address comms demob but no regional plan. Needs to be tested.
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Capability Explanation: Provide a listing of the region's long-term implementation steps for this capability to reach a "10" score and the resource needs for each. This description should reflect the point at which the region will switch from building capability to sustaining existing capability levels. Group discussion should capture thoughts and ideas that will be useful to you for future planning. Some details to consider include: 1) The factors that contributed to the region's scores (what are you missing?); 2) strategy for building the capability over time; 3) The sustainability of the capability and the processes/methods in place to ensure sustainability; and, 4) best practices and/or unique solutions related to the capability.

Implementation Step:

Resource Needs: *Developing a true regional strategy and approach which includes governance and planning capabilities is needed to address the entire spectrum of I/O capabilities. More effort is needed to develop interoperable and redundant communications.*

Planning - Need to update TICP and regional plans to include the regional approach to truly integrated communications that all entities in Region 3 will follow. May be difficult due to independent agencies. Need an approach that will get regional buy-in, look at wireless broadband, etc. Need a clear regional vision, use funding mechanisms to incentivize use of new interoperable systems. Budget: Fund an outside communications consultant to help develop a plan for a regional I/O approach. \$100K annually for first phase.

Organizing (People): Need stronger Comms governance structure to include NGOs, municipalities, private sector, utilities. Consider consolidation of PSAPs, etc. Governance needs support, budget for travel, etc. Budget: \$75K annually

Equipment: Need to use equipment lifecycle to help build the regional system (based on local systems), should stop replacing equipment until regional approach is established. Grants funding should be used with general funds to help achieve interop capability. Budget: \$ depends on solutions identified in planning process.

Training: Continue training programs (COM-L). Need more training on technology, COOP planning. Budget \$75-100K annually

Exercises: Continue to include I/O in regional exercises. Need specific ESF 2 exercises to test I/O functions. Budget: \$ 50K to cover

Summary**Capability Measures**

Completion Status	Count
# of Measures	17
# of Incomplete Measures	0

Regional Capability Profile

Completion Status	Count
# of Questions	3
# of Incomplete Questions	0

Distribution of Measure Responses	Count
No Progress	0
Limited Progress	4
Moderate Progress	3
Substantial Progress	10
Objective Achieved	0
Not Applicable	0

Self Assessment Findings

Measure	Value
Average Scale Value	5.9
Maximum Scale Value	9
Minimum Scale Value	2
# of Measures that are N/A	0
% of Total Possible Value*	59%

*Does not include measures that are N/A or incomplete

CAPABILITY: COMMUNICATIONS

Preliminary Capability Finding
Moderate Progress

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[Return to Completion Summary](#)

CAPABILITY: COMMUNITY PREPAREDNESS AND PARTICIPATION

Capability: Community Preparedness and Participation**Mission Area:** Common**Outcome Statement:**

There is a structure and a process for ongoing collaboration between government and nongovernmental resources at all levels; volunteers and nongovernmental resources are incorporated in plans and exercises; the public is educated and trained in the four mission areas of preparedness; citizens participate in volunteer programs and provide surge capacity support; nongovernmental resources are managed effectively in disasters; and there is a process to evaluate progress.

Pilot Site Information

State Name:	Connecticut
Region:	Region 3 (Capitol Region)

Assessment Coordinator Contact Information

Name:	Dan Scace		
Title and Affiliation:	UASI Project Manager & Training Coordinator		
Phone Number:	860-522-2217 x22	E-mail Address:	daniel.scace@sbcglobal.net

Regional Capability Profile

Question	Response
1) Please provide the number of Citizen Corps Councils within the region.	Two, Central CT Regional Planning Agency and CRCOG. They meet together but have separate grants under CCP
2) Please provide the number and type of Citizen Corps Programs within the region. Volunteers In Police Service (VIPS): - Neighborhood Watch/ USAonWatch: - Community Emergency Response Team (CERT): - Medical Reserve Corps (MRC): - Fire Corps:	VIPS: 0, CERT 12 with 700 members, MRC: 2, Fire Corps: 1. Some Neighborhood Watch programs. Bristol and Manchester have received funding.

Scale Guidance

For each measure, please select the value on the scale that best represents how much progress your region has made with regard to the objective or criterion described by the question. "Progress" means development, advancement, or evolution in the ability to fulfill the objective or criterion. This table provides explanations and examples to help you use the scale. These descriptions may not fit your jurisdiction exactly, but should help you judge your progress accurately.

Label	No Progress	Limited Progress	Moderate Progress	Substantial Progress	Objective Achieved	Not Applicable
Scale	0	1 2 3	4 5 6	7 8 9	10	N/A

Note: If out of region mutual aid assets were factored into the measure scores you MUST indicate this in the explanation section below.

Capability-Specific Measures for Community Preparedness and Participation

Measure	TCL Activity	Score	Progress Label	Optional Explanation
1 Our region has developed volunteer opportunities for citizens to support community safety efforts.	Provide Volunteer Opportunities: year round and in surge operations	7	Substantial Progress - Low	Extensive efforts in XERT and Citizen Prep are underway but little information exists on all of the programs in the region. Need to do more outreach with VOADs, assess total of volunteer efforts and numbers filling multiple roles. Some additional volunteer support has been garnered. Many opportunities through CERT and Medical Reserve Corps.
2 Members of our region's community (e.g., volunteers, public entities, non-governmental entities) have formal roles in our region's emergency operations plans.	Incident Response	8	Substantial Progress - Mid	RESP Plan addresses in ESF structure, ARC and SA participate in regional efforts, need more involvement of faith-based and VOAD organizations.

CAPABILITY: COMMUNITY PREPAREDNESS AND PARTICIPATION

3	The regional plans address individuals with special needs (e.g., individuals with disabilities, non-English speaking skills, low incomes, age-related issues, companion animals).	Integrate Public Outreach and Non-Governmental Resources into Emergency Operations Plans and Exercises	7	Substantial Progress - Low	ESF for Special needs, certain plans address SN shelters and evac. Rely on self identification registration, Locals have own capabilities to address Special needs. Trained 1803 first responders on Special needs to date. Look to change Special Needs Language to Functional Needs.
4	The regional plans address the activation of communication resources (e.g., alerting mechanisms, emergency public information, media support agencies) to make citizens aware of an incident.	Integrate Public Outreach and Non-Governmental Resources into Emergency Operations Plans and Exercises	2	Limited Progress - Mid	Need to determine appropriate role for the region vs. state and locals
5	Exercises within the region integrate citizens and non-governmental resources.	Integrate Public Outreach and Non-Governmental Resources into Emergency Operations Plans and Exercises	6	Moderate Progress - High	Discussed but only on a limited basis, working on it TEP workgroup aware of the issue, two exercises integrated, many local exercises integrate citizens and NGR
6	The regional plans address the existence of MOUs that facilitate the deployment of non-governmental entities (e.g., personnel, equipment, facilities and resources).	Integrate Public Outreach and Non-Governmental Resources into Emergency Operations Plans and Exercises	2	Limited Progress - Mid	RESP Plan includes MMRS which has MOUs but does not specifically address MOUs, agreements in place between locals and NGEs
7	Our region has appropriate representation from various sectors (e.g., members from the First Responder/Emergency Management community, transportation sector, school system, faith-based organizations) on its Citizen Corps Councils.	Establish Collaborative Structure and Process for Government and Non-Governmental Entities at All Levels	7	Substantial Progress - Low	CCCs are very inclusive, Councils have good representation on the CCC. Further outreach needed for Faith based and school groups.
8	There is coordination between local and State level Citizen Corps representatives.	Establish Collaborative Structure and Process for Government and Non-Governmental Entities at All Levels	9	Substantial Progress - High	State CCC meets with all local CCCs
9	Our region ensures the public is educated in all hazard incidents that threaten the community.	Provide Education and Training for the Public in All Mission Areas	4	Moderate Progress - Low	Planning to do more under UASI.
10	Our region coordinates public education all hazard mitigation activities.	Provide Education and Training for the Public in All Mission Areas	5	Moderate Progress - Mid	Emergency preparedness outreach effort to engage college community (six colleges > 20K population) in regional operations
11	Non-governmental resources (e.g., donated goods and services) are coordinated for distribution within the region.	Integrate Public Outreach and Non-Governmental Resources into Emergency Operations Plans and Exercises	2	Limited Progress - Mid	RESP Plan has ESF 18 for this, written by ARC, no opportunity to use it, would be ad hoc, seen as state function by some. Once Citizen Preparedness Program is developed more fully, it will address this issue.
12	Our region has the effective means for transporting non-governmental resources (e.g., goods and services) during a response effort.	Integrate Public Outreach and Non-Governmental Resources into Emergency Operations Plans and Exercises	4	Moderate Progress - Low	RESP Plan has ESF 1 which coordinates transportation but would be ad hoc. Transportation resources are cataloged. State has commodities distribution plan if activated.
13	Our region has established just-in-time training for unsolicited volunteers to handle surge capacity for support of response activities.	Provide Volunteer Opportunities: year round and in surge operations	2	Limited Progress - Mid	PH has JIT plans for mass prophylaxis, ARC has for shelter operations, common to have. Just developed PH JIT training program. Citizen Preparedness will Help address this issue.

Once responses have been provided to the enclosed measures, this document is classified as "For Official Use Only" and must be treated in accordance with FOUO guidelines.

CAPABILITY: COMMUNITY PREPAREDNESS AND PARTICIPATION

14	Our region has a system for tracking its unsolicited volunteers.	Provide Volunteer Opportunities: year round and in surge operations	1	Limited Progress - Low	Identified as a project in UASI but no system in place. Do have a badging system in place with 2 or 3 teams. Overrated from previous assessment. Citizen Preparedness will address
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Capability Explanation: Provide a listing of the region's long-term implementation steps for this capability to reach a "10" score and the resource needs for each. This description should reflect the point at which the region will switch from building capability to sustaining existing capability levels. Group discussion should capture thoughts and ideas that will be useful to you for future planning. Some details to consider include: 1) The factors that contributed to the region's scores (what are you missing?); 2) strategy for building the capability over time; 3) The sustainability of the capability and the processes/methods in place to ensure sustainability; and, 4) best practices and/or unique solutions related to the capability.

Implementation Step: Need to identify the appropriate roles and responsibilities for the region. Then, need to integrate this capability into RESP.

Resource Needs:

Planning: Plans need to be updated to address regional roles and responsibilities, move forward with planned initiatives for citizen preparedness. **Budget:** CERT included in budget already, need planning and administrative resources to include web site, outreach, etc. Need to step up volunteer recruitment and retention efforts, public awareness. Budget \$75K

Organizing (People): CCC and ESF 16 & 18 (needs to be built out) should continue to coordinate, work to coordinate more effectively with state. Budget \$25K

Equipment: Need volunteer management system and computer equipment, special needs software and equipment to allow participation, Budget \$25K

Training: Need instructors to do public awareness training and outreach. \$15K

Exercises: Need to include in regional exercise.

Summary**Capability Measures**

Completion Status	Count
# of Measures	14
# of Measures Completed	14
# of Incomplete Measures	0

Distribution of Measure Responses	Count
Limited Progress	5
Moderate Progress	4
Substantial Progress	5
Objective Achieved	0
Not Applicable	0

Regional Capability Profile

Completion Status	Count
# of Questions	2
# of Questions Completed	2
# of Incomplete Questions	0

Self Assessment Findings

Measure	Value
Average Scale Value	4.7
Maximum Scale Value	9
Minimum Scale Value	1
# of Measures that are N/A	0
% of Total Possible Value*	47%

*Does not include measures that are N/A or incomplete

Once responses have been provided to the enclosed measures, this document is classified as "For Official Use Only" and must be treated in accordance with FOUO guidelines.

Preliminary Capability Finding

Moderate Progress

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CAPABILITY: RISK MANAGEMENT

Capability: Risk Management**Mission Area:** Common**Outcome Statement:**

Federal, State, local, tribal and private sector entities identify and assess risks, prioritize and select appropriate protection, prevention, and mitigation solutions based on reduction of risk, monitor the outcomes of allocation decisions, and undertake corrective actions. Additionally, Risk Management is integrated as a planning construct for effective prioritization and oversight of all homeland security programming.

Pilot Site Information

State Name:	Connecticut
Region:	Region 3 (Capitol Region)

Assessment Coordinator Contact Information

Name:	Dan Scace		
Title and Affiliation:	UASI Project Manager & Training Coordinator		
Phone Number:	860-522-2217 x22	E-mail Address:	daniel.scace@sbcglobal.net

Regional Capability Profile

Question	Response
1) Please provide a brief explanation of the risk assessment and prioritization methodology used in the region.	There is none, local and state effort
2) Has the region identified areas and/or structures at risk? If yes, please provide a percentage of the total population of the region (resident and/or transient) in these areas?	Some efforts in 2003 assessment, PSA and state police effort, no data on population
3) Please provide a brief explanation of the solutions the region is using to reduce risk for the following mission areas. A. Protect B. Prevent C. Mitigation	Enhanced CBRNE response capability, increasing regional planning efforts for these mission areas.

Scale Guidance

For each measure, please select the value on the scale that best represents how much progress your region has made with regard to the objective or criterion described by the question. "Progress" means development, advancement, or evolution in the ability to fulfill the objective or criterion. This table provides explanations and examples to help you use the scale. These descriptions may not fit your jurisdiction exactly, but should help you judge your progress accurately.

Label	No Progress	Limited Progress			Moderate Progress			Substantial Progress			Objective Achieved	Not Applicable
Scale	0	1	2	3	4	5	6	7	8	9	10	N/A

Note: If out of region mutual aid assets were factored into the measure scores you MUST indicate this in the explanation section below.

Capability-Specific Measures for Risk Management

Measure	TCL Activity	Score	Progress Label	Optional Explanation
1 Regional risk management strategies have been developed and adopted within the region.	Develop Risk Framework	6	Moderate Progress - High	Capability assessments incorporate risk data which are in turn used to drive spending priorities.
2 Regional risk management strategies are communicated regularly to stakeholders (e.g., State / local officials, owners of private sector infrastructure).	Conduct Risk Communication	2	Limited Progress - Mid	Citizens preparedness website plans to communicate relevant risks to various risks.
3 Local and County risk management plans have been coordinated with the regional plan.	Develop Risk Framework	2	Limited Progress - Mid	Local risk management plans are not used specifically to build regional risk management strategy but, regional strategy incorporates local priorities.

SELF ASSESSMENT

CAPABILITY: RISK MANAGEMENT

4	The agencies in the region are currently trained on activities required to conduct risk analysis.	Develop Risk Framework	0	None	
5	Our region has identified and coordinated potential vulnerabilities within the region.	Assess Risks	6	Moderate Progress - High	HVA-Lite incorporated into Capability Assessment.
6	Our region currently has (or has dedicated access to) adequate numbers of personnel trained to conduct risk assessments of key assets and critical infrastructure.		1	Limited Progress - Low	Function of Critical Infrastructure Protection Unit.
7	Our region conducts criticality analysis of key assets and critical infrastructure.	Assess Risks	3	Limited Progress - High	Region had input into State Process in Fall 2010.
8	Our region conducts threat assessments for identified vulnerable populations and facilities.	Assess Risks	1	Limited Progress - Low	
9	Our region conducts vulnerability assessments of identified areas and/or facilities.	Assess Risks	1	Limited Progress - Low	Local LE function
10	Our region conducts consequence analysis for identified vulnerable populations and facilities.	Assess Risks	3	Limited Progress - High	Supportive care shelter interagency workgroup looking at needs of vulnerable populations
11	The agencies in our region utilize compatible risk assessment methodologies.		N/A	Not Applicable	State Police function, use ACAMS
12	Our region uses risk analysis findings to recommend a prioritization of risk reduction efforts.	Prioritize Risks	2	Limited Progress - Mid	
13	Our region has established clear milestones for implementing risk reduction measures.	Prioritize Risks	2	Limited Progress - Mid	
14	Plans within our region address the procedures for incorporating evolving threat and vulnerability information into existing risk analysis findings.	Assess Risks	3	Limited Progress - High	
15	Our region has established procedures to assess the implementation of risk mitigation initiatives.	Develop Risk Framework	2	Limited Progress - Mid	
16	The regional risk management plans include procedures for regularly updating risk assessments of vulnerable populations and facilities.	Develop Risk Framework	2	Limited Progress - Mid	
17	The regional risk management plans include procedures for regularly aligning risk mitigation efforts with current risk assessments.	Develop Risk Framework	5	Moderate Progress - Mid	Conducts DMA 2000 Hazard Mitigation Planning

CAPABILITY: RISK MANAGEMENT

Capability Explanation: Provide a listing of the region's long-term implementation steps for this capability to reach a "10" score and the resource needs for each. This description should reflect the point at which the region will switch from building capability to sustaining existing capability levels. Group discussion should capture thoughts and ideas that will be useful to you for future planning. Some details to consider include: 1) The factors that contributed to the region's scores (what are you missing?); 2) strategy for building the capability over time; 3) The sustainability of the capability and the processes/methods in place to ensure sustainability; and, 4) best practices and/or unique solutions related to the capability.

Implementation Step: Need to develop a risk management strategy.

Resource Needs:

Planning: Need to develop risk management approach to include prioritization.

Organizing (People): Consider risk management committee? Coordinate with the State.

Equipment

Training

Exercises

Summary**Capability Measures**

Completion Status	Count
# of Measures	17
# of Incomplete Measures	0

Distribution of Measure Responses	Count
No Progress	1
Limited Progress	12
Moderate Progress	3
Substantial Progress	0
Objective Achieved	0
Not Applicable	1

Regional Capability Profile

Completion Status	Count
# of Questions	3
# of Incomplete Questions	0

Self Assessment Findings

Measure	Value
Average Scale Value	2.6
Maximum Scale Value	6
Minimum Scale Value	0
# of Measures that are N/A	1
% of Total Possible Value*	26%

*Does not include measures that are N/A or incomplete

Preliminary Capability Finding
Limited Progress

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CAPABILITY: RISK MANAGEMENT

Capability: Information Gathering and Recognition of Indicators and Warnings**Mission Area:** Prevent**Outcome Statement:**

Locally generated threat and other criminal and/or terrorism-related information is identified, gathered, entered into an appropriate data/retrieval system, and provided to appropriate analysis centers.

Pilot Site Information

State Name:	Connecticut
Region:	Region 3 (Capitol Region)

Assessment Coordinator Contact Information

Name:	Dan Scace		
Title and Affiliation:	UASI Project Manager & Training Coordinator		
Phone Number:	860-522-2217 x22	E-mail Address:	daniel.scace@sbcglobal.net

Regional Capability Profile

Question	Response
1) Does the region have an intelligence/information fusion center?	No
2) How many and which agencies in the region provide personnel to work in the closest fusion center?	One from region goes to CTIC.

Scale Guidance

For each measure, please select the value on the scale that best represents how much progress your region has made with regard to the objective or criterion described by the question. "Progress" means development, advancement, or evolution in the ability to fulfill the objective or criterion. This table provides explanations and examples to help you use the scale. These descriptions may not fit your jurisdiction exactly, but should help you judge your progress accurately.

Label	No Progress	Limited Progress			Moderate Progress			Substantial Progress			Objective Achieved	Not Applicable
Scale	0	1	2	3	4	5	6	7	8	9	10	N/A

Note: If out of region mutual aid assets were factored into the measure scores you MUST indicate this in the explanation section below.

Capability-Specific Measures for Information Gathering and Recognition of Indicators and Warnings

Measure	TCL Activity	Score	Progress Label	Optional Explanation
1 The regional plans address information gathering (e.g., continual gathering of only pure, unexamined data).	Develop, Communicate & Maintain Plans, Procedures, Programs, Systems	N/A	Not Applicable	Information collected by Intelligence Liaison Officer. All analysis and dissemination done at State level. Plans should reflect State responsibility.
2 The regional plans address gathering information that could be used to identify terrorist operations from all sources (e.g., law enforcement, public health, public works, firefighting, emergency medical entities).	Gather Information	N/A	Not Applicable	Same as above
3 The regional plans address gathering information on critical infrastructure and other potentially high-risk locations.	Gather Information	1	Limited Progress - Low	Limited role.
4 The regional plans address cataloging information from all sources in one regional database.	Gather Information	3	Limited Progress - High	During Fall of 2010 worked with DEMHS to ensure info was accurate. Ad-Hoc process rather than concrete plan.
5 The regional plans address gathering homeland security information during day-to-day activities.	Gather Information	4	Moderate Progress - Low	Integration of CTJIS Connecticut Justice Information System and ROBIR (caitol Region Booking System. CR LPR - License Plate Reader. CISS - future project for Connecticut Law Enforcement information sharing.

CAPABILITY: RISK MANAGEMENT

6	Our region is able to query databases or records to check for significance of information.	Screen Information	6	Moderate Progress - High	Same as above
7	Our region's database systems are linked and compatible (e.g., capable of rapid transmission and processing of pertinent information).	Screen Information	6	Moderate Progress - High	Same as above
8	Our region's law enforcement and related personnel are able to differentiate between suspicious activities and illegal or potentially threatening actions.	Identify Suspicious Circumstances	8	Substantial Progress - Mid	Training is available that includes suspicious activity detection. POST curriculum includes WMD awareness. In-service training includes awareness for potential IED/Suicide bombers. Continuous Training is ongoing.
9	Our region is able to increase information gathering activities during an elevated threat level.	Develop, Communicate & Maintain Plans, Procedures, Programs, Systems	7	Substantial Progress - Low	Region fully supports CTIC systems and processes. CTIC supports state-wide, locals can report SAR to CTIC, CTIC has database. Efforts would be increased during elevated threat levels.
10	Our region utilizes a predefined notification process to advise law enforcement of suspicious activity (e.g., 911 tip lines).	Identify Suspicious Circumstances	8	Substantial Progress - Mid	Region fully supports CTIC. CTIC has an 800 number for SAR. DEMHS has Tips hotline. Everbridge System allows for further info sharing.
11	The regional plans address communications requirements relevant to Information Gathering and Recognition of Indicators and Warnings (e.g., the dissemination of accurate, timely, accessible information to public, media, support agencies and vendors).	Develop, Communicate & Maintain Plans, Procedures, Programs, Systems	7	Substantial Progress - Low	Capabilities are there. The RESP plan includes RICS that have protocols for communications ESF functions support this as well. Web site can be updated, press releases completed, etc. Everbridge allows Communication.
12	Our region currently has (or has dedicated access to) the personnel trained to support Information Gathering and Recognition of Indicators and Warnings (e.g., law enforcement, public health, local business, key infrastructure representatives).	Gather Information/Screen Information/Identify Suspicious Circumstances	7	Substantial Progress - Low	Limited training has been provided in LE and PH training. CTIC has designated officer to the capitol region.
13	Our region currently has (or has dedicated access to) the equipment we need to support Information Gathering and Recognition of Indicators and Warnings (e.g., surveillance/detection systems, data gathering and analysis software, access to alert networks).	Gather Information/Screen Information/Identify Suspicious Circumstances	5	Moderate Progress - Mid	Information sharing systems in place. LPR License Plate Reader systems in place.
14	The agencies in our region are currently trained on activities required to conduct Information Gathering and Recognition of Indicators and Warnings (e.g., recognition of indicators and warnings at targeted businesses, terrorism indicator sets and relationship programs, advanced gathering and monitoring programs).	Develop & Maintain Training & Exercise Programs	7	Substantial Progress - Low	In service training has been increased. POST training includes awareness level. Reference notes for #8.
15	Our region has experience implementing Information Gathering and Recognition of Indicators and Warnings in an exercise and/or actual activation.	Develop & Maintain Training & Exercise Programs	7	Substantial Progress - Low	Region currently supports CTIC. See #8.

Capability Explanation: Provide a high-level description, in 400 words or less, of the region's long-term implementation steps for this capability and the resource needs for each. This description should reflect the point at which the region will switch from building capability to sustaining existing capability levels. Group discussion should capture thoughts and ideas that will be useful to you for future planning. Some details to consider include: 1) The factors that contributed to the region's scores (what are you missing?); 2) strategy for building the capability over time; 3) The sustainability of the capability and the processes/methods in place to ensure sustainability; and, 4) best practices and/or unique solutions related to the capability.

Implementation Step: Need to assess need to develop this capability in the region. Assess availability of systems to support this function. Review role of region vs. state and locals.

Resource Needs:

Planning: Depends on determination of need.

Organizing (People): LEOs, CR Chiefs, should discuss appropriate roles, need to develop this capability.

Equipment: Software and systems needed to gather and analyze data. Consider surveillance systems. Budget \$ TBD based on needs and priority

Training: Awareness level and SAR training needed. Can provide as in-service training. Budget \$ 50K.

Exercises: If determined to be a priority, should be included in future exercises.

Summary

Capability Measures

Completion Status	Count
# of Measures	15
# of Measures Completed	15
# of Incomplete Measures	0

No Progress	0
Limited Progress	2
Moderate Progress	4
Substantial Progress	7
Objective Achieved	0
Not Applicable	2

Regional Capability Profile

Completion Status	Count
# of Questions	2
# of Questions Completed	2
# of Incomplete Questions	0

Self Assessment Findings

Measure	Value
Average Scale Value	5.8
Maximum Scale Value	8
Minimum Scale Value	1
# of Measures that are N/A	2
% of Total Possible Value*	58%

*Does not include measures that are N/A or incomplete

Preliminary Capability Finding
Moderate Progress

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CAPABILITY: RISK MANAGEMENT

Capability: Intelligence Analysis and Production**Mission Area:** Prevent**Outcome Statement:**

Timely, accurate, and actionable intelligence/information products are produced in support of prevention, awareness, deterrence, response, and continuity planning operations.

Pilot Site Information

State Name:	Connecticut
Region:	Region 3 (Capitol Region)

Assessment Coordinator Contact Information

Name:	Dan Scape		
Title and Affiliation:	UASI Project Manager & Training Coordinator		
Phone Number:	860-522-2217 x22	E-mail Address:	daniel.scape@sbcglobal.net

Regional Capability Profile

Question	Response
1) How many police agencies in the region have dedicated staff to perform intelligence analysis and production?	Many departments have intelligence positions (approx. 12). Only 3 or 4 dedicated.
2) Please provide the number of personnel in the region trained in intelligence analysis and production?	Approx 3-4 within the region.
3) How many personnel in the region have a Secret or higher security clearance?	The CTIC LNO and a few others. One at CRCOG.

Scale Guidance

For each measure, please select the value on the scale that best represents how much progress your region has made with regard to the objective or criterion described by the question. "Progress" means development, advancement, or evolution in the ability to fulfill the objective or criterion. This table provides explanations and examples to help you use the scale. These descriptions may not fit your jurisdiction exactly, but should help you judge your progress accurately.

Label	No Progress	Limited Progress			Moderate Progress			Substantial Progress			Objective Achieved	Not Applicable
Scale	0	1	2	3	4	5	6	7	8	9	10	N/A

Note: If out of region mutual aid assets were factored into the measure scores you MUST indicate this in the explanation section below.

Capability-Specific Measures for Intelligence Analysis and Production

Measure	TCL Activity	Score	Progress Label	Optional Explanation
1 The regional plans address agency-to-agency terminology confusion (e.g., provide terminology/lexicon glossary to all relevant fusion center/ process entities).	Develop & Maintain Plans, Procedures, Programs, Systems	N/A	Not Applicable	GLOBAL for WORKSHEET: Not a Regional Responsibility. This Function Exists at the State Level.
2 The regional plans for Intelligence Analysis and Production address varying levels of personnel clearance (e.g., use tear-line formats to ensure officials have access to useful information, unclassified briefings, reports, alerts are used whenever possible).	Develop & Maintain Plans, Procedures, Programs, Systems/ Access Information	N/A	Not Applicable	
3 The regional plans address establishing a fusion center or define the process for including the closest fusion center in the region's intelligence analysis and production (e.g., staff multidisciplinary personnel and analysts, maintain communications, relay terrorist-related information).	Establish Fusion Center	N/A	Not Applicable	Region does not have a fusion center, attempting to address information sharing
4 Our region has the resources to receive, extract, or collect information from all available sources (e.g., relevant databases and systems available to the State fusion center).	Access Information	N/A	Not Applicable	

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CAPABILITY: RISK MANAGEMENT

5	Our region effectively manages intelligence received from multiple sources (e.g., blends, reconciles, and de-conflicts data, information).	Analyze Information/ Intelligence	N/A	Not Applicable	
6	Our region prioritizes intelligence based on relevance of the information and the finished intelligence products to potential threat elements.	Analyze Information/ Intelligence	N/A	Not Applicable	
7	Our region is able to identify patterns and trends that may indicate emerging, immediate, or long-term threat condition.	Analyze Information/ Intelligence	N/A	Not Applicable	
8	Our region is able to identify activities indicative of imminent or potential threats (e.g., identify links between terrorism related intelligence and information related to traditional criminal activity).	Analyze Information/ Intelligence	N/A	Not Applicable	Only what CTIC sends out, not timely. Capitol Region Chiefs of Police Assn. does meet and discuss this with state and FBI representatives.
9	Our region provides consumer-driven briefings, reports, and alerts on actions that may be indicative of an emerging threat (e.g., analysts tailor requirements for difference audiences)	Develop Analytic Products	N/A	Not Applicable	Hartford Police do a brief that is sent out daily. LES and open source information
10	Our region archives information and intelligence in a searchable repository to support future efforts.	Develop Analytic Products	N/A	Not Applicable	There are some systems in place that are searchable but no regional archive or meta data.
11	Our region currently has (or has dedicated access to) the personnel trained to support Intelligence Analysis and Production (e.g., multidisciplinary analysts, fusion center/process staff, security).	Establish Fusion Center/ Analyze Information/ Develop Analytic Products	N/A	Not Applicable	Some in individual departments, seen as a state responsibility. CTIC supports.
12	Our region currently has (or has dedicated access to) the equipment we need to support Intelligence Analysis and Production (e.g., surveillance systems, data gathering and analysis software, information sharing networks).	Establish Fusion Center/ Analyze Information/ Develop Analytic Products	N/A	Not Applicable	Has access to multiple systems, MDTs, NCIC, WebEOC, some HSIN access.
13	The agencies in our region are currently trained on activities required to conduct Intelligence Analysis and Production (e.g., basic and advanced intelligence analysis, awareness training on relevant privacy and security rules, trained to identify precursors and links between crime and terrorism).	Develop & Maintain Training & Exercise Programs	N/A	Not Applicable	
14	Our region has experience implementing Intelligence Analysis and Production.	Develop & Maintain Training & Exercise Programs	N/A	Not Applicable	Do not do.

Capability Explanation: Provide a listing of the region's long-term implementation steps for this capability to reach a "10" score and the resource needs for each. This description should reflect the point at which the region will switch from building capability to sustaining existing capability levels. Group discussion should capture thoughts and ideas that will be useful to you for future planning. Some details to consider include: 1) The factors that contributed to the region's scores (what are you missing?); 2) strategy for building the capability over time; 3) The sustainability of the capability and the processes/methods in place to ensure sustainability; and, 4) best practices and/or unique solutions related to the capability.

Implementation Step: Need to determine if this is a role that the region needs to perform. Rely on CTIC currently. Need to build in information sharing capabilities and work with CTIC to push out more information.

Resource Needs: Are dependant on role. Need to determine who is going to do this function.

Planning

Organizing (People)

Equipment

Training

Exercises

Summary

Capability Measures

Completion Status	Count
# of Measures	14
# of Measures Completed	14
# of Incomplete Measures	0
No Progress	0
Limited Progress	0
Moderate Progress	0
Substantial Progress	0
Objective Achieved	0
Not Applicable	14

Regional Capability Profile

Completion Status	Count
# of Questions	3
# of Questions Completed	3
# of Incomplete Questions	0

Self Assessment Findings

Measure	Value
Average Scale Value	Incomplete
Maximum Scale Value	0
Minimum Scale Value	0
# of Measures that are N/A	14
% of Total Possible Value*	Incomplete

*Does not include measures that are N/A or incomplete

Preliminary Capability Finding
#N/A

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CAPABILITY: RISK MANAGEMENT

Capability: Intelligence/Information Sharing and Dissemination**National Priority****Mission Area:** Prevent**Outcome Statement:**

Effective and timely sharing of information and intelligence occurs across Federal, State, local, tribal, regional, and private sector entities to achieve coordinated awareness of, prevention of, protection against, and response to a threatened or actual domestic terrorist attack, major disaster, or other emergency.

Pilot Site Information

State Name:	Connecticut
Region:	Region 3 (Capitol Region)

Assessment Coordinator Contact Information

Name:	Dan Scace		
Title and Affiliation:	UASI Project Manager & Training Coordinator		
Phone Number:	860-522-2217 x221	E-mail Address:	daniel.scace@sbcglobal.net

Regional Capability Profile

Question	Response
1) Does the region have a system and/or structure in place for sharing intelligence information in a proper form with all that need it? (e.g., law enforcement, emergency management, public health, transportation sector, energy sector, public). Please describe.	CTIC (Conn Intelligence Center) sends out regular reports on threats, trends, etc. Region sends a liaison officer to the center. There regional center or network. Beginning to discuss concept of CIP information sharing with PSA and DHEMS. Some use of HSIN. WebEOC may support information sharing.
2) What types of information are disseminated using the established system and/or structure (e.g., law enforcement sensitive, threat information, general preparative information)?	CTIC sends sanitized FOUO/LES but LNO has access to classified data and has access to federal and other sources.
3) Please provide a list of the type of agencies/partners involved in the information sharing process for the region (e.g., law enforcement, emergency management, public health, transportation sector, energy sector).	CTIC is just LEO but emergency management and other disciplines can get information bulletins.

Scale Guidance

For each measure, please select the value on the scale that best represents how much progress your region has made with regard to the objective or criterion described by the question. "Progress" means development, advancement, or evolution in the ability to fulfill the objective or criterion. This table provides explanations and examples to help you use the scale. These descriptions may not fit your jurisdiction exactly, but should help you judge your progress accurately.

Label	No Progress	Limited Progress	Moderate Progress	Substantial Progress	Objective Achieved	Not Applicable
Scale	0	1 2 3	4 5 6	7 8 9	10	N/A

Note: If out of region mutual aid assets were factored into the measure scores you MUST indicate this in the explanation section below.

Capability-Specific Measures for Intelligence/Information Sharing and Dissemination

Measure	TCL Activity	Score	Progress Label	Optional Explanation
1 The regional plans address information sharing network standards (e.g., survivable, interoperable, secure, accessible).	Develop & Maintain Plans, Procedures, Programs, Systems	7	Substantial Progress - Low	Have access to WebEOC, CTIC, Interoperable Communications. Regional Plans need to be more robust.
2 The regional plans address the effective dissemination of critical information (e.g., identifies personnel for appropriate security clearances, clearly defines processes for preventing, reporting, addressing inappropriate disclosure).	Develop & Maintain Plans, Procedures, Programs, Systems	N/A	Not Applicable	Security Clearances are a State Function.
3 The regional plans address establishing alternative, supplemental, and/or back-up procedures for routing information.	Develop & Maintain Plans, Procedures, Programs, Systems	4	Moderate Progress - Low	Original TICP exists but needs updating.
4 The regional plans identify all stakeholders, entities, and officials for inclusion in the information sharing network (e.g., Federal, State, regional, Tribal, local, non-law enforcement personnel).	Develop & Maintain Plans, Procedures, Programs, Systems/ Incorporate All Stakeholders in Information Flow	0	None	

CAPABILITY: RISK MANAGEMENT

5	Our region effectively manages the vertical flow of information (e.g., disseminate information between Federal, State, local, regional entities, disseminate information to street-level law enforcement, declassify relevant information).	Vertically Flow Information	1	Limited Progress - Low	Information sent up but not back down. CTIC information may be shared at roll call but not real time. State Function to manage this.
6	Our region effectively manages the horizontal flow of information (share information across disciplines such as fire departments, EMS units, public works, private sector).	Horizontally Flow Information	3	Limited Progress - High	ESF's, and horizontal Info Sharing exists but needs to be managed and outlined better.
7	Our region currently has (or has dedicated access to) the personnel trained to support Intelligence/ Information Sharing and Dissemination (e.g., IT, law enforcement, public health, fire, EMS, transportation personnel).	Incorporate All Stakeholders in Information Flow/ Vertically Flow Information/ Horizontally Flow Information	5	Moderate Progress - Mid	One LNO at state fusion center. Dedicated CTIC resources. No intelligence sharing with agencies outside Law Enforcement.
8	Our region currently has (or has dedicated access to) the equipment we need to support Intelligence/ Information Sharing and Dissemination (e.g., data synthesis software, hazard prediction, assessment, threat modeling software, information sharing network).	Incorporate All Stakeholders in Information Flow/ Vertically Flow Information/ Horizontally Flow Information	7	Substantial Progress - Low	Have limited access to systems such as CAPTAIN and Homeland security Information Network (HSIN), WebEOC. Dedicated CTIC resources.
9	The agencies in our region are currently trained on activities required to conduct Intelligence/ Information Sharing and Dissemination (e.g., pre-defined security clearances and need-to-know parameters, processing and disseminating intelligence, preventing inappropriate disclosure).	Develop & Maintain Training & Exercise Programs	2	Limited Progress - Mid	CTIC LNO only. CTIC trains Law Enforcement ILO's. No other functional Areas.
10	Our region has experience implementing Intelligence/ Information Sharing and Dissemination.	Develop & Maintain Training & Exercise Programs	1	Limited Progress - Low	

Capability Explanation: Provide a listing of the region's long-term implementation steps for this capability to reach a "10" score and the resource needs for each. This description should reflect the point at which the region will switch from building capability to sustaining existing capability levels. Group discussion should capture thoughts and ideas that will be useful to you for future planning. Some details to consider include: 1) The factors that contributed to the region's scores (what are you missing?); 2) strategy for building the capability over time; 3) The sustainability of the capability and the processes/methods in place to ensure sustainability; and, 4) best practices and/or unique solutions related to the capability.

Implementation Step: Need to determine what the region/state should be doing in this area. Need to develop ability and practice to develop and share real-time information and intelligence. Determine how to use existing systems, what new systems and resources are needed. One determined need to support with PT&E.

Resource Needs:

Planning: Net to develop or identify regional intelligence sharing capability based on appropriate roles. Identify planning support to develop this capability. Information sharing should be addressed as part of the RESP. Budget \$TBD based on identifying appropriate role.

Organizing (People): Need dedicated regional staff to support info share. Establish a 24x7 watch officer position to ensure vertical and horizontal info sharing. Budget: \$100K

Equipment: Can not determine until ConOps understood.

Training: Can not determine until ConOps understood.

Exercises: Should included I&I in exercises as much as possible.

Summary**Capability Measures**

Completion Status	Count
# of Measures	10
# of Measures Completed	10
# of Incomplete Measures	0

Distribution of Measure Responses	Count
No Progress	1
Limited Progress	4
Moderate Progress	2
Objective Achieved	0
Not Applicable	1

Regional Capability Profile

Completion Status	Count
# of Questions	3
# of Questions Completed	3
# of Incomplete Questions	0

Self Assessment Findings

Measure	Value
Average Scale Value	3.3
Maximum Scale Value	7
Minimum Scale Value	0
# of Measures that are N/A	1
% of Total Possible Value*	33%

*Does not include measures that are N/A or incomplete

Preliminary Capability Finding
Moderate Progress

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CAPABILITY: RISK MANAGEMENT

Capability: CBRNE Detection

National Priority

Mission Area: Prevent

Outcome Statement:

Chemical, biological, radiological, nuclear, and/or explosive (CBRNE) materials are rapidly detected and characterized at borders, critical locations, events, and incidents.

Pilot Site Information

State Name:	Connecticut
Region:	Region 3 (Capitol Region)

Assessment Coordinator Contact Information

Name:	Dan Scace		
Title and Affiliation:	UASI Project Manager & Training Coordinator		
Phone Number:	860-522-2217 x22	E-mail Address:	daniel.scace@sbcglobal.net

Regional Capability Profile

Question	Response
1) Please provide a brief description of the following detection capabilities or programs for the following categories located in the region: - Chemical - Biological - Radiological/Nuclear - Explosive	HM teams have detection equipment but no fixed ore pre-event detection capability. LE has no capability. State has rad detection. SCT has detection equipment. Canine capability for explosive.
2) Please provide a brief description of the specialized analysis resources located in the region for the following categories (please include access to specially trained personnel): - Chemical - Biological - Radiological/Nuclear - Explosive	Special teams have the equipment to survey and detect post even. HM and bomb squad teams are trained to use equipment.

Scale Guidance

For each measure, please select the value on the scale that best represents how much progress your region has made with regard to the objective or criterion described by the question. "Progress" means development, advancement, or evolution in the ability to fulfill the objective or criterion. This table provides explanations and examples to help you use the scale. These descriptions may not fit your jurisdiction exactly, but should help you judge your progress accurately.

Label	No Progress	Limited Progress			Moderate Progress			Substantial Progress			Objective Achieved	Not Applicable
Scale	0	1	2	3	4	5	6	7	8	9	10	N/A

Note: If out of region mutual aid assets were factored into the measure scores you MUST indicate this in the explanation section below.

Capability-Specific Measures for CBRNE Detection

Measure	TCL Activity	Score Value	Progress Label	Optional Explanation
1 The regional plans identify personnel for detection training (e.g., law enforcement, public health professionals, private sector security, fire personnel) in each of the following areas:	Develop & Maintain Plans, Procedures, Programs, Systems			
1a Chemical	Develop & Maintain Plans, Procedures, Programs, Systems	6	Moderate Progress - High	Done as part of training program, not specifically in the plan, team SOPs address training requirements per standard. Teams are identified.
1b Biological	Develop & Maintain Plans, Procedures, Programs, Systems	6	Moderate Progress - High	Done as part of training program, not specifically in the plan, team SOPs address training requirements per standard. Teams are identified.
1c Radiological/ Nuclear	Develop & Maintain Plans, Procedures, Programs, Systems	6	Moderate Progress - High	Done as part of training program, not specifically in the plan, team SOPs address training requirements per standard. Teams are identified.
1d Explosive	Develop & Maintain Plans, Procedures, Programs, Systems	6	Moderate Progress - High	Done as part of training program, not specifically in the plan, team SOPs address training requirements per standard. Teams Identified.
2 The regional plans address technical support (e.g., detection devices, availability onsite or through "reach back," standards for emerging technology) in each of the following areas:	Develop & Maintain Plans, Procedures, Programs, Systems			

CAPABILITY: RISK MANAGEMENT

2a	Chemical	Develop & Maintain Plans, Procedures, Programs, Systems	6	Moderate Progress - High	Done as part of team capabilities. SOPs address training requirements per standard.
2b	Biological	Develop & Maintain Plans, Procedures, Programs, Systems	6	Moderate Progress - High	Done as part of team capabilities. SOPs address training requirements per standard. Can reach back to Poison Control.
2c	Radiological/ Nuclear	Develop & Maintain Plans, Procedures, Programs, Systems	6	Moderate Progress - High	State plan for Millstone radiological emergencies
2d	Explosive	Develop & Maintain Plans, Procedures, Programs, Systems	6	Moderate Progress - High	Done as part of team capabilities. SOPs address training requirements per standard. Purchased additional Bomb Detection robot.
3	The regional plans address detection requirements analysis for critical infrastructure/ key resources in each of the following areas:	Develop & Maintain Plans, Procedures, Programs, Systems			
3a	Chemical	Develop & Maintain Plans, Procedures, Programs, Systems	0	None	
3b	Biological	Develop & Maintain Plans, Procedures, Programs, Systems	0	None	
3c	Radiological/ Nuclear	Develop & Maintain Plans, Procedures, Programs, Systems	0	None	
3d	Explosive	Develop & Maintain Plans, Procedures, Programs, Systems	0	None	
4	Our region detects ground, air, or sea transport/ deployment of CBRNE materials (e.g., screen baggage, mail at sea and airports, border crossing points).	Detect	3	Limited Progress - High	No regional capability. BDL has monitoring capability, USPS centers, some industrial. Have identified needs for mobile detection.
5	Our region detects materials on personnel or items at high impact targets (e.g., entering/boarding events, aircraft, mass transit) in each of the following areas:	Detect	2	Limited Progress - Mid	
5a	Chemical	Detect	2	Limited Progress - Mid	Hartford Bomb Squad deploys AreaRae system.
5b	Biological	Detect	0	None	
5c	Radiological/ Nuclear	Detect	2	Limited Progress - Mid	Civil support Team has resources. Hospitals have limited resources
5d	Explosive	Detect	0	None	

CAPABILITY: RISK MANAGEMENT

6	Our region implements detection and awareness programs for the public, private sector, and key personnel (e.g., the publishing and distribution of detection awareness material).	Detect	0	None	No regional efforts. State did "RUReady" and KI awareness near nuc plant. Citizen awareness funding in 2008 UASI
7	Our region accurately confirms and characterizes materials (e.g., tracks all suspicious items, alarms and their resolutions, analyzes suspicious materials) in each of the following areas:	Confirm & Characterize			
7a	Chemical	Confirm & Characterize	5	Moderate Progress - Mid	Region has capability. Potentially tracked at the Fusion Center
7b	Biological	Confirm & Characterize	5	Moderate Progress - Mid	Region has capability. Potentially tracked at the Fusion Center
7c	Radiological/ Nuclear	Confirm & Characterize	5	Moderate Progress - Mid	Region has capability. Potentially tracked at the Fusion Center
7d	Explosive	Confirm & Characterize	5	Moderate Progress - Mid	Region has capability. Potentially tracked at the Fusion Center
8	Our region provides CBRNE warning information to appropriate entities (e.g., intelligence, public safety, public health).	Communicate CBRNE Detection Incidents	0	None	Region does not do this. CTIC provides threat information, Chiefs share information about threats and events. Potentially Inapplicable to Region.
9	Our region currently has (or has dedicated access to) the personnel trained to support detection (e.g., baggage screeners, detector technicians, lab staff) in each of the following areas:	Detect/ Confirm & Characterize			
9a	Chemical	Detect/ Confirm & Characterize	0	None	Region does not do this. Personnel exist at BDL (TSA) and other capabilities at state lab, private sector. Inapplicable to Region.
9b	Biological	Detect/ Confirm & Characterize	0	None	Region does not do this. Personnel exist at BDL (TSA) and other capabilities at state lab, private sector. Inapplicable to Region.
9c	Radiological/ Nuclear	Detect/ Confirm & Characterize	0	None	Region does not do this. Personnel exist at BDL (TSA) and other capabilities at state lab, private sector. Inapplicable to Region.
10	Our region currently has (or has dedicated access to) the equipment we need to support detection (e.g., stand-off or point detector technologies, lab equipment, analysis software) in each of the following areas:	Detect/ Confirm & Characterize			Region does not do this. Personnel exist at BDL (TSA) and other capabilities at state lab, private sector.
10a	Chemical	Detect/ Confirm & Characterize	6	Moderate Progress - High	Region has capability.
10b	Biological	Detect/ Confirm & Characterize	4	Moderate Progress - Low	Region has capability. Relies on state for Laboratory support.

CAPABILITY: RISK MANAGEMENT

10c	Radiological/ Nuclear	Detect/ Confirm & Characterize	6	Moderate Progress - High	Region has capability.
10d	Explosive	Detect/ Confirm & Characterize	6	Moderate Progress - High	Canine explosive detection capability only. Check with Dustin
11	The agencies in our region are currently trained on activities required to conduct CBRNE Detection based on their roles and responsibilities (e.g., awareness level training for first responders).	Develop & Maintain Training & Exercise Programs	8	Substantial Progress - Mid	Yes, training provided on awareness, teams on technician level. More training needed for EMS and LE.
12	Our region has experience implementing CBRNE Detection in an exercise and/or actual activation.	Develop & Maintain Training & Exercise Programs	8	Substantial Progress - Mid	Yes, done in exercises and actual events

Capability Explanation: Provide a listing of the region's long-term implementation steps for this capability to reach a "10" score and the resource needs for each. This description should reflect the point at which the region will switch from building capability to sustaining existing capability levels. Group discussion should capture thoughts and ideas that will be useful to you for future planning. Some details to consider include: 1) The factors that contributed to the region's scores (what are you missing?); 2) strategy for building the capability over time; 3) The sustainability of the capability and the processes/methods in place to ensure sustainability; and, 4) best practices and/or unique solutions related to the capability.

Implementation Step: Need to identify regional roles and responsibility vs. state for detection. What fixed point, pre-event detection is needed, who needs to do it? What CIP assessments are needed. Need to clearly delineate responsibilities and staffing requirements with DEMHS.

Resource Needs:

Planning: Need planning support to address roles issues, who is responsible.

Organizing (People): A defined mission would require personnel sufficient to support mission. A CIP working group may be established to address CIP issues and could include detection issues.

Equipment: TBD based on above.

Training: TBD based on above.

Exercises: TBD based on above.

Summary**Capability Measures**

Completion Status	Count
# of Measures	34
# of Measures Completed	34
# of Incomplete Measures	0

Distribution of Measure Responses	Count
No Progress	12
Limited Progress	4
Moderate Progress	16
Substantial Progress	2
Objective Achieved	0
Not Applicable	0

Regional Capability Profile

Completion Status	Count
# of Questions	2
# of Questions Completed	2
# of Incomplete Questions	0

Self Assessment Findings

Measure	Value
Average Scale Value	3.4
Maximum Scale Value	8

SELF ASSESSMENT

CAPABILITY: RISK MANAGEMENT

Minimum Scale Value	0
# of Measures that are N/A	0
% of Total Possible Value*	34%

**Does not include measures that are N/A or incomplete*

Preliminary Capability Finding
Moderate Progress

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CAPABILITY: RISK MANAGEMENT

Capability: Critical Infrastructure Protection**Mission Area:** Protect**Outcome Statement:**

The risk to, vulnerability of, and consequence of attack to critical infrastructure are reduced through the identification of critical infrastructure; conduct, documentation, and standardization of risk assessments; prioritization of assets; decisions regarding protective and preventative programs; and implementation of protective and preventative plans.

Pilot Site Information

State Name:	Connecticut
Region:	Region 3 (Capitol Region)

Assessment Coordinator Contact Information

Name:	Dan Scace		
Title and Affiliation:	UASI Project Manager & Training Coordinator		
Phone Number:	860-522-2217 x22	E-mail Address:	daniel.scace@sbcglobal.net

Regional Capability Profile

Question	Response
1) Please provide the number of Critical Infrastructure/Key Resources that have been identified within the region for each of the following sectors: <ul style="list-style-type: none">- Agriculture and Food- Defense Industrial Base- Energy- Public Health and Healthcare- National Monuments and Icons- Banking and Finance- Drinking Water and Water Treatment Systems- Chemical- Commercial Facilities- Dams- Emergency Services- Commercial Nuclear Reactors, Materials, and Waste- Information Technology- Telecommunications- Postal and Shipping- Transportation Systems- Government Facilities	Need to develop criteria and inventory CI/KR
2) Please provide a brief description of how the numbers listed above were derived.	N/A
3) Please provide a brief description of the risk-based prioritization process used in the region (please include the number of sites for each category).	None
4) Of the regions highest priority sites, how many have had protective action programs and/or mitigation strategies implemented?	N/a

Scale Guidance

For each measure, please select the value on the scale that best represents how much progress your region has made with regard to the objective or criterion described by the question. "Progress" means development, advancement, or evolution in the ability to fulfill the objective or criterion. This table provides explanations and examples to help you use the scale. These descriptions may not fit your jurisdiction exactly, but should help you judge your progress accurately.

Label	No Progress	Limited Progress			Moderate Progress			Substantial Progress			Objective Achieved	Not Applicable
Scale	0	1	2	3	4	5	6	7	8	9	10	N/A

Note: If out of region mutual aid assets were factored into the measure scores you MUST indicate this in the explanation section below.

Capability-Specific Measures for Critical Infrastructure Protection

Once responses have been provided to the enclosed measures, this document is classified as "For Official Use Only" and must be treated in accordance with FOUO guidelines.

CAPABILITY: RISK MANAGEMENT

Measure	TCL Activity	Score	Progress Label	Optional Explanation
1 The regional plans address strategies and guidelines for infrastructure protection (e.g., cyber infrastructure, infrastructure personnel).	Develop & Maintain Plans, Procedures, Programs, Systems	N/A	Not Applicable	No plan, issues of who is responsible
2 Non-Government CI owners/operators are involved in our critical infrastructure protection planning.	Develop & Maintain Plans, Procedures, Programs, Systems	N/A	Not Applicable	ESF 14 includes private sector
3 The regional plans address continuity of operations for each of our high priority assets.	Develop & Maintain Plans, Procedures, Programs, Systems/ Protect	2	Limited Progress - Mid	Funded Diversion plans for regional interstate highways.
4 Our region has identified critical infrastructure and key assets at various levels (e.g., national, regional, state).	Identify Critical Infrastructure/ Key Resources	2	Limited Progress - Mid	Tier II data call only, coordinate with DEMHS and PSA
5 The regional plans address communication requirements related to Critical Infrastructure Protection (e.g., information sharing mechanisms, resource allocation and tracking programs).	Develop & Maintain Plans, Procedures, Programs, Systems	0	None	
6 Our region assesses risk and conducts analysis on high-risk critical infrastructure/ key resources (e.g., "top-screen" consequence analysis, vulnerability and threat assessments, interdependency analysis).	Assess Risks	0	None	
7 Our region has prioritized high-risk critical infrastructure/ key resources for consideration of protective programs.	Prioritize	0	None	
8 Our region has developed protection programs for high priority high-risk critical infrastructure/ key resources.	Protect	0	None	
9 Our region has developed continuity of operations plans for CI/KR sites.	Protect	2	Limited Progress - Mid	Some planning independent of regional efforts
10 Our region has implemented detection measures to protect critical infrastructure/ key resources (e.g., inspection surveillance, employee monitoring, security counterintelligence).	Protect	1	Limited Progress - Low	
11 Our region measures the effectiveness of critical infrastructure/ key resources protection programs (e.g., collect, analyze, report national and sector specific metrics data).	Measure Effectiveness	0	None	
12 Our region currently has (or has dedicated access to) the personnel trained to support Critical Infrastructure Protection (e.g., infrastructure intelligence analysts, security specialists, public/private sector coordinators).	Develop & Maintain Plans, Procedures, Programs, Systems/ Protect/ Measures Effectiveness	2	Limited Progress - Mid	PSA and LE LNO
13 Our region currently has (or has dedicated access to) the equipment needed to support Critical Infrastructure Protection (e.g., surveillance technology, detectors, mitigation equipment).	Develop & Maintain Plans, Procedures, Programs, Systems/ Protect/ Measures Effectiveness	2	Limited Progress - Mid	HazMat, Bomb Squad, Civil Support Team,
14 The agencies and CI personnel in our region are currently trained on activities required to conduct Critical Infrastructure Protection (e.g., risk and vulnerability assessment training, CI security plan testing, system to "Red Team" CIP measures and technology).	Develop & Maintain Training & Exercise Programs	0	None	

CAPABILITY: RISK MANAGEMENT

15	Our region has experience implementing Critical Infrastructure Protection.	Develop & Maintain Training & Exercise Programs	0	None	
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Capability Explanation: Provide a listing of the region's long-term implementation steps for this capability to reach a "10" score and the resource needs for each. This description should reflect the point at which the region will switch from building capability to sustaining existing capability levels. Group discussion should capture thoughts and ideas that will be useful to you for future planning. Some details to consider include: 1) The factors that contributed to the region's scores (what are you missing?); 2) strategy for building the capability over time; 3) The sustainability of the capability and the processes/methods in place to ensure sustainability; and, 4) best practices and/or unique solutions related to the capability.

Implementation Step: Need to develop CIP vision and plan, to include criteria, risk management, and inventory. Need to coordinate with state to insure no duplication of efforts.

Resource Needs:

Planning: Need to determine responsibilities and what CI/KR efforts are needed, what type of sustainable program can and should be developed.

Organizing (People): Workgroup needed, but organization/governance TBD depending on vision.

Equipment: N/A

Training: N/A

Exercises: N/A

Summary

Capability Measures

Completion Status	Count
# of Measures	15
# of Measures Completed	15

Distribution of Measure Responses

Distribution of Measure Responses	Count
No Progress	7
Limited Progress	6
Moderate Progress	0
Substantial Progress	0
Objective Achieved	0
Not Applicable	2

Regional Capability Profile

Completion Status	Count
# of Questions	4
# of Questions Completed	4

Self Assessment Findings

Measure	Value
Average Scale Value	0.8
Maximum Scale Value	2
Minimum Scale Value	0
# of Measures that are N/A	2

SELF ASSESSMENT

U.S. Department of Homeland Security
Preparedness Directorate, Office of Grants and Training
Pilot Capabilities Assessment Project

CAPABILITY: RISK MANAGEMENT

% of Total Possible Value*	8%
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**Does not include measures that are N/A or incomplete*

Preliminary Capability Finding
None

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CAPABILITY: RISK MANAGEMENT

Capability: Onsite Incident Management**Mission Area:** Respond**Outcome Statement:**

The incident is managed safely, effectively, and efficiently through the integration of facilities, resources (personnel, equipment, supplies, and communications), and procedures using a common organizational structure that is the ICS.

Pilot Site Information

State Name:	Connecticut
Region:	Region 3 (Capitol Region)

Assessment Coordinator Contact Information

Name:	Dan Scace		
Title and Affiliation:	UASI Project Manager & Training Coordinator		
Phone Number:	860-522-2217 x221	E-mail Address:	daniel.scace@sbcglobal.net

Regional Capability Profile

Question	Response
1) The region has how many of the following types of Incident Management Teams (IMT): - IMT I - IMT II - IMT III - IMT IV	IMT Type III exists on paper and has gone through initial training but no fully chartered. Needs buy-in and coordination with RED Plan. Need clear vision for IMT teams.
2) How many Mobile Command Posts are located in the region?	Five mobile command posts purchased with grant funds plus one in Hartford. In addition, many command staff have other command vehicles.

Scale Guidance

For each measure, please select the value on the scale that best represents how much progress your region has made with regard to the objective or criterion described by the question. "Progress" means development, advancement, or evolution in the ability to fulfill the objective or criterion. This table provides explanations and examples to help you use the scale. These descriptions may not fit your jurisdiction exactly, but should help you judge your progress accurately.

Label	No Progress	Limited Progress	Moderate Progress	Substantial Progress	Objective Achieved	Not Applicable
Scale	0	1 2 3	4 5 6	7 8 9	10	N/A

Note: If out of region mutual aid assets were factored into the measure scores you MUST indicate this in the explanation section below.

Capability-Specific Measures for Onsite Incident Management

Measure	TCL Activity	Score	Progress Label	Optional Explanation
1 Our region has a formal process for activating Onsite Incident Management for large and complex events.	Develop & Maintain Plans, Procedures, Programs & Systems	8	Substantial Progress - Mid	Documented in RESP Plan but room for improvement, additional planning and training needed
2 The regional plans address establishing incident command (e.g., IC posts, staging areas, command and general staff).	Establish Full On-site Incident Command	7	Substantial Progress - Low	Plan addresses this but more work is needed to address how local, regional and state would work together under ICS in a large-scale event.
3 The regional plans address the development of an incident action plan (e.g., to establish priorities, procedures, actions to meet incident objectives).	Develop Incident Action Plan (IAP)	6	Moderate Progress - High	RESP Plan addresses NIMS/ICS structure but no specific procedures for doing an IAP. Operationally, SOG development is needed. Have now through RCC concept of Operations and activation of IMT.
4 The regional plans address command management (e.g., transitioning from Incident Command to Unified Command, interface with agency administrators like municipal executives).	Establish Full On-site Incident Command	4	Moderate Progress - Low	RESP Plan addresses unified command but no specific procedures for doing an IAP. Personnel are reluctant to use Unified Command.
5 The regional plans address establishing communication requirements necessary for Onsite Incident Management (e.g., maintaining communications with responding units, dispatching centers, EOC).	Establish Full On-site Incident Command	8	Substantial Progress - Mid	In TICP, need more training and better understanding of procedures
6 The regional plans address demobilization of Onsite Incident Management (e.g., transition from IC to recovery management, incident resources returned to normal service).	Demobilize On-site Incident Management	5	Moderate Progress - Mid	Referenced at high level in IMT plans. Is mentioned in the RCC ConOps.

CAPABILITY: RISK MANAGEMENT

7	The regional plans address information sharing requirements relevant to Onsite Incident Management (e.g., dissemination of accurate, timely, accessible information to public, media, support agencies).	Implement On-site Incident Management	4	Moderate Progress - Low	Each plan discusses EEI needed to coordinate. Some mechanisms have been identified.
8	Nonprofit organizations/NGOs are actively engaged in our region's development of plans for Onsite Incident Management (e.g., the American Red Cross, Salvation Army, faith-based organizations).	Develop & Maintain Plans, Procedures, Programs & Systems	5	Moderate Progress - Mid	NGO's are involved. Roles are specifically addressed in areas of the plans.
9	Our region currently has the trained personnel it needs to support Onsite Incident Management (e.g., finance, logistics, operations, plans, commands).	Direct On-site Incident Management	8	Substantial Progress - Mid	Significant numbers trained, need to practice concepts in the field. IMT-position specific training is on-going.
10	Our region currently has (or has access to) the equipment it needs to support Onsite Incident Management (e.g., communication devices, tracking systems).	Direct On-site Incident Management	8	Substantial Progress - Mid	Equipment available for this
11	Our region currently has (or has access to) a records management system (e.g., to order, track, assign incident resources, to identify personnel who need training).	Establish Full On-site Incident Command/ Develop & Maintain Plans, Procedures, Programs & Systems	4	Moderate Progress - Low	Looking for resource management solutions. USMA project to address.
12	Our region is able to ensure the safety and security at the facilities we intend to use to support Onsite Incident Management (e.g., determine and ensure the structural integrity, capability, and suitability of Onsite Incident Management facilities).	Establish Full On-site Incident Command	5	Moderate Progress - Mid	LE resources may be strapped due to incident. Other resources should be available
13	Our region is able to ensure the self-sufficiency of the facilities it intends to use to support Onsite Incident Management (e.g., with respect to communications, power).	Establish Full On-site Incident Command	8	Substantial Progress - Mid	RCCs have been hardened
14	Our region has experience implementing Onsite Incident Management (e.g., securing IC posts, tracking incidents, delivering resources).	Develop & Maintain Training & Exercise Programs	7	Substantial Progress - Low	RCCs activated during exercises, no real-life regional events to date

Capability Explanation: Provide a listing of the region's long-term implementation steps for this capability to reach a "10" score and the resource needs for each. This description should reflect the point at which the region will switch from building capability to sustaining existing capability levels. Group discussion should capture thoughts and ideas that will be useful to you for future planning. Some details to consider include: 1) The factors that contributed to the region's scores (what are you missing?); 2) strategy for building the capability over time; 3) The sustainability of the capability and the processes/methods in place to ensure sustainability; and, 4) best practices and/or unique solutions related to the capability.

Implementation Step: Need more work to develop this capability. Need to formalize current ad hoc practices, clarify roles, responsibilities, authorities, more training and experience in operating regional facilities. Need a clear strategic vision from leadership.

Resource Needs

Planning: Need to develop SOPs for incident command, planning processes, etc. Budget: \$25K

Organizing (People): Need coordination and buy-in between state, region and locals. Need leadership and top-down direction on embracing NIMS concepts like Unified Command. Regional approach needs to dovetail into the state.

Equipment: Have made significant process but gaps remain for equipment. Need resource and information management systems. Need to address sustainment. Budget: included on other capabilities.

Training: Need to continue ICS training (consider multi-disciplinary classes) with focus on on-site incident management and regional coordination structure. Need funding for backfill and overtime. Need to change state policy on overtime. Budget: \$100K

Exercises: Exercises should include all levels force issues of state/regional/local command and control to be addressed. Budget included elsewhere.

Summary**Capability Measures**

Completion Status	Count
# of Measures	14
# of Measures Completed	14
# of Incomplete Measures	0

Distribution of Measure Responses	Count
Limited Progress	0
Moderate Progress	7
Substantial Progress	7
Objective Achieved	0
Not Applicable	0

Regional Capability Profile

Completion Status	Count
# of Questions	2
# of Questions Completed	2
# of Incomplete Questions	0

Self Assessment Findings

Measure	Value
Average Scale Value	6.2
Maximum Scale Value	8
Minimum Scale Value	4
# of Measures that are N/A	0
% of Total Possible Value*	62%

*Does not include measures that are N/A or incomplete

Preliminary Capability Finding
Substantial Progress

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CAPABILITY: RISK MANAGEMENT

Capability: Emergency Operations Center Management**Mission Area:** Respond**Outcome Statement:**

The event is effectively managed through multi-agency coordination for a pre-planned or no-notice event.

Pilot Site Information

State Name:	Connecticut
Region:	Region 3 (Capitol Region)

Assessment Coordinator Contact Information

Name:	Dan Scace		
Title and Affiliation:	UASI Project Manager & Training Coordinator		
Phone Number:	860-522-2217 x22	E-mail Address:	daniel.scace@sbcglobal.net

Regional Capability Profile

Question	Response
1) Is there a regional EOC/MAC or are there plans and procedures for standing one up? How many County and local EOCs are in the region?	RED Plan provides for four RCCs, these are identified and work as MACS. Building a permanent site in Manchester which will be primary MAC. Every community has an EOC (42)
2) How many of the EOCs in the region maintain 24/7 operations?	None
3) Does the region have redundant Voice and Data Communications among all the EOCs and MACs?	Phone, internet and radio and use a intercity radio network. HAMs augment. One third have Sat phones. Half have alternate power.

Scale Guidance

For each measure, please select the value on the scale that best represents how much progress your region has made with regard to the objective or criterion described by the question. "Progress" means development, advancement, or evolution in the ability to fulfill the objective or criterion. This table provides explanations and examples to help you use the scale. These descriptions may not fit your jurisdiction exactly, but should help you judge your progress accurately.

Label	No Progress	Limited Progress			Moderate Progress			Substantial Progress			Objective Achieved	Not Applicable
Scale	0	1	2	3	4	5	6	7	8	9	10	N/A

Note: If out-of-region mutual aid assets were factored into the measure scores you MUST indicate this in the explanation section below.

Capability-Specific Measures for Emergency Operations Center Management

Measure	TCL Activity	Score	Progress Label	Optional Explanation
1 The regional and local plans specify the criteria for activating local and county Emergency Operations Center and regional MAC Management procedures.	Activate EOC/ Develop & Maintain Plans, Procedures, Programs, & Systems	8	Substantial Progress - Mid	This is a major portion of the RESP plan. RCC has developed a concept of operations.
2 The regional and local plans address local, county and regional continuity of operations (e.g., central and backup EOCs/MACs, primary and secondary communications services).	Implement Multi-Agency Coordinated Decisions	8	Substantial Progress - Mid	Section of the local EOP, RESP Plan addresses three RCCs, mobile command centers, etc. give COOP, alternate comms
3 The regional and local plans address resource and personnel use limitations (e.g., work/rest, maintenance restrictions).	Direct Emergency Operations Center's Tactical Operations	7	Substantial Progress - Low	Locals do this per AHJ responsibility, local guidelines and resource dependant. Regional plan is three deep. RCC ConOps could/should address this.
4 The regional and local plans address communication requirements for Emergency Operations Center Management and regional MAC (e.g., links/circuits/ have been identified and tested, established ability to exchange data and voice in real time).	Gather & Provide Information	8	Substantial Progress - Mid	RESP Plan does this, TICP covers some aspects
5 The regional and local plans address information sharing requirements relevant to Emergency Operations Center and regional MAC Management (e.g., dissemination of accurate, timely, accessible information to public, media, support agencies).	Develop & Maintain Plans, Procedures, Programs, & Systems	5	Moderate Progress - Mid	Every ESF and plan has essential elements of information that are spelled out and shared. RESP covers PIO function and coordination of messaging, but not JIC/JIS. Lacking in local - regional operational data information sharing

CAPABILITY: RISK MANAGEMENT

6	Our region currently has (or has access to) the personnel it needs to support local and county Emergency Operations Center and regional MAC Management (e.g., resource coordinator, communications support, security personnel).	Support & Coordinate Response	8	Substantial Progress - Mid	IMT with 48 people, provides three deep coverage, CERT teams provide admin support, HAMs support comms, etc.
7	Our region currently has (or has access to) the equipment it needs to support local and county Emergency Operations Center and regional MAC Management (e.g., communication devices, tracking systems).	Support & Coordinate Response	8	Substantial Progress - Mid	Yes except for regional tracking system and regional info sharing platform
8	Our region has (or has access to) systems for mapping, modeling, and forecasting potential hazards.	Support & Coordinate Response	5	Moderate Progress - Mid	Yes, through ESF 10. Have GIS capabilities. HazMat has mapping capability, plume models, etc. Limited regional capacity exists, to be addressed with Common Operating Picture
9	Our region is able to ensure the safety and security at the facilities it intends to use to support Emergency Operations Center and regional MAC Management (That is, can your region determine and ensure the structural integrity, capability, and suitability of its Emergency Operations Center and MAC Management facilities?).	Develop & Maintain Plans, Procedures, Programs, & Systems	7	Substantial Progress - Low	RCC site manager will coordinate with local officials.
10	Our region is able to ensure the self-sufficiency of the facilities it intends to use to support Emergency Operations Center and regional MAC Management (e.g., with respect to communications, back-up power).	Develop & Maintain Plans, Procedures, Programs, & Systems	7	Substantial Progress - Low	RCC radios are scheduled for installation within month. Tactical IP has been installed.
11	Our region has exercised its ability to implement Emergency Operations Center and regional MAC Management (e.g., secure EOC, track incidents, deliver resources).	Develop & Maintain Training & Exercise Programs	6	Moderate Progress - High	Yes, part of regional exercises since TOPOFF. Follow-up on need for SOGs to be developed for resource requests.
12	Plans within our region address the demobilization of Emergency Operations Center and MAC (e.g., re-assess and implement EOC/MAC deactivation plan, re-supply EOC/MAC entity to return to a state of readiness).	Demobilize Emergency Operations Center Management	3	Limited Progress - High	Region needs applications isuite.
13	Our region's EOCs and MAC are linked and have determined roles in a large event.	Implement Multi-Agency Coordinated Decisions	3	Limited Progress - High	RCC is the regional MAC, covered by communications plan and matrix. Linked by wire, RF, some sat. State has a flow chart. DEMHS has not provided sufficient guidance to formalize regional authority/roles during an incident

Capability Explanation: Provide a listing of the region's long-term implementation steps for this capability to reach a "10" score and the resource needs for each. This description should reflect the point at which the region will switch from building capability to sustaining existing capability levels. Group discussion should capture thoughts and ideas that will be useful to you for future planning. Some details to consider include: 1) The factors that contributed to the region's scores (what are you missing?); 2) strategy for building the capability over time; 3) The sustainability of the capability and the processes/methods in place to ensure sustainability; and, 4) best practices and/or unique solutions related to the capability.

Implementation Step: Need to clarify role of RCC vs. DEMHS regional coordinator. Need RCC ConOps, position books, need planning support for this.

Resource Needs:

Planning: Need planning support for ConOps and position books, and facility specific operations (how the RCC works). Need to maintain notification system (ESF 2 to do), call lists for RICS. Need ESF charter to describe responsibilities and maintenance.

Budget: \$20K

Organizing (People): Need to identify a 24x7 situational awareness and notification capability. Continue to develop RCC staffing to sustainable levels (COOP) Budget TBD depending on how this is accomplished.

Equipment: Have notification and comms systems in place, EOC and RCC equipment. Need to budget for notification system license and minutes. Budget: \$5K

Training: Need cross train RCC staff in position-specific roles, RCC procedures and equipment, identify trainer resource. Budget: \$25K

Exercises: Continue to exercise RCC as part of the regional exercise program.

Summary

Capability Measures

Completion Status	Count
# of Measures	13
# of Measures Completed	13
# of Incomplete Measures	0

Distribution of Measure Responses	Count
Limited Progress	2
Moderate Progress	3
Substantial Progress	8
Objective Achieved	0
Not Applicable	0

Regional Capability Profile

Completion Status	Count
# of Questions	3
# of Questions Completed	3
# of Incomplete Questions	0

Self Assessment Findings

Measure	Value
Average Scale Value	6.4
Maximum Scale Value	8
Minimum Scale Value	3
# of Measures that are N/A	0
% of Total Possible Value*	64%

*Does not include measures that are N/A or incomplete

Preliminary Capability Finding
Substantial Progress

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CAPABILITY: RISK MANAGEMENT

Capability: Critical Resource Logistics and Distribution**Mission Area:** Respond**Outcome Statement:**

Critical resources are available to incident managers and emergency responders upon request for proper distribution and to aid disaster victims in a cost-effective and timely manner.

Pilot Site Information

State Name:	Connecticut
Region:	Region 3 (Capitol Region)

Assessment Coordinator Contact Information

Name:	Dan Scace		
Title and Affiliation:	UASI Project Manager & Training Coordinator		
Phone Number:	860-522-2217 x22	E-mail Address:	daniel.scace@sbcglobal.net

Regional Capability Profile

Question	Response
1) How many warehouses are there in the region to stockpile and store critical resources?	There are warehouses in region but do not belong to the region, this is an identified gap. Currently equipment in trailers
2) What type of resources are in the warehouses (e.g., Cots, food, water)?	Currently have trailers with regional equipment that carry critical resources. Cots, animal supplies, medical supplies, tent systems, Mobile Ambulatory Care Center equipment, etc.
3) Does the region maintain a critical resource list?	Have an inventory of trailer contents, need to do a resource list. Equipment that went to locals not well tracked.

Scale Guidance

For each measure, please select the value on the scale that best represents how much progress your region has made with regard to the objective or criterion described by the question. "Progress" means development, advancement, or evolution in the ability to fulfill the objective or criterion. This table provides explanations and examples to help you use the scale. These descriptions may not fit your jurisdiction exactly, but should help you judge your progress accurately.

Label	No Progress	Limited Progress	Moderate Progress	Substantial Progress	Objective Achieved	Not Applicable
Scale	0	1 2 3	4 5 6	7 8 9	10	N/A

Note: If out of region mutual aid assets were factored into the measure scores you MUST indicate this in the explanation section below.

Capability-Specific Measures for Critical Resource Logistics and Distribution

Measure	TCL Activity	Score	Progress Label	Optional Explanation
1 The regional plans specify the criteria for activating Critical Resource Logistics and Distribution procedures.	Develop & Maintain Plans, Procedures, Programs, & Systems	5	Moderate Progress - Mid	Yes, under regional plans, MOU with state involves a local EOP requirements. No regional MOU or Logistics System.
2 The regional plans for Critical Resource Logistics and Distribution are based on a formal assessment of risks and vulnerabilities.	Develop & Maintain Plans, Procedures, Programs, & Systems	6	Moderate Progress - High	No formal plan or assessment to determine needs other than needs assessment in 2003. HazMat HVA done in 2005
3 The regional plans address establishing and operating logistics staging areas (e.g., providing facilities, transportation, equipment, supplies, communication).	Activate Critical Resource Logistics and Distribution	3	Limited Progress - High	LSAs would come from IAP process but not specifically addressed in plan. Locals have LSA sites identified.
4 The regional plans address storage for supplies (e.g., secure, climate controlled).	Direct Critical Resource Logistics and Distribution Operations	2	Limited Progress - Mid	Medical only (pharmaceuticals)
5 The regional plans address transportation of supplies (e.g., security through restricted areas, law enforcement checkpoints).	Transport, Track, & Manage Resources	2	Limited Progress - Mid	Only for medical (pharmacy) This is covered under DEMHS commodities distribution plan. Coordination is ad hoc, credentialing still needed - being done by DEMHS. Trailers are in place but no plan
6 The regional plans address communications requirements relevant for Critical Resource Logistics and Distribution (e.g., jurisdiction requests are monitored to track inventory, transportation vendors can maintain contact during distribution).	Transport, Track, & Manage Resources	2	Limited Progress - Mid	

CAPABILITY: RISK MANAGEMENT

7	The regional plans address unused resources and disposal of waste materials generated by logistics operations.	Maintain & Recover Resources	2	Limited Progress - Mid	Pharmacy only
8	The regional plans for Critical Resource Logistics and Distribution supported by standing contracts and/or emergency purchase mechanisms such as credit cards or debit cards (e.g., rapid purchasing mechanisms are in place for specialized resources such as GIS and cell providers).	Develop & Maintain Plans, Procedures, Programs, & Systems	1	Limited Progress - Low	
9	Our region maintains databases that track the status of resources (e.g., acquiring, ordering, delivering, restocking of supplies) available to support Critical Resource Logistics and Distribution.	Respond to Needs Assessment & Inventory	1	Limited Progress - Low	Ongoing Resource Database Project
10	Our region currently has (or has access to) the personnel it needs to support Critical Resource Logistics and Distribution (e.g., inventory managers, supply transporters, security personnel, warehousemen).	Acquire Resources	2	Limited Progress - Mid	
11	Our region currently has (or has access to) the equipment it needs to support Critical Resource Logistics and Distribution (e.g., forklifts, trucks, dollies).	Acquire Resources	2	Limited Progress - Mid	Available through the locals but no MOUs in place.
12	Our region currently has adequate resources to be self-sufficient in the initial stages of an incident (e.g., agencies can feed and house their own personnel).	Respond to Needs Assessment & Inventory	7	Substantial Progress - Low	Can reach out to hotels to lock in rooms
13	Our region is able to ensure the safety and security at the facilities it intends to use to support Critical Resource Logistics and Distribution (e.g., determine and ensure the structural integrity, capability, and suitability of Critical Resource Logistics and Distribution facilities; protect supplies, equipment and personnel).	Direct Critical Resource Logistics and Distribution Operations	5	Moderate Progress - Mid	LE resources limited based on size and type of event
14	Our region is able to ensure the self-sufficiency of the facilities it intends to use to support Critical Resource Logistics and Distribution (e.g., with respect to communications, power).	Direct Critical Resource Logistics and Distribution Operations	8	Substantial Progress - Mid	RCCs would support, facilities are self-sufficient
15	Our region has exercised its ability to implement Critical Resources Logistics and Distribution (e.g., establish LSA, track supplies, deliver supplies and equipment).	Develop & Maintain Training & Exercise Programs	3	Limited Progress - High	Never emphasized in an exercise but elements included
16	Our region has the information resources required to project the demand for Critical Resource Logistics and Distribution (e.g., procedure for pre-positioning resources for incidents for which there is warning).	Transport, Track, & Manage Resources	2	Limited Progress - Mid	Needs to be addressed

Capability Explanation: Provide a listing of the region's long-term implementation steps for this capability to reach a "10" score and the resource needs for each. This description should reflect the point at which the region will switch from building capability to sustaining existing capability levels. Group discussion should capture thoughts and ideas that will be useful to you for future planning. Some details to consider include: 1) The factors that contributed to the region's scores (what are you missing?); 2) strategy for building the capability over time; 3) The sustainability of the capability and the processes/methods in place to ensure sustainability; and, 4) best practices and/or unique solutions related to the capability.

CAPABILITY: RISK MANAGEMENT

Implementation Step: Need to address role for the region in logistics, we would rely on the state for this currently. Need to develop this capability with critical resource lists, inventory management, projecting needs, acquiring needed resources. Need better coordination and cooperation.

Resource Needs

Planning: Work to develop a system of inventory management and resource logistics to provide this capability. Continue efforts to identify needed resources. Support ESF 7 efforts.

Organizing (People): Need to support ESF 7 with greater participation from regional players, coord with state.

Equipment: Need inventory and resource coordination system. Efforts underway with USMA project.

Training: Training on use of new system, once new system available. Need training on logistics (position specific)

Exercises: Once system in place, exercise use.

Summary**Capability Measures**

Completion Status	Count
# of Measures	16
# of Measures Completed	16

Regional Capability Profile

Completion Status	Count
# of Questions	3
# of Questions Completed	3

Distribution of Measure Responses	Count
No Progress	0
Limited Progress	11
Moderate Progress	3
Substantial Progress	2
Objective Achieved	0
Not Applicable	0

Self Assessment Findings

Measure	Value
Average Scale Value	3.3
Maximum Scale Value	8
Minimum Scale Value	1
# of Measures that are N/A	0
% of Total Possible Value*	33%

*Does not include measures that are N/A or incomplete

Preliminary Capability Finding
Moderate Progress

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CAPABILITY: RISK MANAGEMENT

Capability: Volunteer Management and Donations**Mission Area:** Respond**Outcome Statement:**

The positive effect of using unaffiliated volunteers and unsolicited donations is maximized and does not hinder response and recovery activities.

Pilot Site Information

State Name:	Connecticut
Region:	Region 3 (Capitol Region)

Assessment Coordinator Contact Information

Name:	Dan Scace		
Title and Affiliation:	UASI Project Manager & Training Coordinator		
Phone Number:	860-522-2217 x22	E-mail Address:	daniel.scace@sbcglobal.net

Regional Capability Profile

Question	Response
1) How many Volunteer and Donation Coordination Centers (VDCC) are there in the region?	None. Coordination usually done by state. Coord of emergency volunteers would be done ad hoc. MRC has a mobile unit and can register volunteers on-site. Other CCP programs organize volunteers.
2) How will the VDCCs coordinate the use of volunteers?	N/A
3) How will the VDCCs collect and disseminate the donations?	Would be ad hoc.

Scale Guidance

For each measure, please select the value on the scale that best represents how much progress your region has made with regard to the objective or criterion described by the question. "Progress" means development, advancement, or evolution in the ability to fulfill the objective or criterion. This table provides explanations and examples to help you use the scale. These descriptions may not fit your jurisdiction exactly, but should help you judge your progress accurately.

Label	No Progress	Limited Progress			Moderate Progress			Substantial Progress			Objective Achieved	Not Applicable
Scale	0	1	2	3	4	5	6	7	8	9	10	N/A

Note: If out of region mutual aid assets were factored into the measure scores you MUST indicate this in the explanation section below.

Capability-Specific Measures for Volunteer Management and Donations

Measure	TCL Activity	Score	Progress Label	Optional Explanation
1 The regional plans specify the criteria for activating a Volunteer Management and Donations Emergency Plan.	Activate Volunteer & Donations Management Emergency Plan / Develop & Maintain Plans, Procedures, Programs, & Systems	3	Limited Progress - High	ESF 16 supports this but no specific plan. *Minimal interaction with ESF 8. Volunteer Mgmt included in Citizen Prep Program. Contract Due 04/11.
2 The regional plans for Volunteer Management and Donations address facilities management (e.g., identify, staff, operate multi-agency warehouses, volunteer and donations coordination centers, volunteer reception centers, emergency distribution centers).	Coordinate Management for Unaffiliated Volunteer Management & the Establishment of Warehouses & Materials Equipment	1	Limited Progress - Low	Warehousing and storage in 2009 budget.
3 The regional plans for Volunteer Management and Donations address the management of material and cash donations (e.g., maintenance of appropriate documentation, referral of undesignated cash donations).	Collect & Manage Cash Donations/ Collect & Manager Material Donations	1	Limited Progress - Low	

CAPABILITY: RISK MANAGEMENT

4	The regional plans for Volunteer Management and Donations address the management of unaffiliated volunteers (e.g., identify potential volunteer opportunities, determine priority roles and needs for all hazards, research existing liability issues).	Coordinate Management for Unaffiliated Volunteer Management & the Establishment of Warehouses & Materials Equipment	1	Limited Progress - Low	
5	The regional plans for Volunteer Management and Donations address long-term recovery (e.g., allocate donations, assess need for volunteers, brief major donors on re-direction of donations).	Transition to Long-Term Recovery	0	None	
6	The regional plans address communications requirements relevant to Volunteer Management and Donations (e.g., provide information via website and toll free number for use at call center, advertise points of contact for receiving equipment and technical solutions).	Activate Volunteer & Donations Management Emergency Plan	1	Limited Progress - Low	211 (state) and 311 (in Hartford) and comms support available
7	Our region maintains databases that track the status of resources available to support Volunteer Management and Donations (e.g., when supplies are requested, where supplies will be delivered, constant inventory updates.).	Coordinate Distribution of Donations	2	Limited Progress - Mid	Preliminary discussions on creating databases, creating plans, contracted with USMA
8	Our region currently has (or has access to) the personnel it needs to support Volunteer Management and Donations (e.g., facilities staff, supply transporters, security personnel).	Develop & Maintain Plans, Procedures, Programs, & Systems	4	Moderate Progress - Low	ESF structure supports this to include staffing and transportation. RESP plan would help coordinate
9	Our region currently has (or has access to) the equipment it needs to support Volunteer Management and Donations (e.g., information management technology, communications equipment).	Develop & Maintain Plans, Procedures, Programs, & Systems	4	Moderate Progress - Low	Have rapid tag credentialing system. RESP plan and equip support
10	Our region is able to ensure the safety and security at the facilities it intends to use to support Volunteer Management and Donations (e.g., determine and ensure the structural integrity, capability, and suitability of Volunteer Management and Donations facilities as well as prevention of theft and/or destruction).	Develop & Maintain Plans, Procedures, Programs, & Systems	4	Moderate Progress - Low	Have done this for POD sites.
11	Our region is able to ensure the self-sufficiency of the facilities it intends to use to support Volunteer Management and Donations (e.g., with respect to communications, power).	Coordinate Management for Unaffiliated Volunteer Management & the Establishment of Warehouses &	5	Moderate Progress - Mid	Have done this for POD sites.
12	Our region has exercised its ability to implement Volunteer Management and Donations (e.g., intake resources, coordinate distribution, secure facilities).	Develop & Maintain Training & Exercise Programs	0	None	

Capability Explanation: Provide a listing of the region's long-term implementation steps for this capability to reach a "10" score and the resource needs for each. This description should reflect the point at which the region will switch from building capability to sustaining existing capability levels. Group discussion should capture thoughts and ideas that will be useful to you for future planning. Some details to consider include: 1) The factors that contributed to the region's scores (what are you missing?); 2) strategy for building the capability over time; 3) The sustainability of the capability and the processes/methods in place to ensure sustainability; and, 4) best practices and/or unique solutions related to the capability.

Implementation Step: Need to develop a volunteer and donations management plan and identify needed resources to operationalize the plan. Need to assign responsibility for this function under RED plan (ESF-18?) structure. Assess availability of resources to support this capability. Need to identify facilities to function as coordination center.

Resource Needs:

Planning: Need staff to develop plan and identify resources. CRCOG needs to develop policy in coordination with regional partners on appropriate roles and responsibilities. Budget \$25K

Organizing (People): Need to recruit ESF 18 lead to take responsibility for donations management. Budget \$0

Equipment: Need IT platform to manage volunteers and donations. Budget \$5K

Training: Explore and implement VOAD-based training. Explore cross-training of ESF leadership. Budget \$5K

Exercises: Include this capability in future exercises. Budget \$5K

Summary

Capability Measures

Completion Status	Count
# of Measures	12
# of Measures Completed	12
# of Incomplete Measures	0

Distribution of Measure Responses	Count
No Progress	2
Moderate Progress	4
Substantial Progress	0
Objective Achieved	0
Not Applicable	0

Regional Capability Profile

Completion Status	Count
# of Questions	3
# of Questions Completed	3
# of Incomplete Questions	0

Self Assessment Findings

Measure	Value
Average Scale Value	2.2
Maximum Scale Value	5
Minimum Scale Value	0
# of Measures that are N/A	0
% of Total Possible Value*	22%

*Does not include measures that are N/A or incomplete

Preliminary Capability Finding
Limited Progress

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CAPABILITY: RISK MANAGEMENT

Capability: Responder Safety and Health**Mission Area:** Respond**Outcome Statement:**

No illnesses or injury to any first responder, first receiver, medical facility staff member, or other skilled support personnel as a result of preventable exposure to secondary trauma, chemical/radiological release, infectious disease, or physical and emotional stress after the initial incident or during decontamination and incident follow-up.

Pilot Site Information

State Name:	Connecticut
Region:	Region 3 (Capitol Region)

Assessment Coordinator Contact Information

Name:	Dan Scace		
Title and Affiliation:	UASI Project Manager & Training Coordinator		
Phone Number:	860-522-2217 x22	E-mail Address:	daniel.scace@sbcglobal.net

Regional Capability Profile

Question	Response
1) Does the region have standardized PPE and monitoring equipment for all first responders? Is there a regional Standard? If no, have the first responders in the region been cross-trained on the other sources of PPE and monitoring equipment?	Region has not established a standard. AHJ must follow standards (OSHA, NFPA etc. for PPE). Region has provided PPE and monitoring equipment with SHSGP funding. Some first responders have been cross-trained.
2) Please provide a brief description of the cache of equipment and instrumentation maintained in the region for the following types of incidents: - Chemical - Biological - Radiological/Nuclear - Explosive - Fire - Other	Special teams have full complement of equipment, municipalities with decon trailers have received equip. MMRS maintains chem/bio antidotes for first responders. Level C PPE provided to LE and EMS. Municipal departments have typical PPE equipment needed for mission.

Scale Guidance

For each measure, please select the value on the scale that best represents how much progress your region has made with regard to the objective or criterion described by the question. "Progress" means development, advancement, or evolution in the ability to fulfill the objective or criterion. This table provides explanations and examples to help you use the scale. These descriptions may not fit your jurisdiction exactly, but should help you judge your progress accurately.

Label	No Progress	Limited Progress			Moderate Progress			Substantial Progress			Objective Achieved	Not Applicable
Scale	0	1	2	3	4	5	6	7	8	9	10	N/A

Note: If out of region mutual aid assets were factored into the measure scores you MUST indicate this in the explanation section below.

Capability-Specific Measures for Responder Safety and Health

Measure	TCL Activity	Score	Progress Label	Optional Explanation
1 Our region has implemented a comprehensive wellness/fitness program that encourages members to achieve and maintain fitness levels commensurate with duties	Develop & Maintain Plans, Procedures, Programs, & Systems	N/A	Not Applicable	Not a regional responsibility, up to AHJ
2 The regional plans for Responder Safety and Health address personal protective equipment (e.g., maintenance, assignment, integrity of PPE).	Identify Safety/PPE Needs & Distribute PPE	N/A	Not Applicable	Regional MCI plan requires appropriate equipment and training for the missions. No specific requirement in RESP plan. Not a regional responsibility, up to AHJ
3 The regional plans for Responder Safety and Health address hazard management (e.g., identification and receiving of hazards, transmitting hazard information).	Direct Responder Safety & Health Tactical Operations	N/A	Not Applicable	Regional Teams have processes in place. Addressed through IMT or ICS process.
4 The regional plans for Responder Safety and Health are integrated with Onsite Incident Management (e.g., assist ICS with monitoring, recommending, enforcing safety considerations).	Direct Responder Safety & Health Tactical Operations	6	Moderate Progress - High	Safety Officer function as a part of ICS and the regional IMT
5 The regional plans for Responder Safety and Health address personnel needs (e.g., work/rest cycles, medical, psychological, financial assistance).	Ongoing Monitoring of Responder Safety & Health	6	Moderate Progress - High	Rehab is addressed in a regional guideline that all ESFs should follow. CISM teams available for the team.

CAPABILITY: RISK MANAGEMENT

6	The regional plans address information sharing requirements relevant to Responder Safety and Health (e.g., dissemination of accurate, timely, accessible information to public, media, support agencies).	Direct Responder Safety & Health Tactical Operations	6	Moderate Progress - High	RESP plan's ESF structure supports PIO function but no JIC specified.
7	Nonprofit organizations/NGO's are actively engaged in our region's development of plans for Responder Safety and Health (e.g., the American Red Cross, Salvation Army, faith-based organizations).	Direct Responder Safety & Health Tactical Operations	7	Substantial Progress - Low	RC participates in Rehab and wants to engage Behavioral Health initiative.
8	Our region currently has (or has access to) the personnel it needs to support Responder Safety and Health (e.g., safety officers, Hazmat personnel, medical specialists).	Direct Responder Safety & Health Tactical Operations	6	Moderate Progress - High	Yes, resources are available to the region. Conducted class last year.
9	Our region currently has (or has access to) the equipment it needs to support Responder Safety and Health (e.g., PPE, medical equipment, WMD detectors, etc.).	Develop & Maintain Plans, Procedures, Programs, & Systems	6	Moderate Progress - High	PPE sufficient for mission, medical equipment available, HM team would do monitoring and detection
10	Our region has information tracking systems relevant to Responder Safety and Health procedures (e.g., responders' health updates, training history, immunization and health records, current PPE standards).	Ongoing Monitoring of Responder Safety & Health	N/A	Not Applicable	Maintained to some degree at local level, and by regional specialty teams. Region has no system but maintains records for some IMT members, training history for IMT, no immunization records.
11	The regional plans address demobilization of Responder Safety & Health (e.g., conduct post-incident analysis, monitor exposed responders, long-term monitoring, provide mental and behavioral health support).	Demobilize Responder Safety & Health	7	Substantial Progress - Low	Complete AARs and make adjustments.
12	Our region exercised its ability to implement Responder Safety and Health procedures (e.g., exercised responses to pre-identified hazards, trained in site/incident specific safety, all responders have completed baseline medical evaluation).	Develop & Maintain Training & Exercise Programs/ Site/Incident Specific Safety & Health Training	7	Substantial Progress - Low	Included to some degree in exercises. Use safety officers per HSEEP, special team exercises include rehab.

Capability Explanation: Provide a listing of the region's long-term implementation steps for this capability to reach a "10" score and the resource needs for each. This description should reflect the point at which the region will switch from building capability to sustaining existing capability levels. Group discussion should capture thoughts and ideas that will be useful to you for future planning. Some details to consider include: 1) The factors that contributed to the region's scores (what are you missing?); 2) strategy for building the capability over time; 3) The sustainability of the capability and the processes/methods in place to ensure sustainability; and, 4) best practices and/or unique solutions related to the capability.

Implementation Step: Need to review roles and responsibilities, determine appropriate role for the region in terms of safety and health vs. AHJ. Plans should address how to perform those roles determined as appropriate for the region.

Resource Needs:

Planning: Need support in determining roles, process, how to manage safety and health, rehab, etc. Plans should be updated once roles are determined.

Organizing (People): State/regional/local organizations need to coordinate on establishing clear roles and processes

Equipment: Need to continue to build regional resources that enhance safety and health, tracking, accountability, medical records, etc. depending on roles.

Training: Need training based on identified roles and responsibilities.

Exercises: Exercises need to reinforce safety officer functions. (based on identified roles and responsibilities)

Summary

CAPABILITY: RISK MANAGEMENT

Capability Measures

Completion Status	Count
# of Measures	12
# of Measures Completed	12
# of Incomplete Measures	0

Distribution of Measure Responses	Count
No Progress	0
Limited Progress	0
Substantial Progress	3
Objective Achieved	0
Not Applicable	4

Regional Capability Profile

Completion Status	Count
# of Questions	2
# of Questions Completed	2
# of Incomplete Questions	0

Self Assessment Findings

Measure	Value
Average Scale Value	6.4
Maximum Scale Value	7
Minimum Scale Value	6
# of Measures that are N/A	4
% of Total Possible Value*	64%

**Does not include measures that are N/A or incomplete*

Preliminary Capability Finding
Substantial Progress

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CAPABILITY: RISK MANAGEMENT

Capability: Public Safety and Security Response**Mission Area:** Respond**Outcome Statement:**

The incident scene is assessed and secured, access is controlled, security support is provided to other response operations (and related critical locations, facilities, and resources), emergency public information is provided, while protecting first responders and mitigating any further effect to the public at risk, and any crime/incident scene preservation issues have been addressed.

Pilot Site Information

State Name:	Connecticut
Region:	Region 3 (Capitol Region)

Assessment Coordinator Contact Information

Name:	Dan Scace		
Title and Affiliation:	UASI Project Manager & Training Coordinator		
Phone Number:	860-522-2217 x22	E-mail Address:	daniel.scace@sbcglobal.net

Regional Capability Profile

Question	Response
1) How many police officers are normally on duty in the region?	Approx 700 on duty (2,168 total)
2) What is the capacity of the region if more officers are needed?	Limited to call back of off-duty personnel, approx. 1,200 should be available but may be less based on the incident and operational period. Also many are volunteer fire fighters and may not be available if serving in that role.
3) Does the region have or can it establish a first responder credentialing for access control?	No. Have badging (rapid tag) but no credentialing.

Scale Guidance

For each measure, please select the value on the scale that best represents how much progress your region has made with regard to the objective or criterion described by the question. "Progress" means development, advancement, or evolution in the ability to fulfill the objective or criterion. This table provides explanations and examples to help you use the scale. These descriptions may not fit your jurisdiction exactly, but should help you judge your progress accurately.

Label	No Progress	Limited Progress			Moderate Progress			Substantial Progress			Objective Achieved	Not Applicable
Scale	0	1	2	3	4	5	6	7	8	9	10	N/A

Note: If out of region mutual aid assets were factored into the measure scores you MUST indicate this in the explanation section below.

Capability-Specific Measures for Public Safety and Security Response

Measure	TCL Activity	Score	Progress Label	Optional Explanation
1 The regional plans for Public Safety and Security Response address ongoing law enforcement business (e.g., alternative holding facilities, access to NCIC).	Conduct Law Enforcement Operations	5	Moderate Progress - Mid	Ad hoc depending on incident. LEO resources are limited. RESP Plan addresses how to request assistance. Working on Tiered Mutual Aid Plan with taskforce assignments.
2 The regional plans for Public Safety and Security Response address public safety enforcement actions during a crisis (e.g., include teams for handling people disrupting public order, violating laws, requiring quarantine).	Plan for Public Safety & Security Response During Large-Scale All-Hazards Events	2	Limited Progress - Mid	Enforcement not addressed in regional plans.
3 The regional plans for Public Safety and Security Response address establishing staging areas for law enforcement (e.g., conduct personnel assignment, briefing prior to entering the impacted area).	Command & Control Public Safety & Security Response Operations	5	Moderate Progress - Mid	Plan addresses how to request support but does not prescribe staging process
4 The regional plans for Public Safety and Security Response address sheltering, housing, and feeding of law enforcement personnel.	Command & Control Public Safety & Security Response Operations	2	Limited Progress - Mid	
5 The regional plans for Public Safety and Security Response address sheltering, care, and feeding of detainees.	Command & Control Public Safety & Security Response Operations	2	Limited Progress - Mid	

CAPABILITY: RISK MANAGEMENT

6	The regional plans for Public Safety and Security Response address recovery strategies (e.g., replenishing supplies and equipment, re-assigning personnel).	Demobilize Public Safety & Security Response	3	Limited Progress - High	RCC would support requests for supplies but no documented plans for demob. Fully Certified IMT practicing ICS.
7	The regional plans address information sharing requirements relevant to Public Safety and Security Response (e.g., dissemination of accurate, timely, accessible information to public, media, support agencies).	Plan for Public Safety & Security Response During Large-Scale All-Hazards Events	5	Moderate Progress - Mid	Plan addresses this through RCC, PIO function.
8	Nonprofit organizations/NGOs are actively engaged in our region's development of plans for Public Safety and Security Response (e.g., the American Red Cross, Salvation Army, faith-based organizations).	Plan for Public Safety & Security Response During Large-Scale All-Hazards Events	5	Moderate Progress - Mid	Red Cross Actively engaged in Shelter Ops.
9	Our region currently has (or has access to) the personnel it needs to support Public Safety and Security Response (e.g., police, security, corrections and auxiliary officers).	Command & Control Public Safety & Security Response Operations	5	Moderate Progress - Mid	May be limited based on incident type and duration. LEO resources are limited.
10	Our region currently has (or has access to) the equipment it needs to support Public Safety and Security Response (e.g., PPE, medical equipment, hazard detectors).	Activate Public Safety & Security Response	8	Substantial Progress - Mid	PPE may be close to expiration or expired. Replacement and sustainability of existing equipment is a concern.
11	Our region has information tracking systems relevant to Public Safety and Security Response (e.g., hot zones locations, personnel accountability, resource availability, victim follow-up).	Plan for Public Safety & Security Response During Large-Scale All-Hazards Events	6	Moderate Progress - High	Personnel accountability only, working on resource tracking under USMA project, WebEOC can support. CAPTAIN provides mapping function. Region has a fully functional IMT and GIS functions.
12	Our region is able to ensure the self-sufficiency of the facilities it intends to use to support Public Safety and Security Response operations (e.g., with respect to communications, power).	Manage Criminal Justice Population	N/A	Not Applicable	State function
13	Our region has exercised its ability to implement Public Safety and Security Response procedures (e.g., conducted fire code inspections, identified alternative water supplies).	Determine Appropriate Training & Exercises Necessary to Address Existing	N/A	Not Applicable	Not exercised, done ad hoc, detention a state responsibility

Capability Explanation: Provide a listing of the region's long-term implementation steps for this capability to reach a "10" score and the resource needs for each. This description should reflect the point at which the region will switch from building capability to sustaining existing capability levels. Group discussion should capture thoughts and ideas that will be useful to you for future planning. Some details to consider include: 1) The factors that contributed to the region's scores (what are you missing?); 2) strategy for building the capability over time; 3) The sustainability of the capability and the processes/methods in place to ensure sustainability; and, 4) best practices and/or unique solutions related to the capability.

Implementation Step: Need to review roles and responsibilities, determine appropriate role for the region. Plans should address how to perform those roles determined as appropriate for the region.

Resource Needs:

Planning: Need support for public policy issues, determining roles, process, ConOps. Also, how to manage safety and accountability, demob, etc. Plans should be updated once roles are determined.

Organizing (People): State/regional/local organizations need to coordinate on establishing clear roles and processes for this capability, use of ICS as a planning tool, how to address issues that are state responsibilities, etc.

Equipment: Need to continue to build regional resources that enhance safety and security, incident management, resource tracking, accountability, etc.

Training: Need training based on identified roles and responsibilities. Need command post and position-specific training.

Exercises: Exercises need to reinforce ICS training, use of planning processes. (based on identified roles and responsibilities)

Budget captured in other capabilities.

Summary

Capability Measures

Completion Status	Count
# of Measures	13
# of Measures Completed	13
# of Incomplete Measures	0

Distribution of Measure Responses	Count
Limited Progress	4
Moderate Progress	6
Substantial Progress	1
Objective Achieved	0
Not Applicable	2

Regional Capability Profile

Completion Status	Count
# of Questions	3
# of Questions Completed	3
# of Incomplete Questions	0

Self Assessment Findings

Measure	Value
Average Scale Value	4.4
Maximum Scale Value	8
Minimum Scale Value	2
# of Measures that are N/A	2
% of Total Possible Value*	44%

*Does not include measures that are N/A or incomplete

Preliminary Capability Finding
Moderate Progress

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CAPABILITY: RISK MANAGEMENT

Capability: Explosive Device Response Operations**National Priority****Mission Area:** Respond**Outcome Statement:**

Threat assessments are conducted, the explosive and/or hazardous devices are rendered safe, and the area is cleared of hazards. Measures are implemented in the following priority order: public safety; safeguard the officers on the scene (including the bomb technician), protect and preserve public and private property, collect and preserve evidence, and accommodate the public/restore services.

Pilot Site Information

State Name:	Connecticut
Region:	Region 3 (Capitol Region)

Assessment Coordinator Contact Information

Name:	Dan Scace		
Title and Affiliation:	UASI Project Manager & Training Coordinator		
Phone Number:	860-522-2217 x22	E-mail Address:	daniel.scace@sbcglobal.net

Regional Capability Profile

Question	Response
1) How many of the following types of teams are located within the region: - Bomb Response Teams - Military EOD - Bomb Squads	One regional bomb squad (FBI certified). Bomb teams have three technicians trained (one team but plans to train a fourth and stand up a second one). Total of CST available with EOD capability. CT State Police has a team. Other regional teams exist in state (out of region).
2) How many Certified bomb technicians are there in the region?	Three certified technician, plan on adding a fourth.
3) How many EOD robots are available?	Two in Hartford PD

Scale Guidance

For each measure, please select the value on the scale that best represents how much progress your region has made with regard to the objective or criterion described by the question. "Progress" means development, advancement, or evolution in the ability to fulfill the objective or criterion. This table provides explanations and examples to help you use the scale. These descriptions may not fit your jurisdiction exactly, but should help you judge your progress accurately.

Label	No Progress	Limited Progress	Moderate Progress	Substantial Progress	Objective Achieved	Not Applicable
Scale	0	1 2 3	4 5 6	7 8 9	10	N/A

Note: If out of region mutual aid assets were factored into the measure scores you MUST indicate this in the explanation section below.

Capability-Specific Measures for Explosive Device Response Operations

Measure	TCL Activity	Score	Progress Label	Optional Explanation
1 The regional plans for Explosive Device Response Operations are based on a formal assessment of risks and vulnerabilities.	Develop & Maintain Plans, Procedures, Programs & Systems	2	Limited Progress - Mid	No regional assessment, individual communities do to some degree. Did 2003 needs and capability assessment.
2 The regional plans for Explosive Device Response Operations address responder safety (e.g., accurate identification of hazards, appropriately certified responders, proper PPE).	Search & Assess Site	8	Substantial Progress - Mid	Fully trained and equipped squad. SOP exists as part of RESP plan.
3 The regional plans for Explosive Device Response Operations address terrorist scenarios (e.g., WMD suicide bombers, vehicle and radio controlled improvised devices).	Develop & Maintain Plans, Procedures, Programs & Systems	8	Substantial Progress - Mid	Yes and have trained for that. RESP does not address specifically but the squad has this as part of their operations
4 The regional plans for Explosive Device Response Operations address standardized education to certify bomb technicians (e.g., FBI Hazardous Devices School, National Bomb Squad Commanders Advisory Board).	Develop & Maintain Training & Exercise Programs	8	Substantial Progress - Mid	Yes, fully trained
5 Our region's Explosive Device Response Operations address onsite treatment of devices (e.g., deactivation, disruption, disabling, containerizing for transport).	Render Safe Onsite/ Conduct Recovery, Removal	8	Substantial Progress - Mid	Yes, fully trained and plans for this. Working to expand capabilities
6 The regional plans for Explosive Device Response Operations address communications requirements (e.g., establish onsite command, control, communications and intelligence operations).	Direct Explosive Device Response Operations	8	Substantial Progress - Mid	Part of the plan.
7 The regional plans for Explosive Device Response Operations address personnel needs (e.g., work/rest cycles, medical, psychological, financial assistance).	Develop & Maintain Plans, Procedures, Programs & Systems	8	Substantial Progress - Mid	

CAPABILITY: RISK MANAGEMENT

8	The regional plans address information sharing requirements relevant to Explosive Device Response Operations (e.g., dissemination of accurate, timely, accessible information to public, media, support agencies).	Direct Explosive Device Response Operations	5	Moderate Progress - Mid	RESP plan and ESF support this but no formal plans for JIC/JIS just ad hoc.
9	Our region currently has (or has access to) the personnel it needs to support Explosive Device Response Operations (e.g., bomb technicians, HazMat personnel, medical specialists).	Develop & Maintain Plans, Procedures, Programs & Systems	8	Substantial Progress - Mid	Yes, expanded (dive team capabilities expanded with ROV and vehicle), leveraging bomb squad with tactical teams)
10	Our region currently has (or has access to) the equipment it needs to support Explosive Device Response Operations (e.g., PPE, medical equipment, WMD detectors).	Develop & Maintain Plans, Procedures, Programs & Systems	8	Substantial Progress - Mid	Yes, fully equipped, containment capability, plans for new replacement TCV - new radio equipment and PPE and LE SWAT vehicles
11	Our region is able to ensure the safety and security at the scene and facilities intended to support Explosive Device Response Operations (e.g., can your region determine and ensure the structural integrity, capability, and physical security of its Emergency Operations Center Management facilities).	Direct Explosive Device Response Operations	7	Substantial Progress - Low	Subject to LEO resources depending on type and scale of incident. Revision to CRCOPA Mutual Aid plan completed.
12	Our region is able to ensure the self-sufficiency of its Explosive Device Response Operations (e.g., with respect to communications, power).	Direct Explosive Device Response Operations	8	Substantial Progress - Mid	Yes, fully self sufficient
13	The regional plans address demobilization of Explosive Device Removal Operations (e.g., debrief Bomb Squad)	Direct Explosive Device Response Operations	8	Substantial Progress - Mid	RESP addresses ESF support, Bomb Squad SOP covers team demob, other support disciplines not covered by demob.
14	Our region has exercised its ability to implement Explosive Device Response Operations procedures (e.g., conduct reconnaissance, improvised explosive device onsite response).	Develop & Maintain Training & Exercise Programs	8	Substantial Progress - Mid	Teams do but via regional exercises that include EOD

Capability Explanation: Provide a listing of the region's long-term implementation steps for this capability to reach a "10" score and the resource needs for each. This description should reflect the point at which the region will switch from building capability to sustaining existing capability levels. Group discussion should capture thoughts and ideas that will be useful to you for future planning. Some details to consider include: 1) The factors that contributed to the region's scores (what are you missing?); 2) strategy for building the capability over time; 3) The sustainability of the capability and the processes/methods in place to ensure sustainability; and, 4) best practices and/or unique solutions related to the capability.

Implementation Step: Need to work on unified command and multi-discipline and multi-jurisdictional coordination. Need to integrate with tactical training as well. Current plans need to be fully executed. Expand capabilities to include bomb canines, underwater EOD.

Resource Needs:

Planning: Plans need to be updated to include demob, need to emphasize unified command. Need to do hazard and vulnerability assessment. Budget: \$200K for HVA

Organizing (People): Teams are mature, need to work with other disciplines.

Equipment: New CBRNE capable vehicle and TCV being procured. Need equipment for underwater demo, dry suits, remote underwater devices, underwater camera. Budget: \$1.2M

Training: Sustain EOD training, need underwater demo training. Budget: \$500K

Exercises: Want to include in regional exercises, include unified command, multi-jurisdictional and multi-discipline activities. Budget: \$200K for backfill and overtime.

Summary**Capability Measures**

Completion Status	Count
# of Measures	14
# of Measures Completed	14
# of Incomplete Measures	0

No Progress	0
Limited Progress	1
Moderate Progress	1
Substantial Progress	12
Objective Achieved	0
Not Applicable	0

Regional Capability Profile

Completion Status	Count
# of Questions	3
# of Questions Completed	3
# of Incomplete Questions	0

Self Assessment Findings

Measure	Value
Average Scale Value	7.3
Maximum Scale Value	8
Minimum Scale Value	2
# of Measures that are N/A	0
% of Total Possible Value*	73%

*Does not include measures that are N/A or incomplete

Preliminary Capability Finding

Substantial Progress

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CAPABILITY: RISK MANAGEMENT

Capability: Firefighting Operations/Support**Mission Area:** Respond**Outcome Statement:**

Dispatch and safe arrival of the initial fire suppression resources occurs within jurisdictional response time objectives. The initial arriving unit initiates the incident command system (ICS), assesses the incident scene, communicates the situation, and requests appropriate resources. Firefighting activities are conducted safely and fires are contained, controlled, extinguished, investigated, and managed in accordance with emergency response plans and procedures.

Pilot Site Information

State Name:	Connecticut
Region:	Region 3 (Capitol Region)

Assessment Coordinator Contact Information

Name:	Dan Scace		
Title and Affiliation:	UASI Project Manager & Training Coordinator		
Phone Number:	860-522-2217 x221	E-mail Address:	daniel.scace@sbcglobal.net

Regional Capability Profile

Question	Response
1) What is the makeup of the region's Fire Departments? (Please provide total and % of career and volunteer).	43 volunteer departments, nine career and six combination departments. 74% volunteer, 26% paid) Approx. 6,200 firefighters in the CR (1,200 paid, 5,000 volunteer) 20/80% percent split
2) What is the on-duty strength or equivalent response capability for the region for the following capabilities: - Fire Suppression - EMS - Special Operations - Other	Fire: Normal staffing levels varies by time of day and volunteer staffing levels. Approx 300 on-duty career F/F. EMS: 55 paramedics on-duty at any one time. EMTs on duty at least 75 on duty daily. 70% of EMS is volunteer, many fire cross-trained. Special Operations: 12 on duty at any given time, rest are on-call. Other: BDL Crash Rescue has 8 on-duty.
3) How many of the following special operations units are in the region: - Technical rescue - High angle - Trench - Marine - Surface Water/Swift Water - Other	Technical rescue units: One US&R (CT TF-1), 20 heavy squads. High Angle: 3, Trench: 2, Marine 30+, Swift Water 3, almost every town (20) has confined space units. Other: One HazMat Team with four components (64 team members).

Scale Guidance

For each measure, please select the value on the scale that best represents how much progress your region has made with regard to the objective or criterion described by the question. "Progress" means development, advancement, or evolution in the ability to fulfill the objective or criterion. This table provides explanations and examples to help you use the scale. These descriptions may not fit your jurisdiction exactly, but should help you judge your progress accurately.

Label	No Progress	Limited Progress	Moderate Progress	Substantial Progress	Objective Achieved	Not Applicable
Scale	0	1 2 3	4 5 6	7 8 9	10	N/A

Note: If out of region mutual aid assets were factored into the measure scores you MUST indicate this in the explanation section below.

Capability-Specific Measures for Firefighting Operations/Support

Measure	TCL Activity	Score	Progress Label	Optional Explanation
1 The regional plans for Firefighting Operations and Support address ICS (e.g., assess incident, direct responders, request resources, establishment of IMT, unified command and area command when necessary).	Direct Fire Operations & Support Tactical Operations/ Activate Fire Operations & Support	8	Substantial Progress - Mid	In RESP Plan but not specific to fire (AHJ responsibility). All regional and local plans are ICS compliant. Will add a brief section within the RESP to highlight ICS, especially unified and area comand.
2 The regional plans for Firefighting Operations and Support are integrated with Onsite Incident Management (e.g., established site assessment, safety officers, IAP).	Direct Fire Operations & Support Tactical Operations	8	Substantial Progress - Mid	Regional plans integrate with local operations.
3 The regional plans for Firefighting Operations and Support address personnel needs (e.g., work/rest cycles, rehabilitation, medical, psychological, financial assistance).	Develop & Maintain Plans, Procedures, Programs & Systems	8	Substantial Progress - Mid	Regional plans do not specifically address these elements but are fully prepared to support these actions upon request.
4 The regional plans address information sharing requirements relevant to Firefighting Operations and Support (e.g., dissemination of accurate, timely, accessible information to public, media, support agencies).	Direct Fire Operations & Support Tactical Operations	7	Substantial Progress - Low	PIO process in the RESP Plan (ESF 15) but no JIC. PIO functions need to be exercised more.

CAPABILITY: RISK MANAGEMENT

5	Our region currently has (or has access to) the personnel it needs to support Firefighting Operations and Support (e.g., firefighters, HazMat personnel, medical specialists).	Develop & Maintain Plans, Procedures, Programs & Systems	9	Substantial Progress - High	
6	Our region currently has (or has access to) the equipment it needs to support Firefighting Operations and Support (e.g., apparatus, tools, and equipment consistent with needs).	Develop & Maintain Plans, Procedures, Programs & Systems	8	Substantial Progress - Mid	Good equipment levels. Search and rescue vehicle procured
7	Our region has information tracking systems relevant to Firefighting Operations and Support (e.g., hot zones locations, real-time hazard databases, personnel accountability, available resources, victim follow-up).	Direct Fire Operations & Support Tactical Operations	6	Moderate Progress - High	Yes, except for real-time hazard databases and victim follow-up
8	Our region is able to ensure the safety and security at the incident scene control and support areas for Firefighting Operations and Support (e.g., determine and ensure the structural integrity, capability, perimeter security of the scene and its facilities).	Contain & Control	8	Substantial Progress - Mid	Regiona would coordinate with local and state for resource requests.
9	Our region is able to ensure the self-sufficiency of Firefighting Operations and Support (e.g., with respect to communications, power).	Develop & Maintain Plans, Procedures, Programs & Systems	10	Objective Achieved	
10	The regional plans address the demobilization of Firefighting Operations and Support (e.g., equipment and apparatus are cleaned, inventoried, and returned).	Demobilize Firefighting Operations & Support	9	Substantial Progress - High	Within the RESP, the responsibility for these actions remains with the IC or local jurisdictions. Demob plans at a large incident would be covered in the IAP and then supported through regional resources.
11	Our region has exercised its ability to implement Firefighting Operations and Support procedures (e.g., conducted fire code inspections, identified alternative water supplies).	Develop & Maintain Training & Exercise Programs	N/A	Not Applicable	

Capability Explanation: Provide a listing of the region's long-term implementation steps for this capability to reach a "10" score and the resource needs for each. This description should reflect the point at which the region will switch from building capability to sustaining existing capability levels. Group discussion should capture thoughts and ideas that will be useful to you for future planning. Some details to consider include: 1) The factors that contributed to the region's scores (what are you missing?); 2) strategy for building the capability over time; 3) The sustainability of the capability and the processes/methods in place to ensure sustainability; and, 4) best practices and/or unique solutions related to the capability.

Implementation Step: Need to determine appropriate regional role for coordination of firefighting operations. Need to update plans based on roles determined. Need to coordinate with Hartford County fire plan.

Resource Needs:

Planning: Based on above. Need to update regional plans as deemed appropriate, include demob, tracking needs.

Organizing (People): CREPC should work with CR and other Fire Chiefs Associations to coordinate regional efforts.

Equipment: Complete Fire CAPTAIN and personnel accountability and resource tracking efforts. Budget: \$300K

Training: Support training for special operational teams. Catastrophic response training. Budget: \$50K

Exercises: Need to include fire ops in the regional exercises.

Summary**Capability Measures**

Completion Status	Count
# of Measures	11
# of Measures Completed	11
# of Incomplete Measures	0

Distribution of Measure Responses	Count
No Progress	0
Limited Progress	0
Substantial Progress	8
Objective Achieved	1
Not Applicable	1

Regional Capability Profile

Completion Status	Count
# of Questions	3
# of Questions Completed	3
# of Incomplete Questions	0

Self Assessment Findings

Measure	Value
Average Scale Value	8.1
Maximum Scale Value	10
Minimum Scale Value	6
# of Measures that are N/A	1
% of Total Possible Value*	81%

*Does not include measures that are N/A or incomplete

Preliminary Capability Finding
Substantial Progress

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CAPABILITY: RISK MANAGEMENT

Capability: WMD/Hazardous Materials Response and Decontamination**National Priority****Mission Area:** Respond**Outcome Statement:**

Hazardous materials release is rapidly identified and mitigated; victims exposed to the hazard are rescued, decontaminated, and treated; the impact of the release is limited; and responders and at-risk populations are effectively protected.

Pilot Site Information

State Name:	Connecticut
Region:	Region 3 (Capitol Region)

Assessment Coordinator Contact Information

Name:	Dan Scace		
Title and Affiliation:	UASI Project Manager & Training Coordinator		
Phone Number:	860-522-2217 x22	E-mail Address:	daniel.scace@sbcglobal.net

Regional Capability Profile

Question	Response
1) How many HazMat teams are located in the region?	One regional team with two Type I and two Type II teams distributed geographically (both sides of river) - six mass decon units (trailers and prime movers), two functional. Staffing by host fire departments.
2) Please provide the number of personnel in the region with the following certifications: - Hazardous Materials Operations - Hazardous Materials Technician - Hazardous Material Specialist	Regional HazMat team 48 at the Technician level (per NFPA 472) and one Industrial Hygienist (Specialist). EOD specialists are part of the team as well. Departments have technician level members who are not part of the team. (Approx. 2,000 firefighters trained at the ops level 32 hour ops)
3) Please provide the region's capacity to decontaminate victims exposed to hazards materials that have been released.	Regional HazMat team and decon trailers are designed to do 200 per hour (120/hr/trailer). Hospitals can decon 1 per 1000 annual ED visits or more (approx 300 per hour). Rapid Assess Mass Decon capability will provide gross decon for higher numbers. Trailers have approx 5,000 vanity kits.

Scale Guidance

For each measure, please select the value on the scale that best represents how much progress your region has made with regard to the objective or criterion described by the question. "Progress" means development, advancement, or evolution in the ability to fulfill the objective or criterion. This table provides explanations and examples to help you use the scale. These descriptions may not fit your jurisdiction exactly, but should help you judge your progress accurately.

Label	No Progress	Limited Progress			Moderate Progress			Substantial Progress			Objective Achieved	Not Applicable
Scale	0	1	2	3	4	5	6	7	8	9	10	N/A

Note: If out of region mutual aid assets were factored into the measure scores you MUST indicate this in the explanation section below.

Capability-Specific Measures for WMD/Hazardous Materials Response and Decontamination

Measure	TCL Activity	Score	Progress Label	Optional Explanation
1 The regional plans specify the criteria for activating WMD/Hazardous Materials Response and Decontamination procedures.	Develop & Maintain Plans, Procedures, Programs, & Systems/ Activate WMD/ Hazardous Materials Response Decontamination	8	Substantial Progress - Mid	Regional plan used as model for the state plan
2 The regional plans for WMD/Hazardous Materials Response and Decontamination are based on a formal assessment of risks and vulnerabilities.	Develop & Maintain Plans, Procedures, Programs, & Systems	7	Substantial Progress - Low	Region does LEPC SARA work which include commodity flow, etc. Some locals do this as well. Did assessment in 2003. Formal HM assessment done in 2005. FF right to know provides additional information. Region needs a new HazMat
3 The regional plans for WMD/Hazardous Materials Response and Decontamination address responder safety (e.g., accurate identification of hazards, appropriately certified responders, proper PPE).	Mitigation Activities	9	Substantial Progress - High	ESF 10 SOG addresses for the regional team, based on standards. Local departments subject to individual SOGs, SOPs, etc.
4 Our region's public safety and HazMat personnel are trained at various levels (e.g., hazardous awareness, hazardous materials operations, hazmat technician, hazmat specialist, hazardous materials management).	Develop & Maintain Training & Exercise Programs	8	Substantial Progress - Mid	Training appropriate to team type. :Eos at awareness level, FF defensive level, team members technician level. EOD teams at tech level (SWAT at awareness level). EMS at awareness level

CAPABILITY: RISK MANAGEMENT

5	Our region's HazMat personnel are equipped and trained for weather prediction and hazard plume modeling.	Develop & Maintain Training & Exercise Programs	8	Substantial Progress - Mid	HazMat team has live weather stations integrated with plume modeling (CAMEO and MARPLOT) Three weather stations in region.
6	The regional plans for WMD/Hazardous Materials Response and Decontamination address substance identification equipment (e.g., bases, vapors, liquids, solids, biologicals like white powder).	Identify & Evaluate Scene	9	Substantial Progress - High	Team has this capability, variety of meters and monitors (chemical specific). Each fire department with decon trailers received APD 2000 and rad monitor (Ludlum) Mini RAE. Some departments have product specific equipment based on local hazards
7	The regional plans for WMD/Hazardous Materials Response and Decontamination address personnel needs (e.g., work/rest cycles, medical, psychological, financial assistance, etc).	Direct WMD/Hazardous Materials Response and Decontamination Tactical Operations	9	Substantial Progress - High	Regional HM Team SOP addresses
8	The regional plans address information sharing requirements relevant to WMD/Hazardous Materials Response and Decontamination (e.g., dissemination of accurate, timely, accessible information to public, media, support agencies, etc.).	Direct WMD/Hazardous Materials Response and Decontamination Tactical Operations	6	Moderate Progress - High	RED plan and ESF supports PIO function but no specific plans for JIC. Everbridge, CT alert.gov & WebEOC.
9	Our region currently has (or has access to) the personnel it needs to support WMD/Hazardous Materials Response and Decontamination (e.g., safety officers, HazMat personnel, medical specialists).	Direct WMD/Hazardous Materials Response and Decontamination Tactical Operations	8	Substantial Progress - Mid	The region has access to personnel that have had toxmed training. 10 medics have been trained in HazMed.
10	Our region currently has (or has access to) the equipment it needs to support WMD/Hazardous Materials Response and Decontamination (e.g., PPE, medical equipment, WMD detectors).	Direct WMD/Hazardous Materials Response and Decontamination Tactical Operations	9	Substantial Progress - High	HazMat teams have full complement of detection, monitoring, containment, PPE etc. equipment and apparatus. Mark I kits available (1,400 on street, 4,000 in storage, and for ChemPaks.) - SWAT
11	Our region has information tracking systems relevant to WMD/Hazardous Materials Response and Decontamination (e.g., hot zones locations, real-time hazard databases, decontaminated persons updates).	Direct WMD/Hazardous Materials Response and Decontamination Tactical Operations	7	Substantial Progress - Low	Region has CAMEO and MARPLOT, Salamander for accountability, weather monitoring, SMART triage system, GIS capability to map hot zone, no database available currently.
12	Our region is able to ensure the safety and security at the scene and support facilities it intends to use for WMD/Hazardous Materials Response and Decontamination (e.g., determine and ensure the structural integrity, capability, and physical security of HazMat Management facilities).	Direct WMD/Hazardous Materials Response and Decontamination Tactical Operations	7	Substantial Progress - Low	LEO would secure the scene but resources are limited depending on type and scale of incident.
13	Our region is able to ensure the self-sufficiency of its WMD/Hazardous Materials Response and Decontamination operations (e.g., with respect to communications, power).	Direct WMD/Hazardous Materials Response and Decontamination Tactical Operations	8	Substantial Progress - Mid	Decon units self-powered, have own comms, water heaters, etc.
14	The regional plans address the demobilization of WMD/Hazardous Materials Response and Decontamination (e.g., debrief personnel, repack equipment).	Demobilize WMD/Hazardous Materials Response and Decontamination	7	Substantial Progress - Low	Regional HM SOP does this for the team but the RED plan does not cover demob for other disciplines.
15	Our region has exercised its ability to implement WMD/Hazardous Materials Response and Decontamination procedures (e.g., exercised response to pre-identified hazards, decontaminated victims).	Develop & Maintain Training & Exercise Programs	7	Substantial Progress - Low	Do regular exercises for HM team support disciplines not as well exercised, unified command needs to be exercised. Real world HazMat incidents have occurred as well as decon exercises

Capability Explanation: Provide a listing of the region's long-term implementation steps for this capability to reach a "10" score and the resource needs for each. This description should reflect the point at which the region will switch from building capability to sustaining existing capability levels. Group discussion should capture thoughts and ideas that will be useful to you for future planning. Some details to consider include: 1) The factors that contributed to the region's scores (what are you missing?); 2) strategy for building the capability over time; 3) The sustainability of the capability and the processes/methods in place to ensure sustainability; and, 4) best practices and/or unique solutions related to the capability.

CAPABILITY: RISK MANAGEMENT

Implementation Step: Need to develop sustainable capability (refresh, replace, calibrate, update equipment). Need to work on unified command, multi-disciplinary training, cross-training and exercising needed. Plans need to be updated to address gaps in security, demob, etc.

Resource Needs

Planning: Need to update RED (RESP) plan to address other disciplines close gaps. Budget: \$25K

Organizing (People): Work to have all disciplines understand roles and procedures in a WMD-HM incident. Politicians and state/federal partners need to be included to understand multi-jurisdictional issues.

Equipment: Information sharing platform needed for real time access to hazard and chemical information, patient tracking, M&S issues need to be addressed (calibrate, update, refresh, rotate stock, maintain equip). Budget: Identified elsewhere.

Training: Need more training for other disciplines that support a HM response. HM team needs additional training on regional hazards. Cross-training and command post training needed for on-scene operations, unified command, coordination, etc. Need EMS awareness training as well. Budget \$75K.

Exercises: need to address multi-jurisdictional, multi-disciplinary roles and activities.

Summary**Capability Measures**

Completion Status	Count
# of Measures	15
# of Measures Completed	15
# of Incomplete Measures	0
Distribution of Measure Responses	Count
No Progress	0
Limited Progress	0
Moderate Progress	1
Substantial Progress	14
Objective Achieved	0
Not Applicable	0

Regional Capability Profile

Completion Status	Count
# of Questions	3
# of Questions Completed	3
# of Incomplete Questions	0

Self Assessment Findings

Measure	Value
Average Scale Value	7.8
Maximum Scale Value	9
Minimum Scale Value	6
# of Measures that are N/A	0
% of Total Possible Value*	78%

*Does not include measures that are N/A or incomplete

Preliminary Capability Finding
Substantial Progress

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CAPABILITY: RISK MANAGEMENT

Capability: Citizen Evacuation and Shelter-In-Place**National Priority****Mission Area:** Respond**Outcome Statement:**

Affected and at-risk populations (and companion animals) are safely sheltered-in-place and/or evacuated to safe refuge areas, in order to obtain access to medical care, physical assistance, shelter, and other essential services, and effectively and safely reentered into the affected area, if appropriate.

Pilot Site Information

State Name:	Connecticut
Region:	Region 3 (Capitol Region)

Assessment Coordinator Contact Information

Name:	Dan Scace		
Title and Affiliation:	UASI Project Manager & Training Coordinator		
Phone Number:	860-522-2217 x22	E-mail Address:	daniel.scace@sbcglobal.net

Regional Capability Profile

Question	Response
1) Please provide a list of what the region has classified as high hazards that could require evacuation based on a hazard vulnerability analysis.	Hurricanes, Nuclear, Radiological, Chemical, Biological, Flooding, Breach in Levy, HazMat incidents (See HVA)
2) Has the region coordinated the use of evacuation routes both internally and within the neighboring jurisdictions that might be impacted?	This is a state-wide planning initiative. Region 3 has regional shelter and evacuation plan. State evac plans only address coastal areas. No plan to evacuate region 3. DOT addresses transportation plans, State Police has traffic diversion plans, have only one local community's plan. Would coordinate with locals. Lack of coordination with DOT and other regions.

Scale Guidance

For each measure, please select the value on the scale that best represents how much progress your region has made with regard to the objective or criterion described by the question. "Progress" means development, advancement, or evolution in the ability to fulfill the objective or criterion. This table provides explanations and examples to help you use the scale. These descriptions may not fit your jurisdiction exactly, but should help you judge your progress accurately.

Label	No Progress	Limited Progress			Moderate Progress			Substantial Progress			Objective Achieved	Not Applicable
Scale	0	1	2	3	4	5	6	7	8	9	10	N/A

Note: If out of region mutual aid assets were factored into the measure scores you MUST indicate this in the explanation section below.

Capability-Specific Measures for Citizen Evacuation and Shelter-In-Place

Measure	TCL Activity	Score	Progress Label	Optional Explanation
1 The regional plans specify the criteria for activating Citizen Evacuation and Shelter-In-Place procedures.	Activate Evacuation and/or In-Place Protection	3	Limited Progress - High	Region 3 evacuation and shelter guidance includes criteria for activation but lack specific procedures for SIP. Need plan improvements. High level plan established in 2005 but has not been reassessed.
2 The regional plans for Citizen Evacuation and Shelter-In-Place are based on a formal assessment of risks and vulnerabilities.	Develop & Maintain Plans, Procedures, Programs & Systems	7	Substantial Progress - Low	Based on Region 3 HVA form 2005 in conjunction with DEMHS
3 Agencies within our region have the legal authority to perform required duties as they relate to Citizen Evacuation and Shelter-In-Place.	Develop & Maintain Plans, Procedures, Programs & Systems	10	Objective Achieved	Locals have the authority, spelled out in State regulations. Regional plans based on this.
4 The regional plans address Citizen Evacuation and Shelter-In-Place facilities (e.g., identified shelters, private facilities, capacity, transportation to and from).	Direct Evacuation and/or In-Place Protection Tactical Operations	7	Substantial Progress - Low	Only 1 regional shelter identified (East Hartford), plan addresses coordination of those with the responsibility and authority. Incorporate data from DEMHS, can coord. Resources to meet local needs.
5 The regional plans for Citizen Evacuation and Shelter-In-Place address evacuation logistics (e.g., marked routes, staging and collection points, identified single points of failure such as bridges, consideration of contra flow and other techniques).	Implement Evacuation Orders for General Population	5	Moderate Progress - Mid	State plan addresses this, regional evac and shelter guide provides for the coordination of logistics. Not good resource database. Locals and State responsibility. Completed interstate highway diversion plan for the region.

CAPABILITY: RISK MANAGEMENT

6	The regional plans address populations, locations, and institutions at risk (e.g., high density areas, neighborhoods, high-rise buildings, subways, airports, special event venues, hospitals, correctional facilities).	Develop & Maintain Plans, Procedures, Programs & Systems	2	Limited Progress - Mid	Plans discuss some of these, information lacking, risk management not in place yet. Local issues for the most part. Not regional responsibility until it exceeds local capability.
7	The regional plans are integrated with Mass Care (e.g., shelter staffing, logistical supply, medical services).	Direct Evacuation and/or In-Place Protection Tactical Operations	4	Moderate Progress - Low	Region has evacuation and sheltering plan. Issues have been identified, not addressed in plan, but plan addresses coordination.
8	The regional plans address decontamination of evacuees (e.g., coordination with HazMat, ability to decontaminate evacuees with disabilities and medical needs including their equipment).	Operate Evacuation Staging/ Reception Area	6	Moderate Progress - High	Have good decon capabilities and equipment, could deploy decon trailers (11) to shelters or hospitals as needed.
9	The regional plans for Citizen Evacuation and Shelter-In-Place are coordinated with law enforcement (e.g., identify risk to transportation infrastructure from potential terrorist attack, identify security and survival vulnerabilities to evacuated population, identify protective countermeasures).	Direct Evacuation and/or In-Place Protection Tactical Operations	5	Moderate Progress - Mid	LE reviews plans, expressed concerns but can muster significant resources as needed. Plans have been coordinated. Need to evaluate infrastructure and facilities for security.
10	The regional plans address management of pets (companion animals).	Operate Evacuation Staging/ Reception Area	8	Substantial Progress - Mid	ESF 11 addresses, well thought out plan, capacity limited (750 cages), would require mutual aid for larger numbers
11	The regional plans address cultural characteristics of populations to be sheltered or evacuated (e.g., religious needs, language barriers).	Develop & Maintain Plans, Procedures, Programs & Systems	6	Moderate Progress - High	ARC plans as part of the region addresses working with CBOs to address cultural issues
12	The regional plans address the shelter requirements of special needs populations (e.g., disabled people, people requiring ongoing medical support).	Collect & Evacuate Population Requiring Assistance	4	Moderate Progress - Low	ARC plans as part of the region addresses, state addresses universal access. Score lower based on anticipated Federal Guidance. FNSS
13	The regional plans for Citizen Evacuation and Shelter-In-Place address recovery strategies (e.g., replenishing supplies and equipment, re-assigning personnel).	Assist Re-Entry	3	Limited Progress - High	Regional shelter and evac plan considers this, regional IAP would plan for recovery but plans do not address the details beyond coordination of efforts. Demob not covered
14	The regional plans address information sharing requirements relevant to Citizen Evacuation and Shelter-In-Place (e.g., dissemination of accurate, timely, accessible information to public, media, support agencies).	Activate Evacuation and/or In-Place Protection	1	Limited Progress - Low	RESP plan addresses public information but not JIC/JIS. Region involved but not in the lead. Undefined roles and responsibilities.
15	Nonprofit organizations/NGOs are actively engaged in our region's development of plans for Citizen Evacuation and Shelter-In-Place (e.g., the American Red Cross, Salvation Army, faith-based organizations).	Develop & Maintain Plans, Procedures, Programs & Systems	8	Substantial Progress - Mid	Doing this but need more faith-based involvement, personnel changes are an issue. New faith-based ESF chair.
16	Our region currently has (or has access to) the personnel it needs to support Citizen Evacuation and Shelter-In-Place (e.g., law enforcement, firefighters, EMS, Citizen volunteer).	Develop & Maintain Plans, Procedures, Programs & Systems	5	Moderate Progress - Mid	Personnel available but limited, LE reports limitation issues for large-scale events, mutual aid would support, gaps remain
17	Our region currently has (or has access to) the equipment it needs to support Citizen Evacuation and Shelter-In-Place (e.g., ambulances, medical equipment, crowd control).	Develop & Maintain Plans, Procedures, Programs & Systems	6	Moderate Progress - High	LE reports good equipment supplies, MMRS can support, lack of ability to use medical transport in this capacity
18	Our region has information tracking systems relevant to Citizen Evacuation and Shelter-In-Place (e.g., rescue locations, personnel accountability, resource availability, victim follow-up).	Operate Evacuation Staging/ Reception Area	2	Limited Progress - Mid	Have badging and SMART triage but no electronic database to track locations, resources, victims. WebEOC could be used for this but not currently.
19	Our region is able to ensure the safety and security at the facilities it intends to use to support Citizen Evacuation and Shelter-In-Place (e.g., determine and ensure the structural integrity, capability, and physical security of facilities).	Direct Evacuation and/or In-Place Protection Tactical Operations	5	Moderate Progress - Mid	Yes but LE resources limited based on size and scope of incident
20	Our region is able to ensure the self-sufficiency of the facilities it intends to use to support Citizen Evacuation and Shelter-In-Place (e.g., with respect to communications, power).	Direct Evacuation and/or In-Place Protection Tactical Operations	5	Moderate Progress - Mid	Local responsibility, region would coordinate resource requests

CAPABILITY: RISK MANAGEMENT

21	Our region has exercised its ability to implement Citizen Evacuation and Shelter-In-Place procedures.	Develop & Maintain Training & Exercise Programs	8	Substantial Progress - Mid	Done several times during local exercises. Has exercised regional support of local shelters.
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Capability Explanation: Provide a listing of the region's long-term implementation steps for this capability to reach a "10" score and the resource needs for each. This description should reflect the point at which the region will switch from building capability to sustaining existing capability levels. Group discussion should capture thoughts and ideas that will be useful to you for future planning. Some details to consider include: 1) The factors that contributed to the region's scores (what are you missing?); 2) strategy for building the capability over time; 3) The sustainability of the capability and the processes/methods in place to ensure sustainability; and, 4) best practices and/or unique solutions related to the capability.

Implementation Step: Need to define appropriate roles and responsibilities of the region, legal authority, coord with state and locals. Need to develop capability with information tracking, work with USMA project, etc.

Resource Needs:

Planning: Work with locals and state to define roles and responsibilities, update in RESP once know. Plan should address ConOps, EMS capability (supplies and transport issues), updating resource lists, transportation issues (driver training) and HVA, update plan based on this. Need to look at supply chain that supports this capability. Need patient tracking and information management systems. Budget: \$25K

Organizing (People): Coordination of planning efforts with state and local agencies (incl. schools) through the regional structure. To include drivers, school bus operators, NGOs, private sector, etc. Need lead staff to run resource management systems. Budget \$75-100K

Equipment: As part of the larger regional resource tracking systems, communications, information management system. \$30K Additional equipment needed to support evacuation signage, etc. Budget \$50K

Training: Bus driver training, special needs training, \$25K

Exercises: Include in regional exercise program.

Summary

Completion Status	Count
# of Measures	21
# of Measures Completed	21
# of Incomplete Measures	0

Completion Status	Count
# of Questions	2
# of Questions Completed	2
# of Incomplete Questions	0

Distribution of Measure Responses	Count
No Progress	0
Limited Progress	5
Moderate Progress	10
Substantial Progress	5
Objective Achieved	1
Not Applicable	0

Self Assessment Findings

Measure	Value
Average Scale Value	5.2
Maximum Scale Value	10
Minimum Scale Value	1
# of Measures that are N/A	0
% of Total Possible Value*	52%

*Does not include measures that are N/A or incomplete

CAPABILITY: RISK MANAGEMENT

Preliminary Capability Finding
Moderate Progress

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CAPABILITY: RISK MANAGEMENT

Capability: Urban Search and Rescue**Mission Area:** Respond**Outcome Statement:**

The greatest numbers of victims (human and animal) are rescued and transferred to medical or mass care capabilities, in the shortest amount of time, while maintaining rescuer safety.

Pilot Site Information

State Name:	Connecticut
Region:	Region 3 (Capitol Region)

Assessment Coordinator Contact Information

Name:	Dan Scace		
Title and Affiliation:	UASI Project Manager & Training Coordinator		
Phone Number:	860-522-2217 x22	E-mail Address:	daniel.scace@sbcglobal.net

Regional Capability Profile

Question	Response
1) How many of the following US&R teams are located in the region: - Type I US&R Task Force - Type II US&R Collapse Search and Rescue Team - Type II US&R Heavy Rescue Strike Team - Type II US&R Heavy Rescue Squad - Type I Large Animal Rescue Strike Team - Type I Small Animal Rescue Strike Team	CT-TF 1 is a non-federal, Type III team, located in Hartford as a state team, team members are from local municipalities and CT state police. Large animal (LART) team under Animal Protection ESF 11. Small animal (SART) under ESF 11. Municipalities have Heavy Rescue (Squads) capabilities. Other resources are available for other-than-collapse SAR missions (woodland, water, cadaver) primarily volunteer SAR teams.

Scale Guidance

For each measure, please select the value on the scale that best represents how much progress your region has made with regard to the objective or criterion described by the question. "Progress" means development, advancement, or evolution in the ability to fulfill the objective or criterion. This table provides explanations and examples to help you use the scale. These descriptions may not fit your jurisdiction exactly, but should help you judge your progress accurately.

Label	No Progress	Limited Progress	Moderate Progress	Substantial Progress	Objective Achieved	Not Applicable
Scale	0	1 2 3	4 5 6	7 8 9	10	N/A

Note: If out of region mutual aid assets were factored into the measure scores you MUST indicate this in the explanation section below.

Capability-Specific Measures for Urban Search and Rescue

Measure	TCL Activity	Score	Progress Label	Optional Explanation
1 The regional plans specify the criteria for activating the Urban Search and Rescue capability.	Activate Urban Search & Rescue	5	Moderate Progress - Mid	RESP plan addresses activations, ESF structure, but no specific criteria in the plan. ESF Duty Officer has SOP for process to request resources. CT TF 1 needs to be requested from SEOC
2 The regional plans for Urban Search and Rescue are based on a formal assessment of risks and vulnerabilities.	Develop & Maintain Plans, Procedures, Programs & Systems	5	Moderate Progress - Mid	USAR assets reside with the State. Region has Search and Rescue resources.
3 The regional plans for Urban Search and Rescue address logistical support (e.g., directing resources, re-assigning tech-specialists, managing uncertified volunteers).	Direct Urban Search & Rescue Tactical Operations	5	Moderate Progress - Mid	RESP Plan's RCC would provide logistical coordination but no specific plan addresses this.
4 The regional plans for Urban Search and Rescue address recovery strategies (e.g., replenishing supplies and equipment, re-assigning personnel).	Demobilize/ Redeploy US & R	0	None	No demob in regional plans, state team may have as part of CT TF 1 SOPs
5 The regional plans for Urban Search and Rescue address personnel needs (e.g., physical, psychological, financial assistance).	Direct Urban Search & Rescue Tactical Operations	0	None	
6 The regional plans for Urban Search and Rescue are integrated with the incident management structure (e.g., USAR teams coordinated with fatality management and EMS resources).	Direct Urban Search & Rescue Tactical Operations	6	Moderate Progress - High	Through Application of NIMS and ICS. Handled by IMT.

CAPABILITY: RISK MANAGEMENT

7	The regional plans address information sharing requirements relevant to Urban Search and Rescue (e.g., dissemination of accurate, timely, accessible information to public, media, support agencies).	Direct Urban Search & Rescue Tactical Operations	6	Moderate Progress - High	Handled by IMT or PIO
8	Our region currently has (or has access to) the personnel it needs to support Urban Search and Rescue (e.g., heavy equipment contractors, structural engineers, robotics, police, firefighters).	Develop & Maintain Plans, Procedures, Programs & Systems	6	Moderate Progress - High	USAR is State Resource. Region has access to this resource. Personnel are part of USAR deployment.
9	Our region currently has (or has access to) the equipment it needs to support Urban Search and Rescue (e.g., PPE, trucks, medical equipment, hazard detectors, rescue equipment).	Develop & Maintain Plans, Procedures, Programs & Systems	6	Moderate Progress - High	State Team - CT TF 1 and squads are well equipped. Personnel are sent with USAR deployment.
10	Our region has information tracking systems relevant to Urban Search and Rescue (e.g., rescue locations, personnel accountability, resource availability, victim follow-up).	Search/ Extricate/ Provide Medical Treatment	5	Moderate Progress - Mid	Salamander for staff accountability, SMART tags for paper, do not have other tracking systems. SAR has tracking capability, task tracking, personnel accountability, resource availability.
11	Our region has exercised its ability to implement Urban Search and Rescue in large and complex events.	Develop & Maintain Training & Exercise Programs	7	Substantial Progress - Low	US&R CT TF-1 is a state responsibility, the region is not included in efforts to exercise with the team. The region SAR does large exercises. Real life regional events have required USAR deployment.

Capability Explanation: Provide a listing of the region's long-term implementation steps for this capability to reach a "10" score and the resource needs for each. This description should reflect the point at which the region will switch from building capability to sustaining existing capability levels. Group discussion should capture thoughts and ideas that will be useful to you for future planning. Some details to consider include: 1) The factors that contributed to the region's scores (what are you missing?); 2) strategy for building the capability over time; 3) The sustainability of the capability and the processes/methods in place to ensure sustainability; and, 4) best practices and/or unique solutions related to the capability.

Implementation Step: Need to identify regional roles and responsibility vs. state for US&R. What role does region have in event of US&R deployment, how to activate/request, does the regional have accountability, need to provide logistical support, tracking, etc.

Resource Needs:

Planning: Need planning support to address roles issues, who is responsible. Need to develop plans for activation and mobilization of teams, create MOUs with heavy equipment operators and specialists, determine how to integrate with state team, leverage use of Heavy Squads.

Organizing (People): A defined mission would require personnel sufficient to support mission.

Equipment: TBD based on above.

Training: TBD based on above.

Exercises: TBD based on above.

Summary**Capability Measures**

Completion Status	Count
# of Measures	11
# of Measures Completed	11
# of Incomplete Measures	0

Regional Capability Profile

Completion Status	Count
# of Questions	1
# of Questions Completed	1
# of Incomplete Questions	0

SELF ASSESSMENT

CAPABILITY: RISK MANAGEMENT

Distribution of Measure Responses	Count
No Progress	2
Limited Progress	0
Moderate Progress	8
Substantial Progress	1
Not Applicable	0

Self Assessment Findings

Measure	Value
Average Scale Value	4.6
Maximum Scale Value	7
Minimum Scale Value	0
# of Measures that are N/A	0
% of Total Possible Value*	46%

**Does not include measures that are N/A or incomplete*

Preliminary Capability Finding

Moderate Progress

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CAPABILITY: RISK MANAGEMENT

Capability: Emergency Public Information and Warning**Mission Area:** Respond**Outcome Statement:**

Government agencies and public and private sectors receive and transmit coordinated, prompt, useful, and reliable information regarding threats to their health, safety, and property, through clear, consistent information delivery systems. This information is updated regularly and outlines protective measures that can be taken by individuals and their communities.

Pilot Site Information

State Name:	Connecticut
Region:	Region 3 (Capitol Region)

Assessment Coordinator Contact Information

Name:	Dan Scace		
Title and Affiliation:	UASI Project Manager & Training Coordinator		
Phone Number:	860-522-2217 x221	E-mail Address:	daniel.scace@sbcglobal.net

Regional Capability Profile

Question	Response
1) Does the region have a system in place for the establishment of a Joint Information Center (JIC) with all of the representative jurisdictions?	No, would be ad hoc in conjunction with state
2) Does the region have a Joint Information System (JIS) to coordinate the release of information?	No, follow state lead.
3) Does the region have the ability to activate and manage public information and warning using the following: - Local Emergency Alert System - 211 - Automated dialing and notification	Region has a regional reverse 911 system. Have access to 211 for non-emergency information. Need regional protocols and training on systems.

Scale Guidance

For each measure, please select the value on the scale that best represents how much progress your region has made with regard to the objective or criterion described by the question. "Progress" means development, advancement, or evolution in the ability to fulfill the objective or criterion. This table provides explanations and examples to help you use the scale. These descriptions may not fit your jurisdiction exactly, but should help you judge your progress accurately.

Label	No Progress	Limited Progress	Moderate Progress	Substantial Progress	Objective Achieved	Not Applicable
Scale	0	1 2 3	4 5 6	7 8 9	10	N/A

Note: If out of region mutual aid assets were factored into the measure scores you MUST indicate this in the explanation section below.

Capability-Specific Measures for Emergency Public Information and Warning

Measure	TCL Activity	Score	Progress Label	Optional Explanation
1 The regional plans specify the criteria for activating Emergency Public Information and Warning procedures.	Activate Public Information, Alert/Warning & Notification Plans	1	Limited Progress - Low	Done at the time, state runs EAS, no regional capability for warning but do have reverse 911. Everbridge is Statewide. No criteria for activation in plan.
2 The regional plans address Joint Information Centers (e.g., designated locations, information sharing protocols).	Establish Joint Information Center / Conduct Joint Information Center Operations	2	Limited Progress - Mid	Currently State has primary role. IMT could also set one up.
3 The regional plans address communication modes and capabilities (e.g., reverse 911, NOAA, Amber Alert, sirens and PA).	Manage Emergency Public Information & Warnings	2	Limited Progress - Mid	Very little information in plans. Mostly a state function.
4 The regional plans address communication barriers related to Emergency Public Information and Warning (e.g., language barriers, geographic isolations, limited access to TV's, radios, limited reading ability).	Manage Emergency Public Information & Warnings	1	Limited Progress - Low	Discussions have been held but nothing formalized.
5 The regional plans address Emergency Public Information and Warning under all hazards and conditions (e.g., a crisis and emergency risk communication plan exists).	Manage Emergency Public Information & Warnings	1	Limited Progress - Low	Discussions have been held but nothing formalized.

CAPABILITY: RISK MANAGEMENT

6	The regional plans address information monitoring (e.g., misinformation, public queries, current updates).	Issues Public Information, Alert/ Warning, & Notification	7	Substantial Progress - Low	Situation Unit Leaders responsibility within the RCC Conops plan.
7	The regional plans address information sharing requirements relevant to Emergency Public Information and Warning (e.g., dissemination of accurate, timely, accessible information to public, media, support agencies).	Issues Public Information, Alert/ Warning, & Notification	3	Limited Progress - High	Not in plan, done by PSAPs, RCC
8	Our region currently has (or has access to) the personnel it needs to support Emergency Public Information and Warning (e.g., spokespersons, communications support, technicians, security personnel).	Develop & Maintain Plans, Procedures, Programs & Systems	7	Substantial Progress - Low	Have identified, through ESF 15 and IMT PIOs. Have communications support available.
9	Our region currently has (or has access to) the equipment it needs to support Emergency Public Information and Warning (e.g., communication devices, incident tracking systems).	Develop & Maintain Plans, Procedures, Programs & Systems	8	Substantial Progress - Mid	Everbridge.
10	Our region is able to ensure the safety and security at the facilities it intends to use to support Emergency Public Information and Warning (e.g., determine and ensure the structural integrity, capability, and suitability of its Emergency Public Information and Warning facilities).	Develop & Maintain Plans, Procedures, Programs & Systems	5	Moderate Progress - Mid	RCC serves as command and coordination center.
11	Our region is able to ensure the self-sufficiency of the facilities it intends to use to support Emergency Public Information and Warning (e.g., with respect to communications, power).	Develop & Maintain Plans, Procedures, Programs & Systems	5	Moderate Progress - Mid	RCC serves as command and coordination center.
12	Our region has exercised its ability to implement Emergency Public Information and Warning procedures (e.g., communicate with IC posts, EOC, disseminate information to the public).	Develop & Maintain Training & Exercise Programs	3	Limited Progress - High	Tested to some degree in TTX. Tested in Autumn Storm Exercises. Additional training planned.

Capability Explanation: Provide a listing of the region's long-term implementation steps for this capability to reach a "10" score and the resource needs for each. This description should reflect the point at which the region will switch from building capability to sustaining existing capability levels. Group discussion should capture thoughts and ideas that will be useful to you for future planning. Some details to consider include: 1) The factors that contributed to the region's scores (what are you missing?); 2) strategy for building the capability over time; 3) The sustainability of the capability and the processes/methods in place to ensure sustainability; and, 4) best practices and/or unique solutions related to the capability.

Implementation Step: Need to develop this capability by creating and resourcing a plan. Need to be able to establish a JIC and JIS.

Resource Needs:

Planning: Need support to develop a regional plan and work with State. Budget: \$25K for planning support.

Organizing (People): ESF 15 plus others can support along with CEMA (EMs). Need to build network of participating entities.

Equipment: Equipment needs will be identified based on plan. \$ TBD

Training: Need training on use of current systems and on establishing JIC and JIS, will eventually need training on new plans. Budget: \$50K

Exercises: Need to incorporate JIC and JIS functions into regional exercises

Summary**Capability Measures**

Completion Status	Count
# of Measures	12
# of Measures Completed	12
# of Incomplete Measures	0

Distribution of Measure Responses	Count
No Progress	0
Moderate Progress	2
Substantial Progress	3
Objective Achieved	0
Not Applicable	0

Regional Capability Profile

Completion Status	Count
# of Questions	3
# of Questions Completed	3
# of Incomplete Questions	0

Self Assessment Findings

Measure	Value
Average Scale Value	3.8
Maximum Scale Value	8
Minimum Scale Value	1
# of Measures that are N/A	0
% of Total Possible Value*	38%

*Does not include measures that are N/A or incomplete

Preliminary Capability Finding
Moderate Progress

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CAPABILITY: RISK MANAGEMENT

Capability: Triage and Pre-Hospital Treatment**Mission Area:** Respond**Outcome Statement:**

Emergency Medical Services (EMS) resources are effectively and appropriately dispatched and provide pre-hospital triage, treatment, transport, tracking of patients, and documentation of care appropriate for the incident, while maintaining the capabilities of the EMS system for continued operations.

Pilot Site Information

State Name:	Connecticut
Region:	Region 3 (Capitol Region)

Assessment Coordinator Contact Information

Name:	Dan Scace		
Title and Affiliation:	UASI Project Manager & Training Coordinator		
Phone Number:	860-522-2217 x22	E-mail Address:	daniel.scace@sbcglobal.net

Regional Capability Profile

Question	Response
1) Does the region have an established patient tagging and tracking system? Is the system electronic and easily scalable?	Have a regional "smart tag" system. Paper system, no electronic system in place but being developed.
2) How many EMS (ALS and BLS) units does the region operate under normal staffing conditions? What is the surge capacity of the EMS (ALS and BLS) system?	Numbers are maintained by ESF 8 EMS section. Total of 60 ALS and 75 BLS transport units in region, daily operations at . Surge capacity based on available equipment, off duty and volunteer staff. There are 13 Non transport ALS units in the region – surge 26 ALS Ambulances Total = 60 est. is 26 daily, 34 surge BLS Ambulances Total = 75 est. daily is 42, surge is 27
3) What type of resources does the region have to establish shelter treatment areas? What is the capacity of these treatment areas?	Region has a 55 bed MACU, 2 MRCs, CT-1 DMAT, CERT can respond to support. Granting authority is an issue (liability a concern). Capacity determined by personnel (can use EMS personnel, local PH). Can treat hundreds.
4) How many emergency rooms / urgent care facilities are located within the region? Trauma Centers? General E.R.? Urgent Care?	Two trauma centers (one level 1, one level 2), 10 hospitals with ER, two community health centers. Undetermined number of urgent care.

FALSE

FALSE

FALSE

FALSE

0

Scale Guidance

For each measure, please select the value on the scale that best represents how much progress your region has made with regard to the objective or criterion described by the question. "Progress" means development, advancement, or evolution in the ability to fulfill the objective or criterion. This table provides explanations and examples to help you use the scale. These descriptions may not fit your jurisdiction exactly, but should help you judge your progress accurately.

Label	No Progress	Limited Progress	Moderate Progress	Substantial Progress	Objective Achieved	Not Applicable
Scale	0	1 2 3	4 5 6	7 8 9	10	N/A

Note: If out of region mutual aid assets were factored into the measure scores you MUST indicate this in the explanation section below.

Capability-Specific Measures for Triage and Pre-Hospital Treatment

Measure	TCL Activity	Score	Progress Label	Optional Explanation
1 The regional plans specify the criteria for activating Triage and Pre-Hospital Treatment procedures for large and complex events.	Activate Triage and Pre-Hospital Treatment	7	Substantial Progress - Low	MCI and FMOP plans
2 The regional plans for Triage and Pre-Hospital Treatment are based on a formal assessment of risks and vulnerabilities.	Develop & Maintain Plans, Procedures, Programs & Plans	7	Substantial Progress - Low	
3 The regional plans address reliable interoperable communication systems between EMS, incident command, and healthcare facilities.	Direct Triage and Pre-Hospital Treatment Tactical Operations	6	Moderate Progress - High	Plan addresses this but operationally it is an issue. *ESF8 Comm Plan new for 2010
4 The regional plans for Triage and Pre-Hospital Treatment are coordinated with Mass Care (e.g., provision of water, food, bulk supplies to isolated and quarantined individuals).	Develop & Maintain Plans, Procedures, Programs & Plans	3	Limited Progress - High	RESP and ESF structures supports this, need identified

FALSE

FALSE

FALSE

FALSE

CAPABILITY: RISK MANAGEMENT

5	The regional plans address cultural characteristics of populations in need of Triage and Pre-Hospital Treatment (e.g., religious needs, language barriers).	Develop & Maintain Plans, Procedures, Programs & Plans	3	Limited Progress - High	Region relies on local resources, plans in place at local level.
6	The regional plans address patient and resource transportation (e.g., helicopters and corresponding landing zone, ambulances and en route health care providers).	Transport	7	Substantial Progress - Low	In plans, strike teams under development. FMOP addresses NDMS activation.
7	The regional plans address the treatment of Triage and Pre-Hospital response personnel, site staff, and their families (e.g., medical needs, stress management strategies).	Provide Treatment	7	Substantial Progress - Low	Local EAP plans, EMS support for teams is available. ESF 8 and RESP plan address stress management
8	The regional plans address public information and communications requirements relevant to Triage and Pre-Hospital Treatment (e.g., dissemination of accurate, timely, accessible information to public, media, support agencies).	Direct Triage and Pre-Hospital Treatment Tactical Operations	7	Substantial Progress - Low	RESP plan provides PIO support.
9	Nonprofit organizations/NGOs are actively engaged in the region's development of plans for Triage and Pre-Hospital Treatment (e.g., the American Red Cross, Salvation Army, faith-based organizations).	Develop & Maintain Plans, Procedures, Programs & Plans	7	Substantial Progress - Low	ESF 20 are part of RED structure, ARC and SA actively engaged (faith based organizations need to be re-engaged)
10	Our region's inventories and reserves are adequate to support Triage and Pre-Hospital Treatment in large and complex events.	Direct Triage and Pre-Hospital Treatment Tactical Operations	5	Moderate Progress - Mid	Can activate Med Reserve Corps. Have 72 hours of supplies through MRC and State MCI Trailers.
11	Our region maintains systems for tracking Triage and Pre-Hospital Treatment response staff and equipment during catastrophic events.	Direct Triage and Pre-Hospital Treatment Tactical Operations	7	Substantial Progress - Low	Salamander system for accountability maintenance by ESF 4
12	Our region currently has (or has access to) the personnel it needs to support Triage and Pre-Hospital Treatment (e.g., medical service providers, EMS personnel, volunteers).	Develop & Maintain Plans, Procedures, Programs & Plans	7	Substantial Progress - Low	DMAT, MRCs, NDMS, strike teams all available plus EMAC
13	Our region currently has (or has access to) the equipment it needs to support Triage and Pre-Hospital Treatment (e.g., medical equipment, transportation vehicles).	Develop & Maintain Plans, Procedures, Programs & Plans	7	Substantial Progress - Low	Patient tracking system procured
14	Our region is able to ensure the safety and security at the facilities it intends to use to support Triage and Pre-Hospital Treatment. (e.g., determine and ensure the structural integrity, capability, and physical security of its Triage and Pre-Hospital Treatment facilities).	Develop & Maintain Plans, Procedures, Programs & Plans	7	Substantial Progress - Low	Plans are in place, CCP identified and is secure. Hospital plans for AMTS include security.
15	Our region is able to ensure the self-sufficiency of the facilities it intends to use to support Triage and Pre-Hospital Treatment (e.g., with respect to communications, power).	Develop & Maintain Plans, Procedures, Programs & Plans	8	Substantial Progress - Mid	
16	Our region has the information resources required to project the demand for Triage and Pre-Hospital Treatment (e.g., how many people will need treatment, how long it will take to secure facilities).	Direct Triage and Pre-Hospital Treatment Tactical Operations	8	Substantial Progress - Mid	
17	Our region has exercised its ability to implement Triage and Pre-Hospital Treatment (e.g., the provision of medication, follow-up care, securing of facilities).	Develop & Maintain Training & Exercise Programs	9	Substantial Progress - High	Exercised EMS on a regular basis, regional ex every three years. Two SMART Tag exercises required per year.

FALSE

FALSE

FALSE

FALSE

FALSE

FALSE

FALSE

FALSE

FALSE

FALSE

FALSE

FALSE

FALSE

0

Capability Explanation: Provide a listing of the region's long-term implementation steps for this capability to reach a "10" score and the resource needs for each. This description should reflect the point at which the region will switch from building capability to sustaining existing capability levels. Group discussion should capture thoughts and ideas that will be useful to you for future planning. Some details to consider include: 1) The factors that contributed to the region's scores (what are you missing?); 2) strategy for building the capability over time; 3) The sustainability of the capability and the processes/methods in place to ensure sustainability; and, 4) best practices and/or unique solutions related to the capability.

CAPABILITY: RISK MANAGEMENT

Implementation Step: Need to resolve authorities and liability issues, electronic pt. tracking system, medical records, volunteer recruitment.

Resource Needs:

Planning: Complete implementation of EMS mobilization and FMOP plans. Need to revise state legislation to allow EMS to be paid for non-transport roles.

Organizing (People): Leadership needs to be developed and trained on specific tasks. Engage faith-based organizations in planning.

Equipment: Electronic patient tracking system. Budget \$200K

Training: Need better regional coordination with state and federal agencies on training. Need to train on EMS mobilization plan. Budget \$25K

Exercise: Continue coordinated regional and local MCI exercises.

Summary

Capability Measures

Completion Status	Count
# of Measures Completed	17
# of Incomplete Measures	0

Regional Capability Profile

Completion Status	Count
# of Questions Completed	4
# of Incomplete Questions	0

Distribution of Measure Responses	Count
No Progress	0
Limited Progress	2
Moderate Progress	2
Substantial Progress	13
Objective Achieved	0
Not Applicable	0

Self Assessment Findings

Measure	Value
Average Scale Value	6.6
Maximum Scale Value	9
Minimum Scale Value	3
# of Measures that are N/A	0
% of Total Possible Value*	66%

*Does not include measures that are N/A or incomplete

Preliminary Capability Finding
Substantial Progress

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Capability: Medical Surge**National Priority****Mission Area:** Respond**Outcome Statement:**

Injured or ill from the event are rapidly and appropriately cared for. Continuity of care is maintained for non-incident related illness or injury.

Pilot Site Information

State Name:	Connecticut
Region:	Region 3 (Capitol Region)

Assessment Coordinator Contact Information

Name:	Dan Scace		
Title and Affiliation:	UASI Project Manager & Training Coordinator		
Phone Number:	860-522-2217 x222	E-mail Address:	daniel.scace@sbcglobal.net

Regional Capability Profile

Question	Response
1) Please provide the number and the average daily census of the following types of hospitals in the region: - Trauma Centers - Women's Hospital - Children's Hospital - Rehabilitation - Psychiatric - Acute Care	Level 1 Trauma Center, Level 2 Trauma Center, 1 children's, 2 rehab, 10 hospitals with psychiatric and care. Generally at 90% of capacity. 1727 beds staffed. Over 100% during flu outbreaks.
2) Please provide the number of patients that your surge bed capacity is based on.	Can have surge capacity of 700 if non-flu season.
3) What is the number of Facilities identified as part of your region's medical surge capability? [Please include Alternative Care Facilities (ACF) and Ambulatory Care Centers (ACC)].	12 hospitals have identified ACFs (16 total). Total of 28. ACC unknown.
4) Is the healthcare system in the region prepared to triage, treat, and initially stabilize cases with the following type symptoms: - Chemical and nerve agent exposure - Burn or trauma - Radiation induced injury - Biological exposure	Yes
5) Please provide the number of patients that the region can place in negative pressure isolation.	Each of 12 hospitals have at least one. Total unknown. Approx. 120.

Scale Guidance

For each measure, please select the value on the scale that best represents how much progress your region has made with regard to the objective or criterion described by the question. "Progress" means development, advancement, or evolution in the ability to fulfill the objective or criterion. This table provides explanations and examples to help you use the scale. These descriptions may not fit your jurisdiction exactly, but should help you judge your progress accurately.

Label	No Progress	Limited Progress	Moderate Progress	Substantial Progress	Objective Achieved	Not Applicable
Scale	0	1 2 3	4 5 6	7 8 9	10	N/A

Note: If out of region mutual aid assets were factored into the measure scores you MUST indicate this in the explanation section below.

Capability-Specific Measures for Medical Surge

Measure	TCL Activity	Score	Progress Label	Optional Explanation
1 The regional plans specify the criteria and process for activating Medical Surge procedures.	Activate Medical Surge	8	Substantial Progress - Mid	MCI protocol
2 The regional plans for Medical Surge are based on a formal assessment of risks and vulnerabilities.	Develop & Maintain Plans, Procedures, Programs & Systems	8	Substantial Progress - Mid	By formula in FMOP Plan. *2010 plan to build 20% bed surge (approx 700 beds).
3 The regional plans address the use of existing facilities in support of Medical Surge (e.g., hospitals, clinics, extended care facilities).	Implement Surge Patient Transfer Procedures	8	Substantial Progress - Mid	Each hospital has a plan, coord. By ESF 8 under RED Plan
4 The regional plans address the identification and setting up of additional facilities (e.g., provision of personnel, equipment, pharmaceuticals).	Implement Surge Patient Transfer Procedures	8	Substantial Progress - Mid	Region supports hospital surge plans. LTC system has increased personnel capacity with system to do so. Regional pharmaceutical plan for mass proph.

CAPABILITY: RISK MANAGEMENT

5	The regional plans address cultural characteristics of populations to be treated (e.g., religious needs, language barriers).	Develop & Maintain Plans, Procedures, Programs & Systems	6	Moderate Progress - High	Hospital plans address, ESF 19 supports with training
6	The regional plans address the treatment requirements of special needs populations.	Develop & Maintain Plans, Procedures, Programs & Systems	5	Moderate Progress - Mid	Hospital plans address, ESF 19 supports with training
7	The regional plans address patient and resource transportation (e.g., identification and availability of traditional and non-traditional resources).	Implement Surge Patient Transfer Procedures	6	Moderate Progress - High	ESF 1 and 8 support. Resources are there but no plan. LTC plan provides access to more buses and transpo resources.
8	The regional plans address facility based evacuation (e.g., identification of receiving facilities, coordination of transportation assets).	Implement Surge Patient Transfer Procedures	7	Substantial Progress - Low	Efforts underway, plan not complete. LTC plan addresses LTC community but provides good template for larger healthcare community.
9	The regional plans address the treatment of Medical Surge response personnel, site staff, and their families (e.g., medical needs, stress management strategies).	Receive & Treat Surge Casualties	8	Substantial Progress - Mid	Hospital plans address, CREPC supports
10	The regional plans address public information and communications requirements relevant to Medical Surge (e.g., dissemination of accurate, timely, accessible information to public, media, support agencies).	Direct Medical Surge Tactical Operations	7	Substantial Progress - Low	RED Plan PIOs provide
11	Nonprofit organizations/NGO's are actively engaged in our region's development of plans for Medical Surge (e.g., the American Red Cross, Salvation Army, faith-based organizations).	Develop & Maintain Plans, Procedures, Programs & Systems	5	Moderate Progress - Mid	Faith-based organizations need to be re-engaged. Limited activity with fraternal and social organizations.
12	Our region's emergency transport and tracking systems are interoperable with national and Department of Defense systems.	Develop & Maintain Plans, Procedures, Programs & Systems	4	Moderate Progress - Low	Use electronic patient tracking system.
13	Our region's supply inventories and reserves are adequate to support Medical Surge.	Receive & Treat Surge Casualties	5	Moderate Progress - Mid	MRC resources provide sufficient resources for 72 hours. Still require inventory and resource management
14	Our region maintains databases that track the status (e.g., medications, medical professionals) of resources available to support Medical Surge.	Develop & Maintain Plans, Procedures, Programs & Systems	3	Limited Progress - High	Need to implement tracking system
15	Our region currently has (or has access to) the personnel it needs to support Medical Surge (e.g., medical service providers, patient transporters, security personnel).	Implement Surge Staffing Procedures	4	Moderate Progress - Low	Limited. Will rely on coordination and resource augmentation from outside resources.
16	Our region has a robust program for recruiting volunteers to support Medical Surge.	Develop & Maintain Plans, Procedures, Programs & Systems	2	Limited Progress - Mid	Limited program in place. Citizen preparedness project to address issues.
17	Our region has developed a method for managing spontaneous volunteers and donated resources in support of Medical Surge (e.g., a system to intake volunteers, assign responsibilities and direct resources where they are most needed).	Develop & Maintain Plans, Procedures, Programs & Systems	3	Limited Progress - High	Limited to badging, no credentialing or donations management. ESAR-VHP available as state resource
18	Our region currently has (or has access to) the equipment it needs to support Medical Surge (e.g., medical equipment, transportation vehicles).	Develop & Maintain Plans, Procedures, Programs & Systems	6	Moderate Progress - High	Each hospital has equip, EMS has transportation resources
19	Our region is able to ensure the safety and security at the facilities it intends to use to support Medical Surge (e.g. determine and ensure the structural integrity, capability, and physical security of its Medical Surge facilities).	Develop & Maintain Plans, Procedures, Programs & Systems	8	Substantial Progress - Mid	
20	Our region is able to ensure the self-sufficiency of the facilities it intends to use to support Medical Surge (e.g., with respect to communications, power).	Develop & Maintain Plans, Procedures, Programs & Systems	4	Moderate Progress - Low	Each organization should do this as part of COOP plans. Generators are avail. For limited number of sites.
21	Our region has the information resources required to project the demand for Medical Surge (e.g., how many people will need treatment, how long it will take to secure facilities).	Develop & Maintain Plans, Procedures, Programs & Systems	7	Substantial Progress - Low	DPH does on state-wide basis. ESF 8 can use formula to project.
22	Our region has addressed the credentialing requirements of Medical Surge.	Develop & Maintain Training & Exercise Programs	0	None	

CAPABILITY: RISK MANAGEMENT

23	Our region has scalable patient tracking systems.	Implement Surge Patient Transfer Procedures	5	Moderate Progress - Mid	Paper only SMART tags. Starting to build electronic patient tracking system.
24	Our region has exercised its ability to implement Medical Surge (e.g., the provision of medication, follow-up care, securing of facilities).	Develop & Maintain Training & Exercise Programs	5	Moderate Progress - Mid	Some has been exercised. Planned for upcoming FSE in Sept.

Capability Explanation: Provide a listing of the region's long-term implementation steps for this capability to reach a "10" score and the resource needs for each. This description should reflect the point at which the region will switch from building capability to sustaining existing capability levels. Group discussion should capture thoughts and ideas that will be useful to you for future planning. Some details to consider include: 1) The factors that contributed to the region's scores (what are you missing?); 2) strategy for building the capability over time; 3) The sustainability of the capability and the processes/methods in place to ensure sustainability; and, 4) best practices and/or unique solutions related to the capability.

Implementation Step: Need coordinated effort with planning partners to include hospitals, DPH and region to determine gaps and develop coordinated regional approach. Need to determine what authority exists to establish alternate care sites and provide guidance to practitioners regarding alternate standards of care.

Resource Needs:

Planning: Increase planning between state and regional agencies, esp. regarding authorities. Need to plan to identify needed resources and current capabilities of facilities, address credentialing. Annual review of improvement plans to address needed improvements. Budget: \$25K (to be consolidated with other planning efforts)

Organizing (People): Need to engage faith-based and other medical providers, recruit volunteers. Need to identify leadership and train on responsibilities.

Equipment: Need electronic patient tracking system. Volunteer database, robust intra-regional hospital communications. Budget \$200K included in Triage (serves both capabilities)

Training: Need to train staff and volunteers on all aspects of med surge. Budget \$50K exercise.

Exercises: Conduct medical surge exercise. Budget \$50K As part of regional e

Summary**Capability Measures**

Completion Status	Count
# of Measures	24
# of Measures Completed	24
# of Incomplete Measures	0

Distribution of Measure Responses	Count
No Progress	1
Limited Progress	3
Moderate Progress	11
Substantial Progress	9
Objective Achieved	0
Not Applicable	0

Regional Capability Profile

Completion Status	Count
# of Questions	5
# of Questions Completed	5
# of Incomplete Questions	0

Self Assessment Findings

Measure	Value
Average Scale Value	5.5
Maximum Scale Value	8
Minimum Scale Value	0
# of Measures that are N/A	0
% of Total Possible Value*	55%

**Does not include measures that are N/A or incomplete*

Preliminary Capability Finding
Moderate Progress

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CAPABILITY: RISK MANAGEMENT

Capability: Medical Supplies Management and Distribution**Mission Area:** Respond**Outcome Statement:**

Medical Supplies Management and Distribution is the capability to procure and maintain pharmaceuticals and medical materials prior to an incident and to transport, distribute, and track these materials during an incident.

Pilot Site Information

State Name:	Connecticut
Region:	Region 3 (Capitol Region)

Assessment Coordinator Contact Information

Name:	Dan Scace		
Title and Affiliation:	UASI Project Manager & Training Coordinator		
Phone Number:	860-522-2217 x22	E-mail Address:	daniel.scace@sbcglobal.net

Regional Capability Profile

Question	Response
1) Please provide a brief description of the types of medical supplies the healthcare community in the region is stockpiling for the following types of incidents: - Chemical - Biological - Radiological/Nuclear	MMRS cache for first responder antidotes (Mark I kits plus valium) and antibiotics for first responders and families. Three Chempack in region. DPH may have KI supplies. CRI plan is to use SNS plus MMRS cache.
2) For the incidents above, what is the region's estimation in number of days of the medical supplies available based on the medical surge numbers provided earlier?	We can provide three days of antibiotics to first responders/families. MCI trailers (no drugs) are in each region and additional ones in other agencies. Each designed to treat approx. 100 people. BDL's is for 100 (primarily burn treatment).

Scale Guidance

For each measure, please select the value on the scale that best represents how much progress your region has made with regard to the objective or criterion described by the question. "Progress" means development, advancement, or evolution in the ability to fulfill the objective or criterion. This table provides explanations and examples to help you use the scale. These descriptions may not fit your jurisdiction exactly, but should help you judge your progress accurately.

Label	No Progress	Limited Progress	Moderate Progress	Substantial Progress	Objective Achieved	Not Applicable
Scale	0	1 2 3	4 5 6	7 8 9	10	N/A

Note: If out of region mutual aid assets were factored into the measure scores you MUST indicate this in the explanation section below.

Capability-Specific Measures for Medical Supplies Management and Distribution

Measure	TCL Activity	Score	Progress Label	Optional Explanation
1 The regional plans specify the criteria for activating Medical Supplies Management and Distribution procedures.	Activate Medical Supplies Management and Distribution	6	Moderate Progress - High	RESP ESF structure supports this. MMRS deliverables contain activation criteria. FMOP and Region 3 MCI protocols do not specify criteria. USMA project will address additional criteria.
2 The regional plans for Medical Supplies Management and Distribution are based on a formal assessment of risks and vulnerabilities.	Develop & Maintain Plans, Procedures, Programs & Systems	3	Limited Progress - High	No assessment since 2003 needs ad capability assessment. Regional HVA attached to Haz Mit plan addresses broad risks. Region is engaged in issue through regional Pub Health contract.
3 The regional plans for Medical Supplies Management and Distribution address storage for supplies (e.g., secure, climate controlled).	Direct Medical Supplies Management and Distribution Tactical Operations	4	Moderate Progress - Low	MMRS plans are mature. SNS plans include provisions for storage and management of the SNS. While these are mature, there is no regional plan for MSMD. No system in place nor adequate storage facilities.
4 The regional plans for Medical Supplies Management and Distribution address rotation of supplies to minimize wasted product through expiration.	Direct Medical Supplies Management and Distribution Tactical Operations	0	None	No plan.
5 The regional plans for Medical Supplies Management and Distribution address transportation of supplies (e.g., security through restricted areas, law enforcement checkpoints).	Repackage & Distribute	2	Limited Progress - Mid	MMRS and SNS provide for this specific to those programs but there is no regional plan

Once responses have been provided to the enclosed measures, this document is classified as "For Official Use Only" and must be treated in accordance with FOUO guidelines.

CAPABILITY: RISK MANAGEMENT

6	The regional plans for Medical Supplies Management and Distribution address the treatment requirements of special needs populations (e.g., pediatrics, geriatrics).	Develop & Maintain Plans, Procedures, Programs & Systems	0	None	
7	The regional plans for Medical Supplies Management and Distribution address facility based evacuation (e.g., identification of receiving facilities, coordination of transportation assets).	Direct Medical Supplies Management and Distribution Tactical Operations	1	Limited Progress - Low	Would be ad hoc outside of LTC Plan and would rely on facility managers. LTC Plan provides access to additional resources.
8	The regional plans address communications requirements relevant to Medical Supplies Management and Distribution (e.g., jurisdiction requests are monitored to track inventory, transportation vendors can maintain contact during distribution).	Direct Medical Supplies Management and Distribution Tactical Operations	1	Limited Progress - Low	Would use WebEOC, any solutions identified under USMA project. MMRS does not address currently.
9	The regional plans for Medical Supplies Management and Distribution address unused resources and disposal of waste materials generated by medical supplies warehousing operations.	Recover Medical Resources/ Demobilize Medical Supplies Management & Distribution	1	Limited Progress - Low	MMRS and SNS provide for this specific to those programs but there is no regional plan. Would be ad hoc
10	Our region's inventories and reserves are adequate to support Medical Supplies Management and Distribution.	Direct Medical Supplies Management and Distribution Tactical Operations	1	Limited Progress - Low	No system in place. Ad Hoc use of limited MRC resources.
11	Our region maintains databases that track the status (e.g., when supplies are ordered, where supplies will be delivered, constant inventory updates) of resources available to support Medical Supplies Management and Distribution.	Direct Medical Supplies Management and Distribution Tactical Operations	1	Limited Progress - Low	Being addressed through the USMA project.
12	Our region currently has (or has access to) the personnel it needs to support Medical Supplies Management and Distribution (e.g., medical service providers, supply transporters, security personnel).	Direct Medical Supplies Management and Distribution Tactical Operations	5	Moderate Progress - Mid	MRC and CERT support available
13	Our region has a robust program for recruiting volunteers to support Medical Supplies Management and Distribution.	Direct Medical Supplies Management and Distribution Tactical Operations	2	Limited Progress - Mid	MRC and CERT support available
14	Our region has developed a method for credentialing medical supply personnel for Medical Supplies Management and Distribution.	Establish Security	1	Limited Progress - Low	Have badging system available but no credentialing process exists currently
15	Our region currently has (or has access to) the equipment it needs to support Medical Supplies Management and Distribution (e.g., medical equipment, transportation vehicles, secure storage bins).	Direct Medical Supplies Management and Distribution Tactical Operations	3	Limited Progress - High	Have MRC/CERT trailers and prime movers available
16	Our region is able to ensure the safety and security at the facilities it intends to use to support Medical Supplies Management and Distribution (e.g., determine and ensure the structural integrity, capability, and suitability of Medical Supplies Management and Distribution facilities as well as prevention of theft and/or destruction).	Direct Medical Supplies Management and Distribution Tactical Operations	5	Moderate Progress - Mid	POD surveys completed for POD sites.
17	Our region is able to ensure the self-sufficiency of the facilities it intends to use to support Medical Supplies Management and Distribution (e.g., with respect to communications, power).	Direct Medical Supplies Management and Distribution Tactical Operations	5	Moderate Progress - Mid	
18	Our region has the information resources required to project the demand for Medical Supplies Management and Distribution (e.g., how many people will need treatment, how long it will take to secure facilities).	Direct Medical Supplies Management and Distribution Tactical Operations	3	Limited Progress - High	POD plans do this but no mature system for projecting demand. Information resources are available.

CAPABILITY: RISK MANAGEMENT

19	Our region has exercised its ability to implement Medical Supplies Management and Distribution (e.g., track supplies, deliver pharmaceuticals, secure facilities).	Develop & Maintain Training & Exercise Programs	8	Substantial Progress - Mid	SNS drills tested PODs, MMRS tested every month.
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Capability Explanation: Provide a listing of the region's long-term implementation steps for this capability to reach a "10" score and the resource needs for each. This description should reflect the point at which the region will switch from building capability to sustaining existing capability levels. Group discussion should capture thoughts and ideas that will be useful to you for future planning. Some details to consider include: 1) The factors that contributed to the region's scores (what are you missing?); 2) strategy for building the capability over time; 3) The sustainability of the capability and the processes/methods in place to ensure sustainability; and, 4) best practices and/or unique solutions related to the capability.

Implementation Step: Need to develop and staff a mature regional approach/plan for this capability, to include asset management, coordination with MMRS and CRI (need continued funding). Would require funding for supplies management system. Need to coordinate with state.

Resource Needs:

Planning: Need to include asset management and resource inventory control in regional plans (part of USMA effort). MMRS funding part of this. Budget \$25K to continue to develop regional plans.

Organizing (People): Need someone to run the project to implement added capabilities. Region needs to support . Budget \$100K annually

Equipment: Need storage facilities and containers to ensure safe and appropriate (climate controlled) storage. Computers and software for system. Budget \$200K

Training: Train mission-specific CERT teams on facilities and transportation support roles. Budget \$25K

Exercises: Include in regional exercises.

Summary

Capability Measures

Completion Status	Count
# of Measures Completed	19
# of Incomplete Measures	0

Distribution of Measure Responses

Distribution of Measure Responses	Count
No Progress	2
Limited Progress	11
Moderate Progress	5
Substantial Progress	1
Objective Achieved	0
Not Applicable	0

Regional Capability Profile

Completion Status	Count
# of Questions Completed	2
# of Incomplete Questions	0

Self Assessment Findings

Measure	Value
Average Scale Value	2.7
Maximum Scale Value	8
Minimum Scale Value	0
# of Measures that are N/A	0
% of Total Possible Value*	27%

*Does not include measures that are N/A or incomplete

Preliminary Capability Finding

Limited Progress

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CAPABILITY: RISK MANAGEMENT

Capability: Mass Prophylaxis**National Priority****Mission Area:** Respond**Outcome Statement:**

Appropriate drug prophylaxis and vaccination strategies are implemented in a timely manner upon the onset of an event to prevent the development of disease in exposed individuals. Public information strategies include recommendations on specific actions individuals can take to protect their family, friends, and themselves.

Pilot Site Information

State Name:	Connecticut
Region:	Region 3 (Capitol Region)

Assessment Coordinator Contact Information

Name:	Dan Scace		
Title and Affiliation:	UASI Project Manager & Training Coordinator		
Phone Number:	860-522-2217 x22	E-mail Address:	daniel.scace@sbcglobal.net

Regional Capability Profile

Question	Response
1) Using the region's existing plans, please provide a list of diseases and the projected capacity of providing mass prophylaxis to patients within 48 hours.	POD plans address this, no regional plan specific to mass prophylaxis. Local health is responsible and relied upon to distribute. SNS plans based on distribution of products to entire population of 1.2 million. MMRS for first responders.
2) What is the anticipated number of Point of Distribution (POD) sites the region can operate at the same time?	13 PODs, staffing a limiting factor. There are 13 MDAs (Mass Dispensing Areas) in the region and they are each responsible for running at least one POD. In addition, private providers are seen as alternative method of dispensing.
3) For the following categories, please state the type of prophylaxis and vaccination strategies the region has in place, and the number of available doses for exposed individuals: - Chemical - Biological - Radiological/Nuclear	Chemical: 7,000 first responders (Mark I kits), Biological: MMRS antibiotics for 65,000. SNS based on whatever is delivered. Rad/Nuc: no KI in region. MMRS distribution plan covers strategy for chem/bio. CRI plan addresses mass prophylaxis and vaccination.

FALSE

FALSE

FALSE

0

Scale Guidance

For each measure, please select the value on the scale that best represents how much progress your region has made with regard to the objective or criterion described by the question. "Progress" means development, advancement, or evolution in the ability to fulfill the objective or criterion. This table provides explanations and examples to help you use the scale. These descriptions may not fit your jurisdiction exactly, but should help you judge your progress accurately.

Label	No Progress	Limited Progress	Moderate Progress	Substantial Progress	Objective Achieved	Not Applicable
Scale	0	1 2 3	4 5 6	7 8 9	10	N/A

Note: If out of region mutual aid assets were factored into the measure scores you MUST indicate this in the explanation section below.

Capability-Specific Measures for Mass Prophylaxis

Measure	TCL Activity	Score	Progress Label	Optional Explanation
1 The regional plans specify the criteria for activating Mass Prophylaxis procedures.	Activate Mass Prophylaxis	8	Substantial Progress - Mid	DPH and MMRS plans address this specifically. Plans reviewed annually
2 The regional plans for Mass Prophylaxis are based on a formal assessment of risks and vulnerabilities.	Develop & Maintain Plans, Procedures, Programs & Systems	8	Substantial Progress - Mid	DPH and MMRS plans address this specifically
3 The regional plans address requesting and receiving Mass Prophylaxis from the State and/or CDC.	Develop & Maintain Plans, Procedures, Programs & Systems	8	Substantial Progress - Mid	DPH and MMRS plans address this specifically
4 The regional plans address the distribution of mass therapeutics (e.g., Points of Dispensing, medical supplies, staffing, security).	Direct Mass Prophylaxis Tactical Operations	6	Moderate Progress - High	DPH and MMRS plans address this specifically
5 The regional plans address cultural characteristics of populations to be treated (e.g., religious needs, language barriers).	Conduct Medical Screening	3	Limited Progress - High	CRI addresses this
6 The regional plans address the provision of prophylaxis to special needs populations (e.g., disabled people, quarantined individuals, people requiring ongoing medical support).	Conduct Medical Screening	4	Moderate Progress - Low	CRI addresses this. Being addressed through regional public health advisory contract.

FALSE

FALSE

FALSE

FALSE

FALSE

FALSE

CAPABILITY: RISK MANAGEMENT

7	The regional plans include infection control measures to protect staff and patients (e.g., medical screening is performed in a separate area away from mass prophylaxis site).	Conduct Triage for Symptoms	6	Moderate Progress - High	State DPH shelter plans address this?
8	The regional plans address the treatment of mass prophylaxis response personnel, site staff, and their families.	Establish Points of Dispensing	6	Moderate Progress - High	MMRS addresses responders, CRI addresses POD site workers
9	The regional plans address public information and communications requirements relevant to Mass Prophylaxis (e.g., dissemination of accurate, timely, accessible information to public, media, support agencies).	Direct Mass Prophylaxis Tactical Operations	5	Moderate Progress - Mid	ESF 15 supports under RED plan, CRI has a communications plan, each MDA required to have communications plan.
10	Our region's inventories and reserves are adequate to support Mass Prophylaxis.	Conduct Mass Dispensing	6	Moderate Progress - High	Adequate Medical Countermeasures. POD logistics and plans in place. Delivery of Pharmaceuticals depends upon event and supply.
11	Our region maintains databases that track the status of resources (e.g., medications, medical professionals) available to support Mass Prophylaxis.	Develop & Maintain Plans, Procedures, Programs & Systems	5	Moderate Progress - Mid	Maintained locally and pushed to the region upon request.
12	Our region currently has (or has access to) the personnel it needs to support Mass Prophylaxis (e.g., medical service providers, POD security, patient transporters).	Establish Points of Dispensing	4	Moderate Progress - Low	
13	Our region has a robust program for recruiting volunteers to support Mass Prophylaxis.	Direct Mass Prophylaxis Tactical Operations	4	Moderate Progress - Low	Local Function
14	Our region has developed a method for managing spontaneous volunteers and donated resources in support of Mass Prophylaxis (e.g. there is a system to intake volunteers, assign responsibilities, train and direct resources where they are most needed).	Direct Mass Prophylaxis Tactical Operations	3	Limited Progress - High	Significant effort at the local level
15	Our region currently has (or has access to) the equipment it needs to support Mass Prophylaxis (e.g., medical screening equipment, cots partitions, tents).	Direct Mass Prophylaxis Tactical Operations	7	Substantial Progress - Low	Is no specific regional plan, capability through MDAs. Have regional cots and tents.
16	Our region is able to ensure the safety and security at the facilities it intends to use to support Mass Prophylaxis (e.g., determine and ensure the structural integrity, capability, and suitability of Mass Prophylaxis facilities).	Direct Mass Prophylaxis Tactical Operations	9	Substantial Progress - High	In POD plans
17	Our region is able to ensure the self-sufficiency of the facilities it intends to use to support Mass Prophylaxis (e.g., with respect to communications, power).	Direct Mass Prophylaxis Tactical Operations	8	Substantial Progress - Mid	SNS DPH evaluations are part of the plan. Have an evaluation tool for the ACFs that can be used.
18	Our region has the information resources required to project the demand for Mass Prophylaxis (e.g., how many people will need medication, how long until medications become perishable, how long it will take to establish PODs).	Develop & Maintain Plans, Procedures, Programs & Systems	9	Substantial Progress - High	Through each MDA plan
19	Our region has the information resources required to track the status of people who have been treated.	Monitor Adverse Events	7	Substantial Progress - Low	Through each MDA plan, paper system, not robust
20	Agencies within our region have the legal authority to perform their required duties as they relate to Mass Prophylaxis.	Develop & Maintain Plans, Procedures, Programs & Systems	10		Region has no authority, local health directors have the authority to implement mass prophylaxis
21	Our region has exercised its ability to implement Mass Prophylaxis (e.g., the provision of medication, follow-up care, securing of PODs).	Develop & Maintain Training & Exercise Programs	9	Substantial Progress - High	Each MDA exercises using flu clinics
22	All agencies responsible for Mass Prophylaxis have been involved in exercises (e.g., public health, law enforcement, EMS).	Develop & Maintain Training & Exercise Programs	10	Objective Achieved	

FALSE

FALSE

FALSE

FALSE

FALSE

FALSE

FALSE

FALSE

FALSE

FALSE

FALSE

FALSE

FALSE

FALSE

FALSE

FALSE

0

CAPABILITY: RISK MANAGEMENT

Capability Explanation: Provide a listing of the region's long-term implementation steps for this capability to reach a "10" score and the resource needs for each. This description should reflect the point at which the region will switch from building capability to sustaining existing capability levels. Group discussion should capture thoughts and ideas that will be useful to you for future planning. Some details to consider include: 1) The factors that contributed to the region's scores (what are you missing?); 2) strategy for building the capability over time; 3) The sustainability of the capability and the processes/methods in place to ensure sustainability; and, 4) best practices and/or unique solutions related to the capability.

Implementation Step: Need to complete CRI project which includes regional solutions to identified gaps.

Resource Needs:

Planning: Being done under CRI and MMRS. Need to coordinate under regional plans.

Organizing (People): Need to develop volunteer recruitment efforts for POD staffing. Budget under volunteer management capability.
Budget: Public Health Planner to support MDAs \$25K

Equipment: Need equipment to support PODs (TBD with CRI Plan completion). Budget \$10K

Training: Need to train volunteers in POD operations (JIT training) and SNS receipt for regional receipt and distribution.
Budget through CRI funding.

Exercises: Ongoing.

Capability Measures

Completion Status	Count
# of Measures	22
# of Measures Completed	22
# of Incomplete Measures	0

Distribution of Measure Responses	Count
No Progress	0
Limited Progress	2
Moderate Progress	9
Substantial Progress	9
Objective Achieved	2
Not Applicable	0

Regional Capability Profile

Completion Status	Count
# of Questions	3
# of Questions Completed	3
# of Incomplete Questions	0

Self Assessment Findings

Measure	Value
Average Scale Value	6.6
Maximum Scale Value	10
Minimum Scale Value	3
# of Measures that are N/A	0
% of Total Possible Value*	66%

*Does not include measures that are N/A or incomplete

Preliminary Capability Finding
Substantial Progress

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CAPABILITY: RISK MANAGEMENT

Capability: Mass Care	National Priority
Mission Area: Respond	
Outcome Statement: Mass care services (sheltering, feeding, bulk distribution) are rapidly provided for the population and companion animals within the affected area.	

Pilot Site Information		Assessment Coordinator Contact Information	
State Name:	Connecticut	Name:	Dan Scace
Region:	Region 3 (Capitol Region)	Title and Affiliation:	UASI Project Manager & Training Coordinator
		Phone Number:	860-522-2217 x22
		E-mail Address:	daniel.scace@sbcglobal.net

Regional Capability Profile	
Question	Response
1) Please provide the number of pre-identified shelter spaces in the region: - Short-term (less than 24 hours) - Long-term (more than 24 hours)	Approx. 175 shelters identified. Short Term Spaces: 79,500, Long Term Spaces: 26,900. DEMHS keeps track of long term facilities. Currently revising locations and counts.
2) Please provide the number of pre-identified shelter spaces in the region for the following categories: - Companion animal shelter spaces - Special needs Shelter spaces	Approx 750 animal cages, no shelter spaces currently identified for companion animals. Special Needs and Medical Shelter spaces not counted, special needs spaces are in shelter count, larger spaces required.
3) Who are the lead agencies within the region for operating shelters?	Local responsibility, ARC operates

FALSE

FALSE

FALSE

0

Scale Guidance												
For each measure, please select the value on the scale that best represents how much progress your region has made with regard to the objective or criterion described by the question. "Progress" means development, advancement, or evolution in the ability to fulfill the objective or criterion. This table provides explanations and examples to help you use the scale. These descriptions may not fit your jurisdiction exactly, but should help you judge your progress accurately.												
Label	No Progress	Limited Progress			Moderate Progress			Substantial Progress			Objective Achieved	Not Applicable
Scale	0	1	2	3	4	5	6	7	8	9	10	N/A

Note: If out of region mutual aid assets were factored into the measure scores you MUST indicate this in the explanation section below.

Capability-Specific Measures for Mass Care					
Measure	TCL Activity	Score	Progress Label	Optional Explanation	
1 Our region has a formal process for activating mass care resources.	Develop & Maintain Plans, Procedures, Programs, Systems/Activate MC	8	Substantial Progress - Mid	Yes, through RESP plan and annexes, ESF support	
2 Agencies within our region have the legal authority to perform their required duties as they relate to Mass Prophylaxis.	Develop & Maintain Plans, Procedures, Programs & Systems	10	Objective Achieved		
3 NGOs and other organizations responsible for mass care (e.g., the American Red Cross, Citizen Corps) are involved in our region's planning process.	Develop & Maintain Plans, Procedures, Programs, Systems	9	Substantial Progress - High	Yes through CREPC, all NGOs invited to participate, need more faith-based participation	
4 The regional plans for sheltering are integrated with our plans for evacuation (e.g., evacuation routes to shelters are identified, exercise evacuation from various locations to local shelters).	Direct MC Tactical Operations	2	Limited Progress - Mid	Tactical issues not identified, done ad hoc as part of IAP planning process	
5 The regional plans for sheltering consider long-term shelter needs and return to "normal life" activities (e.g., food and staff reserves, education and medical services).	Direct MC Tactical Operations/ Pilot Measure Working Group	5	Moderate Progress - Mid	Reserves have been planned for, working on others	
6 The regional plans address cultural characteristics and needs of populations to be sheltered (e.g., religious needs, language barriers).	Develop & Maintain Plans, Procedures, Programs, Systems/ Direct MC Tactical Operations	6	Moderate Progress - High	Locals know their population, regional follows ARC guidelines which address this	
7 The regional plans address the shelter requirements of special needs populations (e.g., disabled people, people requiring ongoing medical support).	Direct MC Tactical Operations	4	Moderate Progress - Low	Plans address this, significant efforts underway but gaps remain. Forthcoming Federal Guidance.	

FALSE

FALSE

FALSE

FALSE

FALSE

FALSE

FALSE

CAPABILITY: RISK MANAGEMENT

8	Our region has a strategy to meet the feeding needs of affected populations (e.g., estimate projected need, identify distribution, preparation, and feeding sites, establish mobile feeding routes).	Establish Feeding Operations/ Prepare & Distribute Food	6	Moderate Progress - High	ESF 6 supports this but no specific plans, would be ad hoc through ARC	FALSE
9	The regional plans address the management of pets/companion animals.	Shelter Companion Animals	8	Substantial Progress - Mid	Yes, through RESP plan and annexes, ESF 11 supports, in regional evac and shelter guide	FALSE
10	The regional plans address the reunification of displaced family members.	Develop & Maintain Plans, Procedures, Programs, Systems	5	Moderate Progress - Mid	Regional plan does not, would be state and local, NGO responsibility (safe and well program).	FALSE
11	The regional plans address the public information and communications requirements relevant to Mass Care (e.g., the dissemination of accurate, timely, accessible information to public, media, support agencies and vendors).	Develop & Maintain Plans, Procedures, Programs, Systems/ Direct MC Tactical Operations	4	Moderate Progress - Low	RESP plan addresses PIO function but no JIC/JIS	FALSE
12	The regional plans address transportation requirements (e.g., vehicles for transfers and medical care).	Shelter General Population	4	Moderate Progress - Low	Shelters would be operated with this support, not regional	FALSE
13	The regional plans include a systematic process for closing shelters (e.g., notification of closing, transfer of population to residence or temporary shelter, closing inspection and walk through).	Close Shelter	8	Substantial Progress - Mid	ARC procedures address	FALSE
14	Our region's inventories and reserves are adequate to support Mass Care.	Direct MC Tactical Operations	4	Moderate Progress - Low	Regional plans depend on local operations for this, regional plan includes a cache of 8000 blankets and cots, 9,000 cots stored by state in region. 17,000 total.	FALSE
15	Our region currently has (or has dedicated access to) the personnel we need to support Mass Care (e.g., shelter staff, food distributors, medical providers).	Activate MC	7	Substantial Progress - Low	Locals are responsible for this, will recruit sheltered for support, JIT training in plans, CERT, MMRS and MRC can support.	FALSE
16	Our region has a robust program for recruiting volunteers to support Mass Care.	Develop & Maintain Plans, Procedures, Programs, Systems	4	Moderate Progress - Low	No, will do ad hoc, use ARC, SA, CERT, NGOs to support with volunteers	FALSE
17	Our region currently has (or has dedicated access to) the equipment we need to support Mass Care (e.g., cots, mobile kitchens, hygiene systems, portable toilets).	Direct MC Tactical Operations	3	Limited Progress - High	Have though NGOs state cache, may not be enough. Need specialized equipment and functional needs equipment. Especially feeding.	FALSE
18	Our region has identified the facilities we intend to use to support Mass Care (e.g., shelters, camps, hotels, feeding sites, distribution centers).	Direct MC Tactical Operations	7	Substantial Progress - Low	Done by locals, need to verify and update lists. List available on WebEOC.	FALSE
19	Our region is able to ensure the safety and security at the facilities we intend to use to support Mass Care (e.g., determine and ensure the structural integrity, capability, and suitability of its Mass Care facilities).	Establish Shelter Operations	5	Moderate Progress - Mid	LE can provide but limited by size and scope of event. Local resources can be used	FALSE
20	Our region is able to ensure the self-sufficiency of the facilities we intend to use to support Mass Care (e.g., with respect to communications, power).	Establish Shelter Operations	5	Moderate Progress - Mid	Region coordinates, local responsibility, have generators, work with state to get it done	FALSE
21	Our region has a formal system for bulk distribution of commodities (e.g., food, water, ice).	Establish Bulk Distribution Operations	7	Substantial Progress - Low	Nothing in regional plan for Local Commodity Distribution Points, locals have identified sites, DEMHS manages distribution of commodities. RESP plan covers coordination	FALSE
22	Our region has the information resources required to track the status of people who have been sheltered.	Direct MC Tactical Operations	5	Moderate Progress - Mid	Paper only, working on electronic information management issues. Track raw numbers but not specific to individual identities. ARC Safe and Well site can be utilized.	FALSE
23	All agencies in our region are currently trained on activities required to conduct Mass Care (e.g., sheltering, feeding, bulk distribution services for general population and companion animals).	Develop & Maintain Training & Exercise Programs	7	Substantial Progress - Low	Depends on agency, rely on JIT, NGOs well trained on these activities, CERT training addresses to some degree	FALSE

CAPABILITY: RISK MANAGEMENT

24	Our region has the information resources required to project the demand for Mass Care (e.g., how many people will need shelter, how long it will take to establish shelters, how long people will need shelter, how much food will be needed).	Direct MC Tactical Operations	7	Substantial Progress - Low	Rely on ARC for projections based on FEMA requirements
25	Our region has experience implementing Mass Care during actual incidents and/or full scale exercises.	Develop & Maintain Training & Exercise Programs/Pilot Measure Working Group	8	Substantial Progress - Mid	Yes, do this often

FALSE

FALSE

0

Capability Explanation: Provide a listing of the region's long-term implementation steps for this capability to reach a "10" score and the resource needs for each. This description should reflect the point at which the region will switch from building capability to sustaining existing capability levels. Group discussion should capture thoughts and ideas that will be useful to you for future planning. Some details to consider include: 1) The factors that contributed to the region's scores (what are you missing?); 2) strategy for building the capability over time; 3) The sustainability of the capability and the processes/methods in place to ensure sustainability; and, 4) best practices and/or unique solutions related to the capability.

Implementation Step: Need to update and verify shelter locations (to include regional shelters), determine space requirements, define special needs populations, confirm projected needs, etc. Need to improve capabilities to track resources, people, share information (JIC).

Resource Needs:

Planning: Continue coordinated planning efforts with all key players (state, local, VOAD/NGO, etc.) Address special needs, cultural issues, etc. Need to improve education/training and information management. Budget: \$10K

Organizing (People): Continue regional approach to involving state, local, VOADs and other organizations in planning.

Equipment: Need more feeding equipment and supplies. Need comfort kits. Budget: \$25K

Training: Need more training on mass care, train volunteers, develop JIT programs. Hands on training to set up mass care sites. Budget: \$25K

Exercises: Ongoing, regional exercises should include. Consider drills to support mass care centric operations.

Summary**Capability Measures**

Completion Status	Count
# of Measures	25
# of Measures Completed	25
# of Incomplete Measures	0

Distribution of Measure Responses	Count
No Progress	0
Limited Progress	2
Moderate Progress	12
Substantial Progress	10
Objective Achieved	1
Not Applicable	0

Regional Capability Profile

Completion Status	Count
# of Questions	3
# of Questions Completed	3
# of Incomplete Questions	0

Self Assessment Findings

Measure	Value
Average Scale Value	5.9
Maximum Scale Value	10
Minimum Scale Value	2
# of Measures that are N/A	0
% of Total Possible Value*	59%

**Does not include measures that are N/A or incomplete*

Preliminary Capability Finding
Moderate Progress

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CAPABILITY: RISK MANAGEMENT

Capability: Structural Damage and Mitigation Assessment**Mission Area:** Recover**Outcome Statement:**

Accurate situation needs and damage assessments occur. The full range of engineering, building inspection, and enforcement services are implemented, managed, and coordinated in a way that maximizes the use of resources, aids emergency response, implements recovery operations, and restores the affected area to pre-event conditions. Mitigation projects to lessen the impact of similar future events are identified and prioritized.

Pilot Site Information

State Name:	Connecticut
Region:	Region 3 (Capitol Region)

Assessment Coordinator Contact Information

Name:	Dan Scace		
Title and Affiliation:	UASI Project Manager & Training Coordinator		
Phone Number:	860-522-2217 x22	E-mail Address:	daniel.scace@sbcglobal.net

Regional Capability Profile

Question	Response
1) Based on the Hazard Mitigation Plans, please provide a list of the high and medium hazards facing the community.	See HVA
2) Based on the hazards listed above, has the region identified areas and/or structures at risk? If yes, please provide a percentage of the total population of the region that resides in these areas.	No but locals do hazard mapping as part of DMA2K. Region compiled natural hazards. Does not identify total percentage at risk.

Scale Guidance

For each measure, please select the value on the scale that best represents how much progress your region has made with regard to the objective or criterion described by the question. "Progress" means development, advancement, or evolution in the ability to fulfill the objective or criterion. This table provides explanations and examples to help you use the scale. These descriptions may not fit your jurisdiction exactly, but should help you judge your progress accurately.

Label	No Progress	Limited Progress	Moderate Progress	Substantial Progress	Objective Achieved	Not Applicable
Scale	0	1 2 3	4 5 6	7 8 9	10	N/A

Note: If out of region mutual aid assets were factored into the measure scores you MUST indicate this in the explanation section below.

Capability-Specific Measures for Structural Damage and Mitigation Assessment

Measure	TCL Activity	Score	Progress Label	Optional Explanation
1 The regional plans address damage assessment procedures.	Develop & Maintain Plans, Procedures, Programs, Systems	N/A	Not Applicable	No, this is not a regional responsibility. Locals do assessments, and give info to state.
2 The regional plans address mitigation procedures (e.g., identification of mitigation measures, emergency restorative procedures).	Develop & Maintain Plans, Procedures, Programs, Systems	N/A	Not Applicable	
3 The regional plans address documenting and reporting incidents (e.g., completing and submitting required forms, reports, documentation, and follow-up notation for FEMA reimbursement).	Direct Structural Damage and Mitigation Assessment Operations	N/A	Not Applicable	
4 The regional plans address managing paid and volunteer staff for damage mitigation (e.g., establish qualification and certification standards).	Develop & Maintain Plans, Procedures, Programs, Systems	N/A	Not Applicable	
5 Our region has developed a Critical Resource List.	Develop & Maintain Plans, Procedures, Programs, Systems	N/A	Not Applicable	
6 Our region uses structural damage and mitigation assessments to recommend a prioritization of efforts (e.g., of critical infrastructure services, facilities, assets restoration).	Direct Structural Damage and Mitigation Assessment Operations	N/A	Not Applicable	

CAPABILITY: RISK MANAGEMENT

7	Our region has developed procedures to identify qualified contractors offering recovery/restoration services.	Direct Structural Damage and Mitigation Assessment Operations	N/A	Not Applicable	
8	The regional plans address activation of Structural Damage and Mitigation Assessment procedures (e.g., emergency dispatch and notification of personnel, dispatch of secondary agencies).	Activate Structural Damage and Mitigation Assessment	N/A	Not Applicable	
9	The regional plans address conducting inspections and assessments (e.g., situation assessments, building safety inspections, emergency and permanent emergency work damage assessments and public works preparation), (FEMA, non-FEMA).	Conduct Inspections and Assessments	N/A	Not Applicable	
10	Our region conducts detailed situation assessments (e.g., aerial reconnaissance, remote sensing, computer modeling, or windshield surveys that identify buildings that may collapse).	Conduct Inspections and Assessments	N/A	Not Applicable	
11	Our region provides recovery personnel that have training in mitigation and technical assistance (e.g., assist with coordination of funding, and implementing contracts for construction management, emergency repair of utilities).	Provide Mitigation and Technical Assistance	N/A	Not Applicable	
12	Our region participates in post-incident assessments and follow up (e.g., develop cost estimates, complete written project worksheets, prioritize reconstruction projects).	Provide Mitigation and Technical Assistance	N/A	Not Applicable	
13	The regional plans address demobilization of Structural Damage and Mitigation Assessment operations (e.g., personnel and equipment are returned to normal operations, appropriate documentation is completed).	Demobilize Structural Damage and Mitigation Assessment	N/A	Not Applicable	
14	Our region currently has (or has dedicated access to) the personnel trained to support Structural Damage and Mitigation Assessment (e.g., Public Assistance Coordinator, HazMat, medical, and mass care specialists, safety engineers).	Develop & Maintain Plans, Procedures, Programs, Systems	N/A	Not Applicable	
15	Our region currently has (or has dedicated access to) the equipment we need to support Structural Damage and Mitigation Assessment.	Develop & Maintain Plans, Procedures, Programs, Systems	N/A	Not Applicable	
16	The agencies in our region are currently trained on activities required to conduct Structural Damage and Mitigation Assessments (e.g., damage assessment exercises).	Develop & Maintain Training & Exercise Programs	N/A	Not Applicable	
17	Our region has experience implementing Structural Damage and Mitigation Assessment.	Develop & Maintain Training & Exercise Programs	N/A	Not Applicable	

Capability Explanation: Provide a listing of the region's long-term implementation steps for this capability to reach a "10" score and the resource needs for each. This description should reflect the point at which the region will switch from building capability to sustaining existing capability levels. Group discussion should capture thoughts and ideas that will be useful to you for future planning. Some details to consider include: 1) The factors that contributed to the region's scores (what are you missing?); 2) strategy for building the capability over time; 3) The sustainability of the capability and the processes/methods in place to ensure sustainability; and, 4) best practices and/or unique solutions related to the capability.

Summary**Capability Measures**

Completion Status	Count
# of Measures	17
# of Measures Completed	17

Distribution of Measure Responses	Count
No Progress	0
Limited Progress	0
Moderate Progress	0
Substantial Progress	0
Objective Achieved	0
Not Applicable	17

Regional Capability Profile

Completion Status	Count
# of Questions	2
# of Questions Completed	2

Self Assessment Findings

Measure	Value
Average Scale Value	Incomplete
Maximum Scale Value	0
Minimum Scale Value	0
# of Measures that are N/A	17
% of Total Possible Value*	Incomplete

*Does not include measures that are N/A or incomplete

Preliminary Capability Finding

#N/A

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CAPABILITY: RISK MANAGEMENT

Capability: Restoration of Lifelines
Mission Area: Recover
Outcome Statement:
 Sufficient lifeline services are available to safely support ongoing recovery activities.

Pilot Site Information

State Name:	Connecticut
Region:	Region 3 (Capitol Region)

Assessment Coordinator Contact Information

Name:	Dan Scace		
Title and Affiliation:	UASI Project Manager & Training Coordinator		
Phone Number:	860-522-2217 x223	E-mail Address:	daniel.scace@sbcglobal.net

Regional Capability Profile

Question	Response
1) Has the region prioritized the restoration of lifelines?	No, utilities do this.

Scale Guidance

For each measure, please select the value on the scale that best represents how much progress your region has made with regard to the objective or criterion described by the question. "Progress" means development, advancement, or evolution in the ability to fulfill the objective or criterion. This table provides explanations and examples to help you use the scale. These descriptions may not fit your jurisdiction exactly, but should help you judge your progress accurately.

Label	No Progress	Limited Progress	Moderate Progress	Substantial Progress	Objective Achieved	Not Applicable
Scale	0	1 2 3	4 5 6	7 8 9	10	N/A

Note: If out of region mutual aid assets were factored into the measure scores you MUST indicate this in the explanation section below.

Capability-Specific Measures for Restoration of Lifelines

Measure	TCL Activity	Score	Progress Label	Optional Explanation
1 The regional plans address credentialing procedures for repair personnel to have access to critical sites after an incident.	Develop & Maintain Plans, Procedures, Programs, Systems	N/A	Not Applicable	
2 The regional plans address identifying entities affected by the loss of infrastructure (e.g., economic entities, communities, public sectors).	Direct Restoration of Lifelines Operations	2	Limited Progress - Mid	Utilities do this. Process in place through IMT.
3 The regional plans address mobilization of personnel and equipment for the restoration of lifelines.	Activate Restoration of Lifelines Operations	2	Limited Progress - Mid	Not specifically.
4 The regional plans address housing essential services for mobilized personnel.	Direct Restoration of Lifelines Operations	2	Limited Progress - Mid	Utilities do this
5 The regional plans address execution of emergency contracting support (e.g., for life-saving and life-sustaining services).	Direct Restoration of Lifelines Operations	1	Limited Progress - Low	Institutional knowledge but nothing formalized.
6 The regional plans address establishing key transportation avenues (e.g., best routes for personnel and equipment to access disaster locations).	Activate Restoration of Lifelines Operations	1	Limited Progress - Low	Diversion planning undertaken.
7 The regional plans address the reassessment and prioritization of key infrastructures (e.g., energy, transportation, drinking and waste water, communication, fuel).	Re-assess and Prioritize	N/A	Not Applicable	
8 The regional plans address the provision of alternate communications to essential facilities (e.g., hospitals, police and fire departments).	Implement Reconstitution Procedures for Critical Facilities	7	Substantial Progress - Low	RICs can support alternate comms, part of the RESP plan

CAPABILITY: RISK MANAGEMENT

9	The regional plans address the provision of electrical services to essential facilities (e.g., hospitals, police and fire departments).	Implement Reconstitution Procedures for Critical Facilities	3	Limited Progress - High	Utilities do this, state monitors via WebEOC. Region has generators upon request. Limited availability and capability.
10	Our region executes priority restoration operations for critical infrastructures in a timely manner.	Implement Reconstitution Procedures for Critical Facilities	N/A	Not Applicable	
11	The regional plans address demobilization of Restoration of Lifeline operations (e.g., consideration of personnel and equipment for permanent repair operations, personnel and equipment are returned to normal operations, appropriate documentation is completed).	Demobilize Restoration of Lifelines Operations	0	None	
12	Our region currently has (or has dedicated access to) the personnel trained to support Restoration of Lifelines (e.g., critical infrastructure assessment experts, electricians, debris management specialists).	Develop & Maintain Plans, Procedures, Programs, Systems	5	Moderate Progress - Mid	Municipalities have resources but no system to prioritize or coordinate.
13	Our region currently has (or has dedicated access to) the equipment we need to support Restoration of Lifelines.	Develop & Maintain Plans, Procedures, Programs, Systems	5	Moderate Progress - Mid	Municipalities have resources but no system to prioritize or coordinate.
14	The agencies in our region are currently trained on activities required to conduct Restoration of Lifelines Operations (e.g., energy, transportation, drinking water, waste water, communications, and fuel infrastructure damage assessments).	Develop & Maintain Training & Exercise Programs	7	Substantial Progress - Low	
15	Our region has experience implementing Restoration of Lifelines.	Develop & Maintain Training & Exercise Programs	1	Limited Progress - Low	

Capability Explanation: Provide a listing of the region's long-term implementation steps for this capability to reach a "10" score and the resource needs for each. This description should reflect the point at which the region will switch from building capability to sustaining existing capability levels. Group discussion should capture thoughts and ideas that will be useful to you for future planning. Some details to consider include: 1) The factors that contributed to the region's scores (what are you missing?); 2) strategy for building the capability over time; 3) The sustainability of the capability and the processes/methods in place to ensure sustainability; and, 4) best practices and/or unique solutions related to the capability.

Implementation Step: Need to define regional role in ROL and develop capability based on the appropriate role for the region. Municipalities have resources but no system to prioritize or coordinate.

Resource Needs:

Planning: Need to research ROL roles, coordinate with utilities and state.

Organizing (People): Need to include lifeline providers in regional efforts, workgroups, etc.

Equipment:

Training:

Exercises: Consider including ROL in future regional exercise.

Summary**Capability Measures**

Completion Status	Count
# of Measures	15
# of Measures Completed	15
# of Incomplete Measures	0

Distribution of Measure Responses	Count
Limited Progress	7
Moderate Progress	2
Substantial Progress	2
Objective Achieved	0
Not Applicable	3

Regional Capability Profile

Completion Status	Count
# of Questions	1
# of Questions Completed	1
# of Incomplete Questions	0

Self Assessment Findings

Measure	Value
Average Scale Value	3.0
Maximum Scale Value	7
Minimum Scale Value	0
# of Measures that are N/A	3
% of Total Possible Value*	30%

*Does not include measures that are N/A or incomplete

Preliminary Capability Finding
Moderate Progress

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CAPABILITY: RISK MANAGEMENT

Capability: Economic and Community Recovery**Mission Area:** Recover**Outcome Statement:**

Economic impact is estimated, priorities are set for recovery activities, business disruption is minimized and returned to operation, and individuals and families are provided with appropriate levels and types of relief with minimal delay.

Pilot Site Information

State Name:	Connecticut
Region:	Region 3 (Capitol Region)

Assessment Coordinator Contact Information

Name:	Dan Scace		
Title and Affiliation:	UASI Project Manager & Training Coordinator		
Phone Number:	860-522-2217 x22	E-mail Address:	daniel.scace@sbcglobal.net

Regional Capability Profile

Question	Response
1) Does the region have a committee in place that is charged with overseeing economic and community recovery? If yes, please provide a brief description of the group's representation.	ESF 14 (Long Term Recovery) includes businesses, ACP promoting better business practices, BCP, etc. They are meeting with CoCs, etc. Municipal COOP needs to be included.

Scale Guidance

For each measure, please select the value on the scale that best represents how much progress your region has made with regard to the objective or criterion described by the question. "Progress" means development, advancement, or evolution in the ability to fulfill the objective or criterion. This table provides explanations and examples to help you use the scale. These descriptions may not fit your jurisdiction exactly, but should help you judge your progress accurately.

Label	No Progress	Limited Progress			Moderate Progress			Substantial Progress			Objective Achieved	Not Applicable
Scale	0	1	2	3	4	5	6	7	8	9	10	N/A

Note: If out of region mutual aid assets were factored into the measure scores you MUST indicate this in the explanation section below.

Capability-Specific Measures for Economic and Community Recovery

Measure	TCL Activity	Score	Progress Label	Optional Explanation
1 The regional plans specifically address establishing economic recovery and mitigation efforts.	Develop & Maintain Plans, Procedures, Programs, Systems	3	Limited Progress - High	Plan addresses ESF 14, no specific plan or strategy
2 The regional plans address establishing appropriate insurance coverage policies.	Develop & Maintain Plans, Procedures, Programs, Systems	N/A	Not Applicable	Local and State responsibility, per law, Title 28
3 The regional plans address establishing debris management priorities (e.g., improving restoration of key community functions, critical infrastructures).	Develop & Maintain Plans, Procedures, Programs, Systems	1	Limited Progress - Low	Local and State responsibility, no regional plan. State has a plan and guidance, regulated by DEP.
4 The regional plans address coordinating Economic and Community Recovery operations (e.g., prioritizing recovery sequence, requesting State/Federal aid, establishing long-term recovery goals).	Direct Economic and Community Recovery Operations	N/A	Not Applicable	Corporations have internal plans
5 The regional plans address mobilization of personnel and equipment for Economic and Community Recovery.	Activate Economic and Community Recovery	0	None	
6 The regional plans address the assessment and prioritization of recovery needs (e.g., economic needs of victims, mitigation of damages from future disasters).	Assess and Prioritize Recovery Needs	0	None	State and local responsibility
7 The regional plans address providing monetary relief to support Economic and Community Recovery operations (e.g., notify businesses and individuals of disaster relief reimbursement vehicles, provide financial counseling).	Provide Monetary Relief	0	None	State and local responsibility

CAPABILITY: RISK MANAGEMENT

8	The regional plans address providing direct assistance to meet recovery needs of affected entities (e.g., facilitate recovery activities related to public works and engineering, provide temporary housing, initiate crisis counseling).	Provide Non-Monetary Relief	6	Moderate Progress - High	RESP plan provides for coordination through ESFs
9	The regional plans address demobilization of Economic and Community Recovery operations (e.g., personnel and equipment are returned to normal operations, appropriate documentation is completed).	Demobilize Economic and Community Recovery Operations	0	None	
10	Our region currently has (or has dedicated access to) the personnel trained to support Economic and Community Recovery (e.g., loan officers, community planning and development officers, business leaders).	Develop & Maintain Plans, Procedures, Programs, Systems	2	Limited Progress - Mid	Unaffiliated networks exist.
11	Our region currently has (or has dedicated access to) the equipment we need to support Economic and Community Recovery (e.g., tree clearing equipment, cranes, hauling equipment).	Develop & Maintain Plans, Procedures, Programs, Systems	4	Moderate Progress - Low	Local resources exist. State Resources could be called upon. No dedicated regional resources.
12	The agencies in our region are currently trained on activities required to conduct Economic and Community Recovery (e.g., stabilization and recovery plans).	Develop & Maintain Training & Exercise Programs	0	None	
13	Our region has experience implementing Economic and Community Recovery.	Develop & Maintain Training & Exercise Programs	0	None	

Capability Explanation: Provide a listing of the region's long-term implementation steps for this capability to reach a "10" score and the resource needs for each. This description should reflect the point at which the region will switch from building capability to sustaining existing capability levels. Group discussion should capture thoughts and ideas that will be useful to you for future planning. Some details to consider include: 1) The factors that contributed to the region's scores (what are you missing?); 2) strategy for building the capability over time; 3) The sustainability of the capability and the processes/methods in place to ensure sustainability; and, 4) best practices and/or unique solutions related to the capability.

Implementation Step: Need to build on ESF 14 to develop more of a regional capability. Will need a regional plan.

Resource Needs:

Planning: Need to develop an implementation strategy to create this capability. Planning support is needed.
Budget \$30K annually.

Organizing (People): Build on ESF 14 to include business leaders, volunteers, work with ACP and other SMEs to develop the capability and capacity needed.

Equipment:

Training: Look into Economic and Community Recovery training for business leaders and regional participants.

Exercises: Include private sector planning partners in future exercises.

Summary

Capability Measures

Completion Status	Count
# of Measures	13
# of Measures Completed	13
# of Incomplete Measures	0

Regional Capability Profile

Completion Status	Count
# of Questions	1
# of Questions Completed	1
# of Incomplete Questions	0

CAPABILITY: RISK MANAGEMENT

Distribution of Measure Responses	Count
No Progress	6
Limited Progress	3
Substantial Progress	0
Objective Achieved	0
Not Applicable	2

Self Assessment Findings

Measure	Value
Average Scale Value	1.5
Maximum Scale Value	6
Minimum Scale Value	0
# of Measures that are N/A	2
% of Total Possible Value*	15%

**Does not include measures that are N/A or incomplete*

Preliminary Capability Finding
Limited Progress

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Cross-Cutting Measures**Pilot Site Information**

State Name:	Connecticut
Region:	Region 3 (Capitol Region)

Assessment Coordinator Contact Information

Name:	Dan Scace				
Title and Affiliation:	UASI Project Manager & Training Coordinator				
Phone Number:	860-522-2217 x223	E-mail Address:	daniel.scace@sbcglobal.net		

Scale Guidance

For each measure, please select the value on the scale that best represents how much progress your region has made with regard to the objective or criterion described by the question. "Progress" means development, advancement, or evolution in the ability to fulfill the objective or criterion. This table provides explanations and examples to help you use the scale. These descriptions may not fit your jurisdiction exactly, but should help you judge your progress accurately.

Label	No Progress	Limited Progress			Moderate Progress			Substantial Progress			Objective Achieved	Not Applicable
Scale	0	1	2	3	4	5	6	7	8	9	10	N/A

Cross-Cutting Measures

Measure		Prevent		Protect		Respond		Recover		Optional Explanation
		Progress		Progress		Progress		Progress		
		Score	Label	Score	Label	Score	Label	Score	Label	
Planning										
1	The regional and local emergency preparedness plans are consistent with federal policy and plans (e.g., the NRF and NIMS).	8	Substantial Progress - Mid	8	Substantial Progress - Mid	8	Substantial Progress - Mid	8	Substantial Progress - Mid	
2	The regional and local emergency preparedness plans are based on a formal assessment of risks and vulnerabilities.	6	Moderate Progress - High	6	Moderate Progress - High	6	Moderate Progress - High	6	Moderate Progress - High	Yes. Based on HVA Lite and strategic plan
3	The regional and local emergency preparedness plans account for information sharing requirements.	5	Moderate Progress - Mid	5	Moderate Progress - Mid	7	Substantial Progress - Low	5	Moderate Progress - Mid	Covered in the plans.
4	The regional and local emergency preparedness plans have been exercised.	2	Limited Progress - Mid	2	Limited Progress - Mid	9	Substantial Progress - High	1	Limited Progress - Low	
5	The regional and local emergency preparedness plans are regularly reviewed to ensure they are current.	9	Substantial Progress - High	9	Substantial Progress - High	9	Substantial Progress - High	9	Substantial Progress - High	
6	Updates or changes to the regional and local emergency preparedness plans are informed by documented lessons learned (e.g., from exercises, actual events, conferences, best practices, or other sources).	7	Substantial Progress - Low	7	Substantial Progress - Low	7	Substantial Progress - Low	7	Substantial Progress - Low	Process not yet codified.
7	All government jurisdictions in our region (including counties, municipalities, special districts) are involved in the emergency operations planning process.	5	Moderate Progress - Mid	5	Moderate Progress - Mid	8	Substantial Progress - Mid	2	Limited Progress - Mid	
8	The regional and local emergency preparedness plans are inclusive of all relevant agencies and disciplines (e.g., law enforcement, fire, EMS, public health, public works, transportation).	4	Moderate Progress - Low	4	Moderate Progress - Low	7	Substantial Progress - Low	4	Moderate Progress - Low	
9	Nonprofit organizations/NGOs (e.g., the American Red Cross, the Salvation Army, faith-based organizations) are actively engaged in the development of the regional and local emergency preparedness plans.	5	Moderate Progress - Mid	5	Moderate Progress - Mid	8	Substantial Progress - Mid	6	Moderate Progress - High	
10	Private companies (e.g., infrastructure owners, major venue owners, major suppliers, major contractors, private utilities) are actively engaged in the development of our region's emergency preparedness plans.	2	Limited Progress - Mid	2	Limited Progress - Mid	2	Limited Progress - Mid	2	Limited Progress - Mid	LoriAnn Scotti has direct involvement. Some indirect involvement with private sector organizations and

CAPABILITY: RISK MANAGEMENT

11	The agencies involved in planning are committed to supporting the regional and local emergency preparedness plans.	4	Moderate Progress - Low	1	Limited Progress - Low	6	Moderate Progress - High	4	Moderate Progress - Low	State has not yet embraced regional planning efforts by active participation and info sharing
12	The regional and local emergency preparedness plans clearly assign responsibilities and authority.	4	Moderate Progress - Low	4	Moderate Progress - Low	4	Moderate Progress - Low	4	Moderate Progress - Low	No question about local resp and authority but it is a major question for the region (aware of home
13	The agencies included in the regional and local emergency preparedness plans have formally endorsed them.	10	Objective Achieved	10	Objective Achieved	10	Objective Achieved	4	Moderate Progress - Low	
14	The regional and local emergency preparedness plans have been disseminated to all agencies included in them.	10	Objective Achieved	10	Objective Achieved	10	Objective Achieved	10	Objective Achieved	
15	The regional and local emergency preparedness plans are supported by formal agreements among agencies included in them (e.g., MOAs, MOUs, pacts, mutual aid agreements).	4	Moderate Progress - Low	4	Moderate Progress - Low	4	Moderate Progress - Low	4	Moderate Progress - Low	Written agreements exist for taskforces and interagency specialty groups. <i>Once Regional and</i>
16	Strategic plans within our region identify programmatic priorities to support homeland security efforts.	9	Substantial Progress - High	9	Substantial Progress - High	9	Substantial Progress - High	4	Moderate Progress - Low	Plans don't address recovery much.
17	Emergency preparedness planning efforts are coordinated amongst the jurisdictions within our region.	9	Substantial Progress - High	9	Substantial Progress - High	9	Substantial Progress - High	4	Moderate Progress - Low	
18	Continuity of operations/continuity of government plans exist within our region to ensure the delivery of critical services.	2	Limited Progress - Mid	2	Limited Progress - Mid	2	Limited Progress - Mid	2	Limited Progress - Mid	
19	Continuity of government plans exist within our region to ensure the delivery of critical services.	3	Limited Progress - High	3	Limited Progress - High	3	Limited Progress - High	3	Limited Progress - High	Towns required to do COG by state
20	The regional and local emergency preparedness plans address the provision of services to functional needs populations (e.g., individuals with disabilities, non-English speaking skills, low incomes, age-related issues, companion animals).	4	Moderate Progress - Low	4	Moderate Progress - Low	6	Moderate Progress - High	4	Moderate Progress - Low	Special needs ESF and support.
21	The regional and local emergency preparedness plans address mitigation procedures (e.g., identification of mitigation measures, emergency restorative procedures).	4	Moderate Progress - Low	4	Moderate Progress - Low	8	Substantial Progress - Mid	4	Moderate Progress - Low	Locals do mitigation planning. Region conducts pre-dnh mitigation planning with the locals.
22	The regional and local emergency preparedness plans address conducting inspections and assessments (e.g., situation assessments, building safety inspections, emergency and permanent emergency work damage assessments and public works preparation (FEMA, non-FEMA)).	10	Objective Achieved	10	Objective Achieved	10	Objective Achieved	10	Objective Achieved	This is a local responsibility, there is no roll-up into the regional plan. Covered in local EOPs
23	The regional and local emergency preparedness plans prioritize the restoration of critical infrastructure necessary to support response and recovery operations (e.g., energy, transportation, drinking water, waste water, communications, and fuel infrastructure damage assessments).	N/A	Not Applicable	N/A	Not Applicable	6	Moderate Progress - High	6	Moderate Progress - High	
24	The regional and local emergency preparedness plans address the assessment and prioritization of recovery needs (e.g., economic needs of victims, mitigation of damages from future disasters).	N/A	Not Applicable	N/A	Not Applicable	7	Substantial Progress - Low	3	Limited Progress - High	
Resources										
1	Our region has identified the resources required to implement its emergency preparedness plans.	3	Limited Progress - High	4	Moderate Progress - Low	8	Substantial Progress - Mid	5	Moderate Progress - Mid	

CAPABILITY: RISK MANAGEMENT

2	Our region's emergency preparedness plans specifically identify where required resources will come from.	5	Moderate Progress - Mid	5	Moderate Progress - Mid	7	Substantial Progress - Low	7	Substantial Progress - Low	
3	Our region has allocated the funds required to implement its emergency preparedness plans for the next twelve months.	10	Objective Achieved	10	Objective Achieved	10	Objective Achieved	10	Objective Achieved	
4	Our region has a strategy to address long term funding requirements to implement our emergency preparedness plans (beyond twelve months from now).	10	Objective Achieved	10	Objective Achieved	10	Objective Achieved	10	Objective Achieved	
5	Our region maintains systems that track the status of resources available to support its emergency preparedness plans.	3	Limited Progress - High	3	Limited Progress - High	5	Moderate Progress - Mid	3	Limited Progress - High	No centralized tracking but it is done on the local level and within specialty response teams.
6	Our region has identified mechanisms and sources for obtaining additional resources to support its emergency preparedness plans.	8	Substantial Progress - Mid	8	Substantial Progress - Mid	8	Substantial Progress - Mid	8	Substantial Progress - Mid	
7	Our region has emergency procurement mechanisms that allow managers to obtain the resources they need during a large incident.	0	None	0	None	0	None	0	None	Exists at the local and state level. Region may need a mechanism to buy things during an emergency.
8	Our region has standing contracts for resources it may need to support a response to a large incident.	2	Limited Progress - Mid	2	Limited Progress - Mid	2	Limited Progress - Mid	2	Limited Progress - Mid	CRCOG does not have standing contracts and does not do procurement of response supplies. Some gentlemen's agreements in place.
9	Our region has a robust program for managing volunteers to support response to a large incident.	N/A	Not Applicable	N/A	Not Applicable	3	Limited Progress - High	3	Limited Progress - High	
10	Our region has a process for managing donated resources during a large incident.	N/A	Not Applicable	N/A	Not Applicable	2	Limited Progress - Mid	2	Limited Progress - Mid	State manages VOAD System.
11	Our region currently has MOUs to secure those resources needed to support our emergency preparedness plans.	5	Moderate Progress - Mid	5	Moderate Progress - Mid	5	Moderate Progress - Mid	5	Moderate Progress - Mid	Municipal resources covered by state law, no MOUs needed. NIMS. No MOUs in place for private sector.
12	The owners of resources identified by our region's emergency preparedness plans know what responsibilities they have been assigned.	5	Moderate Progress - Mid	5	Moderate Progress - Mid	5	Moderate Progress - Mid	5	Moderate Progress - Mid	Local governments aware, private sector integration is lacking.
13	Our region has coordinated the purchase and pre-positioning of resources based on a formal assessment of risk and vulnerabilities	5	Moderate Progress - Mid	5	Moderate Progress - Mid	7	Substantial Progress - Low	4	Moderate Progress - Low	
Implementation										
1	All agencies involved in supporting our region's emergency preparedness plans have been trained to fulfill their responsibilities.	7	Substantial Progress - Low	7	Substantial Progress - Low	7	Substantial Progress - Low	7	Substantial Progress - Low	
2	Our region has exercised its ability to implement emergency operations plans.	8	Substantial Progress - Mid	8	Substantial Progress - Mid	8	Substantial Progress - Mid	2	Limited Progress - Mid	
3	Agencies in our region conduct joint (multi-agency) training to support our region's emergency preparedness plans.	8	Substantial Progress - Mid	8	Substantial Progress - Mid	8	Substantial Progress - Mid	8	Substantial Progress - Mid	

CAPABILITY: RISK MANAGEMENT

4 Our region can implement "just-in-time" (on-site) training for support staff coming from outside this region.	6	Moderate Progress - High	6	Moderate Progress - High	6	Moderate Progress - High	6	Moderate Progress - High	Shelter ops, PODS, taskforces all have some capability. Nothing codified. JIT training in place where it is needed.
5 Exercises conducted in our region adhere to HSEEP principles.	10	Objective Achieved	10	Objective Achieved	10	Objective Achieved	10	Objective Achieved	
6 Our region has conducted multiple exercises to test all aspects of our emergency operations plans based on the risks identified by the region.	7	Substantial Progress - Low	7	Substantial Progress - Low	7	Substantial Progress - Low	7	Substantial Progress - Low	
7 Our region uses a formal After Action Reporting process to document lessons learned from exercises and actual incidents.	8	Substantial Progress - Mid	8	Substantial Progress - Mid	8	Substantial Progress - Mid	8	Substantial Progress - Mid	In place for exercises but no process formalized for actual events. Need formal AAR process.
8 Our region develops formal improvement plans based on lessons learned from exercises and actual incidents.	7	Substantial Progress - Low	7	Substantial Progress - Low	7	Substantial Progress - Low	7	Substantial Progress - Low	Exercises are at a 10. Actual events = 7.
9 Our region tracks implementation of process improvements made as a result of lessons learned from exercises and actual incidents and the improvement plans.	5	Moderate Progress - Mid	5	Moderate Progress - Mid	5	Moderate Progress - Mid	5	Moderate Progress - Mid	

Capability Explanation: Provide a listing of the region's long-term implementation steps for this capability to reach a "10" score and the resource needs for each. This description should reflect the point at which the region will switch from building capability to sustaining existing capability levels. Group discussion should capture thoughts and ideas that will be useful to you for future planning. Some details to consider include: 1) The factors that contributed to the region's scores (what are you missing?); 2) strategy for building the capability over time; 3) The sustainability of the capability and the processes/methods in place to ensure sustainability; and, 4) best practices and/or unique solutions related to the capability.

Summary

Completion Status	Count			
	Prevent	Protect	Respond	Recover
# of Measures	47	47	47	47
# of Measures Completed	47	47	47	47
# of Incomplete Measures	0	0	0	0

Distribution of Measure Responses	Count			
	Prevent	Protect	Respond	Recover
No Progress	1	1	1	1
Limited Progress	7	7	6	11
Moderate Progress	16	16	11	19
Substantial Progress	13	13	23	11
Objective Achieved	6	6	6	5
Not Applicable	4	4	0	0

Self Assessment Findings

Measure	Value			
	Prevent	Protect	Respond	Recover
Average Scale Value	5.9	5.9	6.6	5.3
Planning	5.7	5.6	6.9	4.8
Resources	5.3	5.3	5.6	5.1
Implementation	7.3	7.3	7.3	6.7
Maximum Scale Value	10	10	10	10
Minimum Scale Value	0	0	0	0
# of Measures that are N/A	4	4	0	0
% of Total Possible Value*	59%	59%	66%	53%

*Does not include measures that are N/A or incomplete

Value	Preliminary Capability Finding
Prevent	Moderate Progress
Protect	Moderate Progress
Respond	Substantial Progress
Recover	Moderate Progress
All	Moderate Progress

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Capitol Region Council of Governments Capabilities Assessment: Capability Findings Dashboard

The following section presents a "dashboard" of capability findings, providing a high level summary of capability responses and results. Since the self assessment scale measures progress, not capability, the scores presented below are not absolute measures of capability; rather, the progress scores found in the dashboard represent participants' understanding of their progress towards achieving self-defined capability goals. The scores and findings presented below must be considered within the context of the capability goals - the "10s" on the scale - as defined by participants during the self assessment process.

The dashboard is intended as a tool to provide participants with an immediate report on the results of the self assessment following completion of the self assessment tool. As such, it can provide participants and policymakers with a quick reference guide from which to begin a more thorough review of the findings of the self assessment. Similarly, each region's dashboard of capability findings may prove a useful starting point for a discussion of regional capability goals and progress.

Please note: This dashboard will become populated with information as the assessment is completed. Until the assessment is completed, these sheets will appear blank.

Explanation of Data Presented:

This dashboard presents findings for each assessed capability and includes the following information:

Mean Score – This portion of the dashboard shows the average score for the measures within this capability and displays where this average score falls within the levels of progress found in the capabilities assessment scale.

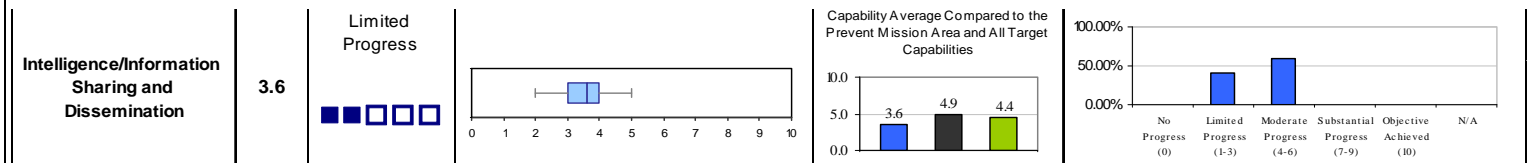
Range – The grey bar shows the range of responses for the capability, with the ends of the bar representing the lowest and highest scores for the capability. Displaying the minimum and maximum scores (i.e., the range) highlights disparities in level of progress across measures within a capability. The blue shaded box shows the range in which the middle 50 percent of all responses fall; this illustrates the concentration of measure responses and the impact that outlying high and low responses have upon the average.

Average Scores Comparison – For each capability, this section of the dashboard compares the capability's average score to average score of the other capabilities assessed within its mission area (Common, Prevent, Protect, Respond, Recover) and to the average score of all assessed Target Capabilities.

For each section of the cross-cutting measures - planning, resources, and implementation - this graph compares the average planning, resources, and implementation scores for each Mission Area.

Distribution of Measure Responses – This graph provides the percentage of measures that scored within each level of progress found in the capabilities assessment scale. As with the "Range" graph, this graph shows the range of measure responses, but also shows where measure scores were concentrated along the scale.

The following figure presents a sample capability dashboard:

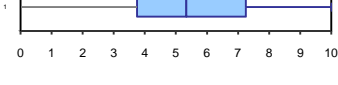
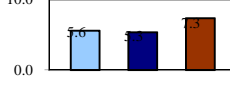
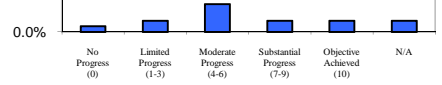
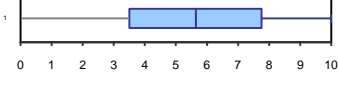
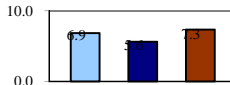
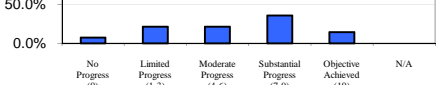
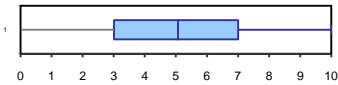

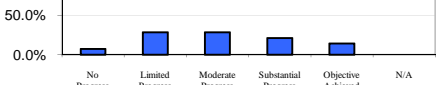
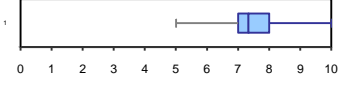
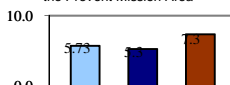
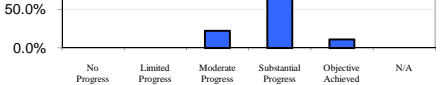
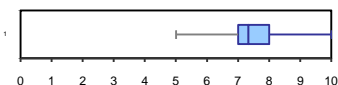

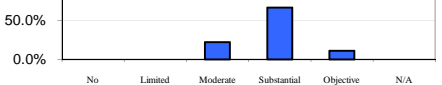
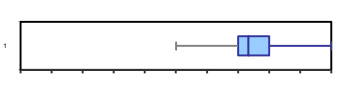
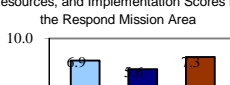
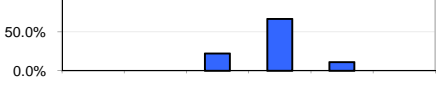

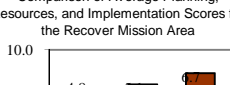
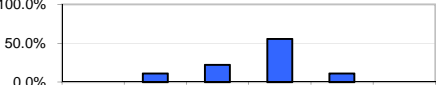


Capability	Average Score	Range	Average Scores Comparison	Distribution of Measure Responses
Common Mission Area				
Planning measures have been integrated into all capability-specific and cross-cutting measures. The results for the cross-cutting measures are found after the Recover Mission Area.				
Communications	5.9	Moderate Progress ■ ■ ■ ■ ■	Capability Average Compared to the Common Mission Area and All Target Capabilities 	
Risk Management	2.6	Limited Progress ■ ■ ■ ■ ■	Capability Average Compared to the Common Mission Area and All Target Capabilities 	
		Moderate Progress	Capability Average Compared to the Common Mission Area and All Target Capabilities 	

Capability	Average Score		Range	Average Scores Comparison	Distribution of Measure Responses
Community Preparedness and Participation	4.7	■ ■ ■ ■ □			
Prevent Mission Area					
Information Gathering and Recognition of Indicators and Warning	5.8	■ ■ ■ ■ □			
Intelligence Analysis and Production	Incomplete				
Intelligence/Information Sharing and Dissemination	3.3	■ ■ ■ ■ □			
CBRNE Detection	3.4	■ ■ ■ ■ □			
Protect Mission Area					
Critical Infrastructure Protection	0.8	■ ■ ■ ■ □			
Respond Mission Area					
Onsite Incident Management	6.2	■ ■ ■ ■ □			
Emergency Operations Center Management	6.4	■ ■ ■ ■ □			
Critical Resource Logistics and Distribution	3.3	■ ■ ■ ■ □			
Volunteer Management and Donations	2.2	■ ■ ■ ■ □			
		Moderate Progress			

Capability	Average Score	Range	Average Scores Comparison	Distribution of Measure Responses
Responder Safety and Health	6.4			
Public Safety and Security Response	4.4			
Explosive Device Response Operations	7.3			
Firefighting Operations/Support	8.1			
WMD/ Hazardous Materials Response and Decontamination	7.8			
Citizen Evacuation and Shelter-In-Place	5.2			
Urban Search and Rescue	4.6			
Emergency Public Information and Warning	3.8			
Triage and Pre-Hospital Treatment	6.6			
Medical Surge	5.5			
Medical Supplies Management and Distribution	2.7			

Capability	Average Score		Range	Average Scores Comparison	Distribution of Measure Responses
Mass Prophylaxis	6.6				
Mass Care	5.9				
Recover Mission Area					
Structural Damage and Mitigation Assessment	Incomplete				
Restoration of Lifelines	3.0				
Economic and Community Recovery	1.5				
Cross-Cutting Measures					
Planning Cross-Cutting Measures					
Prevent Mission Area	5.7				
Protect Mission Area	5.6				
Respond Mission Area	6.9				
Recover Mission Area	4.8				
Resources Cross-Cutting Measures					
Prevent Mission Area	5.3				

Capability	Average Score	Range	Average Scores Comparison	Distribution of Measure Responses
Protect Mission Area	5.3			
Respond Mission Area	5.6		Comparison of Average Planning, Resources, and Implementation Scores for the Respond Mission Area 	
Recover Mission Area	5.1		Comparison of Average Planning, Resources, and Implementation Scores for the Recover Mission Area 	
Implementation Cross-Cutting Measures				
Prevent Mission Area	7.3		Comparison of Average Planning, Resources, and Implementation Scores for the Prevent Mission Area 	
Protect Mission Area	7.3		Comparison of Average Planning, Resources, and Implementation Scores for the Protect Mission Area 	
Respond Mission Area	7.3		Comparison of Average Planning, Resources, and Implementation Scores for the Respond Mission Area 	
Recover Mission Area	6.7		Comparison of Average Planning, Resources, and Implementation Scores for the Recover Mission Area 	
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CAPITOL REGION COUNCIL OF GOVERNMENTS UPDATED - Regional Capability Assessment Summary Report

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Target Capability POETE Analysis

APPENDIX C

POETE Project Analysis

Mission Area	TC #	No.	Step	Project/Concept	POETE Category	Target Capability	Estimated Cost (000) Over 3 years	Estimated Level of Effort (FTEs) (Consultant unless indicated)	Comments
1. Prepare									
1	2	2.1	1	Update the TICP and regional plans to include a regional approach to truly integrated communications that all entities in the Region will follow. This should include a clear regional vision and use of funding mechanisms to create incentive for the use of new interoperable systems.	Planning	Communications	\$ 150	0.6	
1	2		2	Develop an approach that will get regional buy-in, look at wireless broadband, etc.	Planning	Communications	\$ 10	0.0	Step in 2.1
1	2		3	Fund a communications consultant to help develop a plan for a regional interoperability approach for both voice and data.	Planning	Communications	\$ 50	0.2	Step in 2.1
1	2	2.2	1	Develop stronger governance structure to include non-government organizations (NGOs), municipalities, private sector, and utilities. Provide funding to support governance; budget for travel and operating costs.	Organizing (People)	Communications	\$ 30	0.1	Develop MOUs, authorities. Part of larger UASI governance initiative.
1	2		2	Provide funding to support governance; budget for travel and operating costs.	Organizing (People)	Communications	\$ 5	0.0	
1	2	2.4		Use the equipment lifecycle to help build the Regional system (based on local systems).	Equipment	Communications	\$ -	0.0	Policy Decision. No Direct Cost
1	2	2.5		Recommend that jurisdictions stop replacing communications equipment until regional approach is established.	Equipment	Communications	\$ -	0.0	No Direct Cost. Need standards for replacement from study in 2.1
1	2	2.6	1	Grant funding should be utilized in conjunction with general funds to help achieve interoperability capability.	Equipment	Communications	\$ -	0.0	Policy decision. Need specifics about number, types and kinds of equipment from study in 2.1 to do cost estimates
1	2		2	Establish capability to integrate all known and "as discovered" communication tools.	Equipment	Communications		0.0	Include in RED/RESP Plan Annex
1	2	2.7		Continue training programs for Communications Unit Leader (COM-L).	Training	Communications	\$ 25	0.1	Need numbers of classes, participants to refine estimates
1	2	2.8		Provide additional training on technology capabilities and options.	Training	Communications	\$ 10	0.0	Need number of classes, participants to refine estimates
1	2	2.9		Provide additional training on COOP planning.	Training	Communications	\$ 10	0.0	Need number of classes, participants to refine estimates
1	2	2.10		Develop HSEEP compliant tabletop and functional exercises covering ESF 2 and communications plans and procedures.	Exercises	Communications	\$ 125	0.5	Need number of exercises and participants to refine development and delivery estimates
1	2	2.11		Use HSEEP exercise evaluation guidelines to facilitate development of interoperable communications functions into regional exercises objectives for inclusion in every regional exercise.	Exercises	Communications	\$ -	0.0	Develop standard objectives for inclusion in functional and full scale exercises. Need number of exercises and participants to refine development and delivery estimates
1	2	2.12		Provide funds to cover back-fill overtime costs and exercise development support.	Exercises	Communications	\$ 20	0.5	Need number of exercises and participants to refine est. 200 pers x \$500 day x 2 days = \$200K
Communications Sub Total							\$ 435	2.2	

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1	3	3.1		Plan and execute a comprehensive public awareness campaign to increase public awareness of regional hazards; create a sense of urgency for personal mitigation and adoption of personal preparedness and self-reliance; increase interest in volunteer opportunities; and improve recruiting success for voluntary organizations active in disaster (VOAD) agencies. Volunteer recruitment tools include development of a regional CERT website and staffing to support it. Develop a set of preparedness messages and emergency public information messages for use before, during, and following an incident.	Planning	Community Preparedness & Participation	\$ 100	0.4	Outreach Program. Link to 13.1
1	3	3.2		Create or update ESF 15 Functional Annex on Emergency Communication and Information Distribution as part of the Regional plans. The ESF 15 Annex should include (1) a comprehensive list of all public notification resources available through public outreach, nongovernment organizations, normal media outlets (print, radio, TV, web), and the business sector (including their extent of the geographic or demographic reach); and (2) instructions on how the resources are activated. These resources would be used as part of a comprehensive plan to disseminate preparedness messaging and to notify the public of incidents along with any recommended personal protective	Planning	Community Preparedness & Participation	\$ 10	0.0	Link to 21.1
1	3	3.3		Create a Functional Annex on Management of Spontaneous Volunteers, to be incorporated into the Regional plan. The Annex on Management of Spontaneous Volunteers should address reception locations, registration, tracking, training, managing, caring for, and releasing spontaneous volunteers. This Annex would identify the NGO with primary responsibility, along with creating Standard Operating Procedures (SOP), checklists, and resources required to execute effectively; talking points for public messaging; and media outlets/communication tools to reach the public with appropriate messaging.	Planning	Community Preparedness & Participation	\$ 10	0.0	
1	3	3.4		Citizen Corp Council, ESF 16, and VOADs work to expand the participation in the Regional VOAD by actively seeking involvement from under-represented organizations, including faith-based groups. Establish a working group from VOAD members to draft the Functional Annex for Spontaneous Volunteer Management. Comprised of representatives from across the Region and from a cross-section of NGOs, government agencies, and community groups, the group could research best practices and apply them to the Region.	Organizing (People)	Community Preparedness & Participation	\$ -	0.0	Link to 13.1
1	3	3.5		Evaluate current best practices for creating a database solution for managing spontaneous volunteers and determine the equipment necessary to implement the solution, including laptops, printers, web-based application, identification (ID) card printers, wireless/satellite connectivity, etc., along with associated costs.	Equipment	Community Preparedness & Participation	\$ 50	0.2	Link to 13.1. Incorporate the database as part of regional resource management system in 12.4

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1	3	3.6		Evaluate options for a database solution for tracking donated goods and services, including hardware and software required to effectively track and manage donations. Additionally, determine the physical assets such as telephone reception, warehousing space and equipment, and transportation resources required for distribution, along with associated costs.	Equipment	Community Preparedness & Participation	\$ 50	0.2	Incorporate the database as part of regional resource management system in 12.4
1	3	3.7		Recruit and train presenters to give public presentations regarding personal preparedness and hazard awareness.	Training	Community Preparedness & Participation	\$ 25	0.1	Outreach and training. Need number of classes, participants to refine development and delivery estimates
1	3	3.8		Encourage VOAD members to review and participate in the Federal Emergency Management Agency's (FEMA) independent study courses that may help build knowledge and skills required to accomplish tasks identified as part of CRCOGs assessment. Courses include: 197SP Special Needs Planning Considerations for Service and Support Providers; IS 244 Developing and Managing Volunteers; IS 288 The Role of Voluntary Agencies in Emergency Management.	Training	Community Preparedness & Participation	\$ -	0.0	Need number of classes, participants to refine development and delivery estimates
1	3	3.9		ESF 18 could be combined with ESF 16. Evaluate the functionality of ESF 18 from a programmatic level.	Planning	Community Preparedness & Participation	\$ -	0.0	Need number of exercises and participants to refine development and delivery estimates
1	3	3.10		Following development of a Functional Annex on Management of Spontaneous Volunteers, develop and execute a series of HSEEP-compliant exercises to test and refine the systems and procedures that were developed.	Exercises	Community Preparedness & Participation	\$ 75	0.3	Need number of exercises and participants to refine development and delivery estimates
Community Preparedness & Participation Sub Total							\$ 320	1.3	
Prepare Subtotal							\$ 755	3.4	
2. Prevent									
2	5	5.1		Define the level at which the CRCOG will be responsible to fulfill the planning role	Planning	Information Gathering and Warning Indicators	\$ -	0.0	Policy decision
2	5	5.2		Establish a regional workgroup to determine whether organizing personnel should be CRCOG's responsibility or if the task can it be successfully achieved by obtaining access to information elsewhere. At a minimum, a formal MOU should be established with the ultimate providers of the information/warnings.	Organizing (People)	Information Gathering and Warning Indicators	\$ -	0.0	Develop MOUs, authorities. Part of larger UASI governance initiative. Budget for travel and operating costs
2	5	5.3		Conduct an evaluation after the responsibility is defined.	Equipment	Information Gathering and Warning Indicators	\$ -	0.0	Not needed
2	5	5.4		Regardless of the ultimate role responsibility, train all levels of personnel in the appropriate recognition of warning signs, ability to channel the information, and necessary maintenance of appropriate levels of confidentiality	Training	Information Gathering and Warning Indicators	\$ 25	0.1	Specifically WMD Awareness.

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Mission Area	TC #	No.	Step	Project/Concept	POETE Category	Target Capability	Estimated Cost (000) Over 3 years	Estimated Level of Effort (FTEs) (Consultant unless indicated)	Comments
2	5	5.5		Use HSEEP exercise evaluation guidelines to facilitate development of an operationally effective program to meet exercise improvement needs. The exercises should span all appropriate levels of resources.	Exercises	Information Gathering and Warning Indicators	\$ 25	0.1	TTX, Functional, include elements in all regional full scale
Information Gathering and Warning Indicators Sub Total							\$ 50	0.2	
2	7	7.1	1	Affected jurisdictions should meet to develop or identify regional intelligence sharing capability based on appropriate roles.	Planning	Intelligence/ Information Sharing Dissemination	\$ 5	0.0	Include in RED/RESP Plan as an annex
2	7		2	Identify planning support to develop this capability. Information sharing should be addressed as part of the future changes to the RED Plan	Planning	Intelligence/ Information Sharing Dissemination	\$ 5	0.0	Step in 7.1. Include in RED/RESP Plan as an annex
2	7		3	Solidify the classification of information throughout all levels; there should be no ambiguity. A federal or local agency should clearly know what category of confidentiality it falls within	Planning	Intelligence/ Information Sharing Dissemination	\$ 10	0.0	Step in 7.1. Include in RED/RESP Plan as an annex
2	7		4	Define the role of the person acting as the conduit of information to ensure receipt of and dissemination of appropriate information	Organizing (People)	Intelligence/ Information Sharing Dissemination	\$ 5	0.0	Step in 7.1. Include in RED/RESP Plan as an annex
2	7	7.2		Investigate staffing needs to support regional information sharing requirements.	Planning	Intelligence/ Information Sharing Dissemination	\$ 15	0.1	Full time dedicated employees (80K each)
2	7	7.4		Determine once roles and responsibilities are clarified.	Equipment	Intelligence/ Information Sharing Dissemination	\$ -	0.0	Need specifics about number, types and kinds of equipment from roles and scope in 7.1 to generate cost estimates
2	7	7.5		Determine once roles and responsibilities are clarified.	Training	Intelligence/ Information Sharing Dissemination	\$ -	0.0	Need number of classes, participants to refine development and delivery estimates. Link to 7.1
Intelligence/Information Sharing Dissemination Sub Total							\$ 40	0.2	
2	8	8.1	1	Conduct a needs assessment of the Region to identify locations for installation of CBRNE detection equipment. Consider needs for proactive detection procurement, installation, and monitoring.	Planning	CBRNE Detection	\$ -	0.0	Include in RED/RESP Plan as an annex. Part of overall roles and responsibilities discussion.
2	8		2	Review detection equipment presently installed and locations of such equipment.	Planning	CBRNE Detection	\$ -	0.0	Step in 8.1
2	8		3	Develop a MOU to establish notification procedures, response procedures, and operational procedures necessary based on detector activation.	Organizing (People)	CBRNE Detection	\$ -	0.0	Step in 8.1
2	8		4	Conduct an inventory of all CBRNE equipment. Ensure proper and timely maintenance of all equipment.	Equipment	CBRNE Detection	\$ -	0.0	Step in 8.1
2	8	8.2		Review results of needs assessment to obtain, locate, and monitor proactive detection equipment in identified locations throughout the Region.	Equipment	CBRNE Detection	\$ -	0.0	Need specifics about number, types and kinds of equipment from study in 8.1 to generate cost estimates

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POETE Project Analysis

Mission Area	TC #	No.	Step	Project/Concept	POETE Category	Target Capability	Estimated Cost (000) Over 3 years	Estimated Level of Effort (FTEs) (Consultant unless indicated)	Comments
2	8	8.3		Develop a regional training program that includes all responders to work in a CBRNE detector activation scenario for familiarization that is consistent with FEMA and NIMS guidelines.	Training	CBRNE Detection	\$ 25	0.1	
2	8	8.4		Conduct region-wide training on the notification procedures, response procedures, and operational procedures for detector activation	Training	CBRNE Detection	\$ 50	0.2	Need number of classes, participants to refine development and delivery estimates
2	8	8.5		Conduct regional exercises on a regularly scheduled basis. Incorporate and integrate as many law enforcement, fire, EMS and HazMat agencies as possible.	Exercises	CBRNE Detection	\$ 100	0.4	Need number of exercises and participants to refine development and delivery estimates
2	8	8.6		Use HSEEP exercise evaluation guidelines to facilitate development of CBRNE detector activation, both pro-active and re-active, for inclusion in the next regional exercise	Exercises	CBRNE Detection	\$ 5	0.0	Develop standard objectives for inclusion in functional and full scale exercises. Need number of exercises and participants to refine development and delivery estimates
CBRNE Sub Total							\$ 180	0.7	
Prevent Subtotal							\$ 270	1.1	
4. Respond									
4	10	10.1	1	Establish and recommend SOPs	Planning	On-Site Incident Management	\$ 25	0.1	Include in RED/RESP Plan as an annex
4	10		2	Establish and recommend an ascending chain of command, regardless of jurisdictional boundaries, utilizing appropriate MOU(s).	Planning	On-Site Incident Management	\$ 5	0.0	Step in 10.1
4	10		3	Embrace the standards of NIMS and structure CRCOG tasks, positions, and responsibilities to mirror the necessary roles.	Organizing (People)	On-Site Incident Management	\$ 5	0.0	Step in 10.1. Include in RED/RESP Plan Annex
4	10		3	Establish standardization for all equipment purchases to ensure user familiarity and interoperability.	Equipment	On-Site Incident Management		0.0	Step in 10.1. Include in RED/RESP Plan Annex
4	10		4	Establish a minimum standard for command post purchases (mobile and/or stationary).	Planning	On-Site Incident Management	\$ 5	0.0	Step in 10.1. Include in RED/RESP Plan Annex
4	10		5	Procure an equipment storage/deployment vehicle	Planning	On-Site Incident Management	\$ -	0.0	Step in 10.1. Include in RED/RESP Plan Annex
4	10	10.2		Develop a regional training program for the emergency response community that is consistent with NIMS. This should be done throughout the operational levels. Training should include escalation, extended operational periods, and demobilization.	Training	On-Site Incident Management	\$ 50	0.2	Build on existing NIMS curricula. Need number of classes, participants to refine development and delivery estimates
4	10	10.3		Use HSEEP exercise evaluation guidelines to facilitate objectives for inclusion in the next regional exercise.	Exercises	On-Site Incident Management	\$ 5	0.0	Develop standard objectives for inclusion in functional and full scale exercises. Need number of exercises and participants to refine development and delivery estimates
On-Site Incident Management (non-priority) Sub Total							\$ 95	0.4	

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Mission Area	TC #	No.	Step	Project/Concept	POETE Category	Target Capability	Estimated Cost (000) Over 3 years	Estimated Level of Effort (FTEs) (Consultant unless indicated)	Comments
4	11	11.1	1	Develop a comprehensive RCC OPLAN that includes specific role of the MACS during response operations, specific operations and maintenance of the RCC facility and equipment, and the activities and responsibilities of each individual's role/position within the RCC (a position-specific playbook/ checklist) for each ESF. The OPLAN should also include materials to train any newly recruited staff for each position.	Planning	Emergency Operation Center Management	\$ 25	0.1	Include in RED/RESP Plan as an annex
4	11		2	Revise/clarify the operational role of the RCC vs. the DEMHS regional coordinator vs. the State EOC during response operations, particularly with respect to command and control/communications/resource requests between local EOCs and the RCC, DEMHS Regional Coordinators, and State EOC.	Planning	Emergency Operation Center Management	\$ 5	0.0	Step in 11.1. Include in RED/RESP Plan as an annex
4	11		3	Identify and implement a capability for maintaining 24/7 situational awareness and for subsequent notification of IMT for RCC activation.	Organizing (People)	Emergency Operation Center Management	\$ 15	0.1	Step in 11.1. Include in RED/RESP Plan as an annex. Need more information on type of systems envisioned to estimate costs.
	11			Identify and hire a CREPC operations chief	Organizing (People)	Emergency Operation Center Management	\$ 300	1.2	1 FTE
4	11		4	Recruit, train, mentor, exercise additional RCC staff in order to reach sustainable staff levels during major operational periods.	Organizing (People)	Emergency Operation Center Management	\$ 25	0.1	Step in 11.1. Include as an Annex in the RED Plan.
4	11		5	Review the communications equipment, capabilities, SOPs, and redundancy of the permanent RCC, including sufficient telephone lines and computer stations to support the anticipated size of the assigned staff.	Equipment	Emergency Operation Center Management	\$ 30	0.1	Step in 11.1. Include an item to purchase equipment once number of kinds and types are known.
4	11	11.2		Secure a license and sufficient minutes to utilize the notification/communications system	Equipment	Emergency Operation Center Management	\$ 15	0.1	Need more information on type of system and. Unnecessary task if Everbridge can cover need
4	11	11.3		Develop and implement a program to train RCC operational staff on all RCC facility operations, equipment, and position-specific roles, consistent with NIMS and ICS/MACS operations.	Training	Emergency Operation Center Management	\$ 25	0.1	Build on existing NIMS curricula. Need number of classes, participants to refine development and delivery estimates
4	11	11.4		Use HSEEP exercise development and evaluation guidelines to facilitate development of exercises specific to RCC activation and operations.	Exercises	Emergency Operation Center Management	\$ 50	0.2	Need number of exercises and participants to refine development and delivery estimates
4	11	11.5		Continue to integrate RCC into the Regional exercise program.	Exercises	Emergency Operation Center Management	\$ 10	0.0	Develop standard objectives for inclusion in functional and full scale exercises. Need number of exercises and participants to refine development and delivery estimates
Emergency Operation Center Management Sub Total							\$ 500	2.0	
4	12	12.1		Clearly determine the expected role, responsibilities, and mission of the CRCOG in providing critical resource support to the 41 cities and towns in the Region	Planning	Critical Resource Logistics and Distribution	\$ 30	0.1	Policy decision. Develop MOUs, authorities. Part of larger UASI governance initiative.

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POETE Project Analysis

Mission Area	TC #	No.	Step	Project/Concept	POETE Category	Target Capability	Estimated Cost (000) Over 3 years	Estimated Level of Effort (FTEs) (Consultant unless indicated)	Comments
4	12	12.2	1	Enhance the existing Regional ESF 7 plan to incorporate SOPs and asset lists (including facilities, equipment, and personnel) available to achieve mission-related tasks	Planning	Critical Resource Logistics and Distribution	\$ 95	0.4	Include in RED Plan Annex
4	12		2	Develop lists (and projected quantities) of resources that are considered critical, both to support response operations and to support the affected population.	Planning	Critical Resource Logistics and Distribution	\$ 15	0.1	Step in 12.2
4	12		4	Identify people to fill the various operational roles relating to logistics, including facilities, transportation, procurement, distribution, etc.	Organizing (People)	Critical Resource Logistics and Distribution	\$ 15	0.1	Step in 12.2
4	12	12.4	1	Implement a system (procedures, hardware, and software) capable of tracking all personnel and inventory/ assets, estimating future operational needs, during preparedness and response operations. This includes tracking spontaneous volunteers and donations to support TCL 13. Ideally, the system should be able to integrate into and communicate with existing local or state systems.	Equipment	Critical Resource Logistics and Distribution	\$ 535	2.1	Needs to be coordinated with the USMA project.
4	12		2	Train users on the resource management system.	Training	Critical Resource Logistics and Distribution	\$ 15	0.1	Step in 12.4
4	12	12.5		Develop position-specific curriculum and training materials for each of the roles and responsibilities within regional ESF 7.	Training	Critical Resource Logistics and Distribution	\$ 30	0.1	Build on existing NIMS curricula. Need number of classes, participants to refine development and delivery estimates
4	12	12.6		Use HSEEP exercise development and evaluation guidelines to facilitate development of a series of ESF 7 critical resource-related exercises (TTX, Functional, etc.) and for inclusion in regional exercises.	Exercises	Critical Resource Logistics and Distribution	\$ 75	0.3	Need number of exercises and participants to refine development and delivery estimates
Critical Resource Logistics and Distribution Sub Total							\$ 810	3.2	
4	13	13.1	1	Need staff to develop plan and identify resources. CRCOG needs to develop policy in coordination with regional partners on appropriate roles and responsibilities.	Planning	Volunteer Management and Donations	\$ 30	0.1	Include in RED/RESP Plan as an annex.
4	13		2	Explore cross-training of ESF leadership.	Training	Volunteer Management and Donations	\$ -	0.0	Step in 13.1
4	13	13.2		Explore and implement VOAD-based training (just-in-time training)	Training	Volunteer Management and Donations	\$ 5	0.0	Build on existing NIMS and VOAD curricula. Need number of classes, participants to refine development and delivery estimates
4	13	13.3		Include this capability in future exercises.	Exercises	Volunteer Management and Donations	\$ 5	0.0	Develop standard objectives for inclusion in functional and full scale exercises. Need number of exercises and participants to refine development and delivery estimates
4	13	13.4		Demonstrate, through an exercise, the ability to implement VMD activities (e.g., intake resources, coordinate distribution, secure facilities).	Exercises	Volunteer Management and Donations	\$ 25	0.1	Need number and types of exercises and participants to refine development and delivery estimates
Volunteer Management and Donations Sub Total							\$ 65	0.3	

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4	14	14.1	1	Create issue-recommended standards based on best practices and minimum standards for emergency responders related to minimum levels of personal protective equipment (PPE), training, and equipment.	Planning	Responder Safety and Health	\$ 10	0.0	Include in appropriate RED/RESP Plan Annexes
4	14		2	Review existence of medical baseline exams and recommend changes for consistency within the Region.	Planning	Responder Safety and Health		0.0	Step in 14.1
4	14		3	Conduct an assessment of PPE in the Region to identify regional standards.	Planning	Responder Safety and Health		0.0	Step in 14.1
4	14		4	Establish a recommended regional medical baseline for emergency responders.	Organizing (People)	Responder Safety and Health		0.0	Step in 14.1
4	14		5	Develop a Memorandum of Agreement (MOA) to establish minimum medical standards, equipment standards, and training standards in the Region.	Organizing (People)	Responder Safety and Health		0.0	Step in 14.1. Develop MOUs, authorities. Part of larger UASI governance initiative.
4	14	14.2		Conduct an inventory of applicable PPE as determined by 14.1 and ensure NFPA compliance where applicable.	Equipment	Responder Safety and Health	\$ 50	0.2	Include as a module of and link to 12.4
4	14	14.3		Utilize a database program to track maintenance of PPE, SCBA, and training of personnel.	Equipment	Responder Safety and Health	\$ 5	0.0	Include as a module of and link to 12.4
4	14	14.4		Develop a regional training program that updates regional members of new training requirements, PPE requirements, and medical guidelines and requirements.	Training	Responder Safety and Health	\$ 5	0.0	Build on existing NIMS and discipline curricula. Need number of classes, participants to refine development and delivery estimates
4	14	14.5		Use HSEEP exercise evaluation guidelines to facilitate objectives for inclusion in the next regional exercise.	Exercises	Responder Safety and Health	\$ 5	0.0	Develop standard objectives for inclusion in functional and full scale exercises. Need number of exercises and participants to refine development and delivery estimates
Responder Safety and Health Sub Total							\$ 75	0.3	
4	15	15.1	1	Create support for public policy issues, determining roles, process, and concept of operations.	Planning	Public Safety and Security Response	\$ 150	0.6	Include in RED/RESP Plan as an annex.
4	15		2	Manage safety, accountability, demobilization, etc.	Planning	Public Safety and Security Response	\$ -	0.0	Step in 15.1
4	15		3	Update plans once roles are determined	Planning	Public Safety and Security Response	\$ 10	0.0	Step in 15.1
4	15		4	Examine the suitability of agency plans to adequately care for emergency responder families to ensure confidence by the responders that their own families are being cared for during the crisis. Develop a model plan for adoption across the Region if local plans are less than adequate.	Planning	Public Safety and Security Response	\$ 15	0.1	Step in 15.1
4	15		5	Coordinate with state, regional, and local organizations to establish clear roles and processes for this capability.	Organizing (People)	Public Safety and Security Response	\$ 5	0.0	Step in 15.1
4	15		6	Use ICS as a planning and organizational tool.	Organizing (People)	Public Safety and Security Response	\$ -	0.0	Step in 15.1
4	15		7	Address issues that are state responsibilities or local responsibilities, or concerns that would best be handled at a regional authority.	Organizing (People)	Public Safety and Security Response	\$ -	0.0	Step in 15.1
4	15	15.2	1	Continue to build regional resources that enhance safety and security, incident management, resource tracking, and accountability.	Equipment	Public Safety and Security Response	\$ 25	0.1	link to 10.9

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POETE Project Analysis

Mission Area	TC #	No.	Step	Project/Concept	POETE Category	Target Capability	Estimated Cost (000) Over 3 years	Estimated Level of Effort (FTEs) (Consultant unless indicated)	Comments
4	15		2	Examine the suitability of critical equipment such as communication, all-weather clothing, fuel resources, etc., during crisis conditions.	Equipment	Public Safety and Security Response	\$ 10	0.0	Step in 15.2
4	15	15.3		Develop training based on identified roles and responsibilities.	Training	Public Safety and Security Response	\$ 30	0.1	Build on existing NIMS and discipline curricula.
4	15	15.4		Deliver command post and position-specific training.	Training	Public Safety and Security Response	\$ 15	0.1	Need number of classes, participants to refine development and delivery estimates
4	15	15.5		Use HSEEP exercise evaluation guidelines to facilitate development of objectives for inclusion in the next regional exercise.	Exercises	Public Safety and Security Response	\$ 5	0.0	Develop standard objectives for inclusion in functional and full scale exercises. Need number of exercises and participants to refine development and delivery estimates
4	15	15.6		Exercises need to reinforce ICS training and use of the planning "P" process.	Exercises	Public Safety and Security Response	\$ 10	0.0	Need number and types of exercises and participants to refine development and delivery estimates
Public Safety and Security Response (non-priority) Sub Total							\$ 275	1.1	
4	16	16.1		Develop a MOU to establish mutual aid between all sector stakeholders.	Planning	Explosive Device Response	\$ -	0.0	
4	16	16.2	1	Develop a resource management module for explosive device response. Inventory and establish contact information listing all Explosive Response Squad trained members. Keep all training level and participation information current in the database. Update capability level of members in a database on a regular basis to ensure current information.	Organizing (People)	Explosive Device Response	\$ 30	0.1	Facilitate use of Salamander system for Bomb squad.
4	16		2	Limit access to this information to identified personnel only when necessary.	Organizing (People)	Explosive Device Response	\$ -	0.0	Step in 16.2
4	16		3	Ensure that law enforcement conducts regular inventories of all bomb squad equipment. Ensure proper and timely maintenance of all equipment.	Equipment	Explosive Device Response	\$ -	0.0	Step in 16.2. Maintenance costs are estimated. Specifics regarding the number of types and kinds of equipment are necessary to refine maintenance cost estimates.
4	16		4	Utilize database a program to ensure that a lifetime of equipment is monitored and equipment replaced as necessary.	Equipment	Explosive Device Response	\$ 10	0.0	Include as a module of and link to 12.4. Lifecycle replacement costs are estimated. Specifics regarding the number of types and kinds of equipment are necessary to refine lifecycle replacement cost estimates.
4	16	16.3	1	Develop a regional training program that includes all responders and their roles in responding to an explosive device scenario.	Training	Explosive Device Response	\$ 25	0.1	Build on existing NIMS and discipline curricula.
4	16		2	Review results of training to specify needs for new equipment.	Equipment	Explosive Device Response	\$ -	0.0	Step in 16.3
4	16	16.4	1	Use HSEEP exercise evaluation guidelines to facilitate development of Explosive Device Response objectives for inclusion in the next regional exercise.	Exercises	Explosive Device Response	\$ 5	0.0	Develop standard objectives for inclusion in functional and full scale exercises. Need number of exercises and participants to refine development and delivery estimates

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POETE Project Analysis

Mission Area	TC #	No.	Step	Project/Concept	POETE Category	Target Capability	Estimated Cost (000) Over 3 years	Estimated Level of Effort (FTEs) (Consultant unless indicated)	Comments
4	16		2	Review results of exercises to specify needs for new equipment.	Equipment	Explosive Device Response	\$ 5	0.0	Step in 16.4
	16			Bomb Squad Certification Required Specific Training			\$ 60	0.2	
Explosive Device Response (non-priority) Sub Total							\$ 135	0.3	
4	17	17.1	1	Conduct an assessment of firefighting procedures in the Region to identify operational conflicts. Work to develop a consensus standard operations guide for the Region.	Planning	Firefighting Operations/ Support	\$ -	0.0	Would only occur upon request from ESF 4 to support this activity.
4	17		2	Develop standards for emergency responders in regard to minimum levels of PPE, training, and equipment.	Planning	Firefighting Operations/ Support	\$ -	0.0	
4	17		3	Review existing mutual aid agreements and develop a comprehensive regional agreement.	Planning	Firefighting Operations/ Support	\$ -	0.0	Covered through Regional Mutual Aid Plan
4	17		4	Establish a regional mutual aid plan for the fire service.	Organizing (People)	Firefighting Operations/ Support	\$ -	0.0	Covered through Regional Mutual Aid Plan
4	17		5	Develop a MOU to establish minimum training levels in the Region.	Organizing (People)	Firefighting Operations/ Support		0.0	Minimum state standards are in place
4	17		6	Develop a regional information sharing protocol to notify personnel of new training requirements, PPE requirements, etc.	Training	Firefighting Operations/ Support	\$ 5	0.0	
4	17	17.2	1	Use HSEEP exercise evaluation guidelines to exercise the ability to conduct fire suppression activities as a result of explosive detonations, terrorist activities, or large-scale natural disasters.	Exercises	Firefighting Operations/ Support	\$ 50	0.2	
4	17		2	Conduct regional training drills, involving members and equipment from several different departments, varying the fire problem, to observe and identify operational difficulties and non-compatible equipment.	Exercises	Firefighting Operations/ Support	\$ 25	0.1	
4	17		3	Utilize training drills to allow for familiarization with a regional chain of command.	Exercises	Firefighting Operations/ Support	\$ -	0.0	
Firefighting Operations/Support Sub Total							\$ 80	0.3	
4	18	18.1	1	Continue to develop and review response plans and protocols, adjusting as necessary.	Planning	WMD/Hazardous Materials Response and Decontamination	\$ 25	0.1	Include in RED/RESP Plan as an annex.
4	18		2	Develop any necessary regional MOUs to ensure a proper and coordinated response	Planning	WMD/Hazardous Materials Response and Decontamination	\$ 20	0.1	Step in 18.1 Develop MOUs, authorities. Part of larger UASI governance initiative.
4	18		3	Inventory and establish contact information listing of all HazMat-trained members. Keep all training levels and participation current in the database. Update capability level of members in a database on a regular basis to ensure current information.	Organizing (People)	WMD/Hazardous Materials Response and Decontamination	\$ 15	0.1	Step in 18.1 and as a component in 12.4

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POETE Project Analysis

Mission Area	TC #	No.	Step	Project/Concept	POETE Category	Target Capability	Estimated Cost (000) Over 3 years	Estimated Level of Effort (FTEs) (Consultant unless indicated)	Comments
4	18		4	Conduct regular inventories of all HazMat equipment. Ensure proper and timely calibrations of all equipment.	Equipment	WMD/Hazardous Materials Response and Decontamination	\$ 10	0.0	Step in 18.1 Maintenance costs are estimated. Specifics regarding the number of types and kinds of equipment are necessary to refine maintenance cost estimates.
4	18		5	Install in database a program to ensure that life cycle of equipment is monitored and equipment is replaced as necessary.	Equipment	WMD/Hazardous Materials Response and Decontamination	\$ 15	0.1	Step in 18.1. Include as a module of and link to 12.4. Lifecycle replacement costs are estimated. Specifics regarding the number of types and kinds of equipment are necessary to refine lifecycle replacement cost estimates.
4	18	18.2	1	Develop and document a regional training curriculum for new and existing HazMat personnel.	Training	WMD/Hazardous Materials Response and Decontamination	\$ -	0.0	Build on existing NIMS and discipline curricula. Need number of classes, participants to refine development and delivery estimates
4	18		2	Develop a regional training program for personnel who respond to HazMat scenes, ensuring their roles and responsibilities are clearly enumerated in a manner consistent with FEMA and NIMS.	Training	WMD/Hazardous Materials Response and Decontamination	\$ -	0.0	Build on existing NIMS and discipline curricula. Need number of classes, participants to refine development and delivery estimates
4	18	18.3		TO implement Training and Provide Overtime and Backfil for HazMat Training.	Training	WMD/Hazardous Materials Response and Decontamination	\$ 50	0.2	
4	18	18.4	1	Conduct regional exercises on a regularly scheduled basis to incorporate as many personnel of surrounding departments as possible	Exercises	WMD/Hazardous Materials Response and Decontamination	\$ 40	0.2	Need number and types of exercises and participants to refine development and delivery estimates
4	18		2	Review results of training exercises to specify needs for new equipment.	Equipment	WMD/Hazardous Materials Response and Decontamination	\$ 5	0.0	Step in 18.4
4	18	18.5		Use HSEEP exercise evaluation guidelines to facilitate development of WMD and decontamination objectives for inclusion in the next regional exercise.	Exercises	WMD/Hazardous Materials Response and Decontamination	\$ 5	0.0	Develop standard objectives for inclusion in functional and full scale exercises. Need number of exercises and participants to refine development and delivery estimates
WMD/Hazardous Materials Response and Decontamination Sub Total							\$ 185	0.7	
4	19	19.1	1	Develop guidelines and SOPs for ordering and supporting the population to SIP.	Planning	Citizen Evacuation/Shelter In Place	\$ 100	0.4	Include in RED/RESP Plan as an annex.
4	19		2	Update regional HVA, including identification of hazards that could trigger evacuation or SIP activation.	Planning	Citizen Evacuation/Shelter In Place	\$ 10	0.0	Step in 19.1
4	19		3	Develop plans to adopt Joint Information System(JIS)/Joint Information Center (JIC) SOPs for coordinated public information messaging for all agencies and NGOs involved in multi-agency/multi-jurisdictional response.	Planning	Citizen Evacuation/Shelter In Place	\$ 20	0.1	Step in 19.1

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POETE Project Analysis

Mission Area	TC #	No.	Step	Project/Concept	POETE Category	Target Capability	Estimated Cost (000) Over 3 years	Estimated Level of Effort (FTEs) (Consultant unless indicated)	Comments
4	19		4	Develop plans for evacuation of an area within CROG region. Plans should include information regarding legal authorities, appropriate roles and responsibilities, and resource lists.	Planning	Citizen Evacuation/Shelter In Place	\$ 15	0.1	Step in 19.1
4	19		5	Develop plans to expand EMS capabilities to support evacuation, including transportation assets and patient tracking information system.	Planning	Citizen Evacuation/Shelter In Place	\$ 15	0.1	Step in 19.1. Link to 12.4.
4	19		6	Procure equipment necessary to operate the resource tracking system (human, equipment, commodities).	Equipment	Citizen Evacuation/Shelter In Place	\$ 150	0.6	Step in 19.1. Link to 12.4. Reflects sustainment costs over 3 years.
4	19		7	Establish a regional workgroup including state and local agencies (including school departments) and major public and private sector employers. Analyze potential facility/location vulnerability that could require either evacuation or SIP. Determine resources needed and assets available to execute effectively, including transportation equipment (school buses and drivers),	Organizing (People)	Citizen Evacuation/Shelter In Place	\$ 5	0.0	Step in 19.1. Develop MOUs, authorities. Part of larger UASI governance initiative. Budget for travel and operating costs
4	19		8	Acquire portable, electronic signage to support evacuation. Include fixed evacuation signage once evacuation plans are finalized.	Equipment	Citizen Evacuation/Shelter In Place	\$ 100	0.4	Include as a step in 19.1. Link to 12.4. Specifics regarding the number of types and kinds of equipment are necessary to refine cost estimates.
4	19		9	Procure equipment necessary to operate the resource tracking system. Create a resource tracking system.	Equipment	Citizen Evacuation/Shelter In Place	\$ 50	0.2	Specifics regarding the number of types and kinds of equipment are necessary to refine cost estimates.
4	19	19.2		Provide training for drivers and others to support evacuation of people with special medical needs, functional disabilities, etc.	Training	Citizen Evacuation/Shelter In Place	\$ 30	0.1	Build on existing discipline curricula. Need number of classes, participants to refine development and delivery estimates
4	19	19.3		Use HSEEP exercise development and evaluation guidelines to develop a series of exercises including both regional evacuation and/or SIP	Exercises	Citizen Evacuation/Shelter In Place	\$ 100	0.4	Need number and types of exercises and participants to refine development and delivery estimates
Citizen Evacuation/Shelter In Place Sub Total							\$ 595	2.4	
4	20	20.1	1	Review activation, operations, and demobilization procedures of USAR teams. Formalize these procedures throughout the Region.	Planning	Urban Search and Rescue	\$ -	0.0	Include in RED/RESP Plan as an annex.
4	20		2	Review NIMS and PIO functions. Identify personnel to act as PIOs and clearly identify their roles in such capacity.	Planning	Urban Search and Rescue	\$ 5	0.0	Step in 20.1. Link to 21.1
4	20		3	Develop a MOU to establish mutual aid between all sector stakeholders.	Organizing (People)	Urban Search and Rescue	\$ 5	0.0	Step in 20.1. Develop MOUs, authorities. Part of larger UASI governance initiative.
4	20		4	Conduct annual inventories of all SAR equipment. Ensure proper and timely maintenance of all equipment.	Equipment	Urban Search and Rescue	\$ 10	0.0	Step in 20.1
4	20		5	Utilize a database program to ensure that the life cycle of equipment is monitored and equipment replaced as necessary.	Equipment	Urban Search and Rescue	\$ 10	0.0	Part of larger logistics program
4	20	20.2		Develop a regional regional "Search and Rescue" training program.	Training	Urban Search and Rescue	\$ -	0.0	Build on existing NIMS and discipline curricula. Need number of classes, participants to refine development and delivery estimates

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Mission Area	TC #	No.	Step	Project/Concept	POETE Category	Target Capability	Estimated Cost (000) Over 3 years	Estimated Level of Effort (FTEs) (Consultant unless indicated)	Comments
4	20	20.3	1	Use HSEEP exercise evaluation guidelines to facilitate development of USAR objectives for inclusion in the next regional exercise.	Exercises	Urban Search and Rescue	\$ 5	0.0	Develop standard objectives for inclusion in functional and full scale exercises. Need number of exercises and participants to refine development and delivery estimates
4	20		2	Review results of exercises to specify needs for new equipment.	Equipment	Urban Search and Rescue	\$ 5	0.0	Step in 20.3
Urban Search and Rescue Sub total							\$ 40	0.2	
4	21	21.1	1	Develop a regional plan, addressing JIS/JIC, and work with state and private partners.	Planning	Emergency Public Information and Warning	\$ 25	0.1	Include in RED/RESP Plan as an annex.
4	21		2	ESF 15 plus others can support along with emergency management. Need to build network of participating entities.	Organizing (People)	Emergency Public Information and Warning	\$ 5	0.0	Step in 21.1
4	21		3	Equipment needs will be identified based on plan development and exercising	Equipment	Emergency Public Information and Warning	\$ 5	0.0	Step in 21.1
4	21		4	Provide training on new JIC and JIS plans after they are developed and vetted.	Training	Emergency Public Information and Warning	\$ 10	0.0	Step in 21.1
4	21	21.2		Provide training on use of use of current systems and on establishing JIC and JIS.	Training	Emergency Public Information and Warning	\$ 5	0.0	Build on existing NIMS and discipline curricula. Need number of classes, participants to refine development and delivery estimates
4	21	21.3		Develop HSEEP-compliant table-top and functional JIC and JIS exercises.	Exercises	Emergency Public Information and Warning	\$ -	0.0	Need number and types of exercises and participants to refine development and delivery estimates
4	21	21.4		Use HSEEP exercise evaluation guidelines to facilitate development of JIC and JIS functions into regional exercises objectives for inclusion in every regional exercise.	Exercises	Emergency Public Information and Warning	\$ 5	0.0	Develop standard objectives for inclusion in functional and full scale exercises. Need number of exercises and participants to refine development and delivery estimates
Emergency Public Information and Warning Sub Total							\$ 55	0.2	
4	22	22.1	1	Complete implementation of EMS mobilization and Forward Movement of Patients (FMOP) plans.	Planning	Triage and Pre-Hospital Treatment	\$ 50	0.2	Include in RED/RESP Plan as an annex.
4	22		2	Resolve authority and liability concerns.	Planning	Triage and Pre-Hospital Treatment	\$ -	0.0	Step in 22.1 Develop MOUs, authorities. Part of larger UASI governance initiative.
4	22		3	Develop an electronic patient tracking system, an electronic system for medical records, and volunteer recruitment.	Planning	Triage and Pre-Hospital Treatment	\$ 20	0.1	Step in 22.1 Develop MOUs, authorities. Include as a module in 12.4
4	22		4	Revise state legislation to allow EMS to be paid for non-transport roles.	Planning	Triage and Pre-Hospital Treatment	\$ -	0.0	
4	22		5	Develop regional/state standards for EMS transport to ACFs/identify alternate transport modalities.	Planning	Triage and Pre-Hospital Treatment	\$ 5	0.0	Step in 22.1 Develop MOUs, authorities. Include as a module in 12.4

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Mission Area	TC #	No.	Step	Project/Concept	POETE Category	Target Capability	Estimated Cost (000) Over 3 years	Estimated Level of Effort (FTEs) (Consultant unless indicated)	Comments
4	22		6	Leadership needs to be developed and trained on specific tasks.	Organizing (People)	Triage and Pre-Hospital Treatment	\$ -	0.0	Step in 22.1
4	22		7	Engage faith-based organizations in planning and response.	Organizing (People)	Triage and Pre-Hospital Treatment	\$ -	0.0	Step in 22.1 Develop MOUs, authorities. Develop Outreach
4	22		8	Secure an electronic patient tracking system.	Equipment	Triage and Pre-Hospital Treatment	\$ 50	0.2	Step in 22.1 Develop MOUs, authorities. Include as a module in 12.4
4	22		9	Assess regional supplies and resources.	Equipment	Triage and Pre-Hospital Treatment	\$ 10	0.0	Step in 22.1 Develop MOUs, authorities. Include as a module in 12.4
4	22	22.2		Train personnel on full EMS mobilization plan with HSEEP evaluation.	Training	Triage and Pre-Hospital Treatment	\$ 25	0.1	Build on existing NIMS and discipline curricula. Need number of classes, participants to refine development and delivery estimates
4	22	22.3		Continue coordinated regional and local mass casualty incident (MCI) exercises using Center for Disease Control (CDC) and FEMA guidelines	Exercises	Triage and Pre-Hospital Treatment	\$ 100	0.4	Need number and types of exercises and participants to refine development and delivery estimates
Triage and Pre-Hospital Treatment Sub Total							\$ 260	1.0	
4	23	23.1	1	Coordinate efforts with planning partners to include hospitals, DPH, and the Region to determine gaps and develop a coordinated regional approach. Revise the RED/RESP, FMOP and MCI with lessons learned from drills and exercises.	Planning	Medical Surge	\$ 50	0.2	Include in RED/RESP Plan, FMOP and MCI Plans
4	23		2	Determine what if authority exists to establish alternate care sites and provide guidance to practitioners regarding alternate standards of care.	Planning	Medical Surge	\$ 5	0.0	Step in 23.1 Develop MOUs, authorities. Part of larger UASI governance initiative.
4	23		3	Increase planning between state and regional agencies, especially regarding authorities.	Planning	Medical Surge	\$ 5	0.0	Step in 23.1 Develop MOUs, authorities. Part of larger UASI governance initiative.
4	23		4	Identify needed resources and current capabilities of facilities and address credentialing.	Planning	Medical Surge	\$ 5	0.0	Step in 23.1 Include as a module in 12.4
4	23		5	Identify leaders in this area and develop a training program for them.	Organizing (People)	Medical Surge	\$ -	0.0	Step in 23.1. Develop outreach efforts
4	23		6	Obtain and utilize an electronic patient tracking system.	Equipment	Medical Surge	\$ 30	0.1	Step in 23.1 Include as a module in 12.4
4	23		8	Develop a volunteer database.	Equipment	Medical Surge	\$ 10	0.0	Step in 23.1 Include as a module in 12.4
4	23		9	Build out robust intraregional hospital communications.	Equipment	Medical Surge	\$ 10	0.0	Step in 23.1 Include as a module in 12.4.
4	23	23.2		Train staff and volunteers on all aspects of med surge.	Training	Medical Surge	\$ 50	0.2	Build on existing NIMS and discipline curricula. Need number of classes, participants to refine development and delivery estimates
4	23	23.3		Conduct medical surge exercise using CDC and FEMA exercise and training guidelines.	Exercises	Medical Surge	\$ 25	0.1	Need number and types of exercises and participants to refine development and delivery estimates
Medical Surge Sub Total							\$ 190	0.8	

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4	24	24.1	1	Develop and staff a mature regional approach/plan for this capability, to include asset management, and coordination with MMRS and CRI.	Planning	Medical Supplies Management Distribution	\$ 25	0.1	Include in RED/RESP Plan, FMOP and MCI Plans
4	24		2	Develop a continued funding stream.	Planning	Medical Supplies Management Distribution	\$ -	0.0	Step in 24.1
4	24		3	Coordinate planning with the state.	Planning	Medical Supplies Management Distribution	\$ -	0.0	Step in 24.1
4	24		4	Assign a program coordinator to implement added capabilities.	Organizing (People)	Medical Supplies Management Distribution	\$ 80	1.0	Step in 24.1
4	24		5	Obtain storage facilities and containers to ensure safe and appropriate (climate-controlled) storage.	Equipment	Medical Supplies Management Distribution	\$ 50	0.2	Step in 24.1 Include as a module in 12.4
4	24		6	Obtain computers and software packages from tracking resources.	Equipment	Medical Supplies Management Distribution	\$ 30	0.1	Step in 24.1 Include as a module in 12.4
	24			USMA Resource Management System Development		Medical Supplies Management Distribution			
4	24	24.2		Train mission-specific CERT teams on facilities and transportation support roles.	Training	Medical Supplies Management Distribution	\$ 25	0.1	Build on existing NIMS and discipline curricula. Need number of classes, participants to refine development and delivery estimates
4	24	24.3		Include this capability in regional exercises using FEMA and CDC guidelines	Exercises	Medical Supplies Management Distribution	\$ 30	0.1	Develop standard objectives for inclusion in functional and full scale exercises. Need number of exercises and participants to refine development and delivery estimates
Medical Supplies Management Distribution Sub Total							\$ 240	1.6	
4	25	25.1	1	Complete CRI project, which includes regional solutions to identified gaps.	Planning	Mass Prophylaxis	\$ -	0.0	Include in RED/RESP Plan as an annex.
4	25		2	Coordinate regional planning with CRI and MMRS initiatives.	Planning	Mass Prophylaxis	\$ 20	0.1	Step in 25.1
4	25		3	Further develop volunteer recruitment efforts for POD staffing.	Organizing (People)	Mass Prophylaxis	\$ 10	0.0	Step in 25.1
4	25		4	Coordinate with state to ensure adequate equipment is acquired to support PODs (to be determined upon CRI plan completion).	Equipment	Mass Prophylaxis	\$ -	0.0	State responsibility
4	25	25.2		Train volunteers in POD operations (just-in-time training).	Training	Mass Prophylaxis	\$ -	0.0	Build on existing NIMS and discipline curricula. Need number of classes, participants to refine development and delivery estimates
4	25	25.3		Train leadership positions for regional SNS receipt and distribution.	Training	Mass Prophylaxis	\$ -	0.0	Build on existing NIMS and discipline curricula. Need number of classes, participants to refine development and delivery estimates

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POETE Project Analysis

Mission Area	TC #	No.	Step	Project/Concept	POETE Category	Target Capability	Estimated Cost (000) Over 3 years	Estimated Level of Effort (FTEs) (Consultant unless indicated)	Comments
4	25	25.4		Utilize HSEEP and CDC guidelines to develop a comprehensive exercise program.	Exercises	Mass Prophylaxis	\$ -	0.0	Need number and types of exercises and participants to refine development and delivery estimates
Mass Prophylaxis Sub Total							\$ 30	0.1	
4	26	26.1	1	In close coordination with local and state agencies and VOADs, further develop/refine plans specific to tracking shelter locations and capacities, functional needs populations and companion animal sheltering capabilities, including identification of shelter facilities for companion animal sheltering that preferably would be collocated near the shelters for people.	Planning	Mass Care	\$ 10	0.0	Include in RED/RESP Plan as an annex. Link to 12.4
4	26		2	Develop a program to support recruitment and training for mass care volunteers, in collaboration with VOAD, American Red Cross, and other NGOs. Coordinate with Volunteer management TC activities.	Planning	Mass Care	\$ -	0.0	Step in 26.1
4	26		3	Further develop ESF 15 Functional Annex on Emergency Communication and Information Distribution to improve timely, effective dissemination of public information relevant to mass care. SOPs should include integration with a JIS/JIC if activated. Coordinate with other TCs.	Planning	Mass Care	\$ 15	0.1	Step in 26.1
4	26		4	Expand the inclusive nature of regional VOAD collaborative planning, volunteer recruitment, and training, and expand participation between VOAD members and local and state agencies in planning and exercises.	Organizing (People)	Mass Care	\$ -	0.0	Step in 26.1
4	26		5	Identify equipment or other resources needed to expand regional mass feeding capabilities.	Equipment	Mass Care	\$ -	0.0	Step in 26.1
4	26	26.2		Increase the supply of comfort kits available to regional residents.	Equipment	Mass Care	\$ -	0.0	Specifics regarding the number of types and kinds of equipment are necessary to refine lifecycle replacement cost estimates.
4	26	26.3		Develop opportunities to provide hands-on training for mass care workers, including just-in-time training programs for spontaneous volunteers	Training	Mass Care	\$ 20	0.1	Build on existing NIMS and discipline curricula. Need number of classes, participants to refine development and delivery estimates
4	26	26.4		Implement functional exercises in mass care site operations (shelters, feeding sites, distribution sites) as a method for providing additional training and experience for mass care volunteers.	Exercises	Mass Care	\$ 100	0.4	Need number and types of exercises and participants to refine development and delivery estimates
4	26	26.5		Expanding the capability to track shelter populations down to the individual level		Mass Care	\$ 90	0.4	
Mass Care Sub Total							\$ 235	0.6	
Respond Subtotal							\$ 3,865	15.5	
Project Total							\$ 4,890	20.1	



CAPITOL REGION COUNCIL OF GOVERNMENTS UPDATED - Regional Capability Assessment Summary Report

APPENDIX D

Work Group Members

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Workgroups

Work Group	Name	Email Address
Emergency Management	Don Janelle	DJANELLE@SNET.NET
	Chris Baker	Chris.Baker@CTRedcross.org
	Katherine McCormack	kmccormack@hartford.gov
	Dan Scace	daniel.scace@sbcglobal.net
	Carmine Centrella	ccentrella@preparednessplanners.com
Public Safety Communication	Keith Victor	kvictor@westhartford.org
	Mike Boucher	mboucher@bvfddct.net
	Chris Marvin	cmarvin167@aol.com
	Dan Scace	daniel.scace@sbcglobal.net
	Carmine Centrella	ccentrella@preparednessplanners.com
Law Enforcement	Mark Sirois	Msirois@easthartfordct.gov
	Richard Mulhall	RMulhall@NewingtonCT.Gov
	Jim Kinney	jkenny@vernon-ct.gov
	Dan Scace	daniel.scace@sbcglobal.net
	Carmine Centrella	ccentrella@preparednessplanners.com
Fire Services & Hazardous Materials	Bill Austin	whaustin@westhartford.org
	Gary Allen	gsallyn@westhartford.org
	Dustin Rendock	rendd001@hartford.gov
	Peter Vernesoni	Bellapb@comcast.net
	Don Moore	dmoore@bloomfieldct.org
	Dan Scace	daniel.scace@sbcglobal.net
	Carmine Centrella	ccentrella@preparednessplanners.com
Public Health, Healthcare and Medical	John Shaw	jjsmmrs@aol.com
	Dan Scace	daniel.scace@sbcglobal.net
	Carmine Centrella	ccentrella@preparednessplanners.com
Critical Infrastructure/Public Private Partnership	Mark Sirois	Msirois@easthartfordct.gov
	Laurie Ann Scott	LaurieAnn.Scotti@lfg.com
	Bill Turley	Wturley@easthartfordct.gov
	Dan Scace	daniel.scace@sbcglobal.net
	Carmine Centrella	ccentrella@preparednessplanners.com
UAWG/General	Dan Scace	daniel.scace@sbcglobal.net
	Carmine Centrella	ccentrella@preparednessplanners.com