

## Application for Federal Assistance SF-424

### \* 9. Type of Applicant 1: Select Applicant Type:

E: Regional Organization

Type of Applicant 2: Select Applicant Type:

Type of Applicant 3: Select Applicant Type:

\* Other (specify):

### \* 10. Name of Federal Agency:

Economic Development Administration

### 11. Catalog of Federal Domestic Assistance Number:

11.307

CFDA Title:

Economic Adjustment Assistance

### \* 12. Funding Opportunity Number:

PWEAA2020

\* Title:

FY 2020 EDA Public Works and Economic Adjustment Assistance Programs including CARES Act Funding

### 13. Competition Identification Number:

EAA-NC

Title:

EDA Non-Construction Full Application 11.307

### 14. Areas Affected by Project (Cities, Counties, States, etc.):

rcogs.pdf

Add Attachment

Delete Attachment

View Attachment

### \* 15. Descriptive Title of Applicant's Project:

Statewide long-term recovery coordination, resiliency planning, business retention, and business assistance

Attach supporting documents as specified in agency instructions.

Add Attachments

Delete Attachments

View Attachments

**Application for Federal Assistance SF-424****16. Congressional Districts Of:**\* a. Applicant \* b. Program/Project 

Attach an additional list of Program/Project Congressional Districts if needed.

**17. Proposed Project:**\* a. Start Date: \* b. End Date: **18. Estimated Funding (\$):**

|                     |  |
|---------------------|--|
| * a. Federal        | <input type="text" value="9,441,320.00"/>  |
| * b. Applicant      | <input type="text" value="0.00"/>          |
| * c. State          | <input type="text" value="0.00"/>          |
| * d. Local          | <input type="text" value="1,151,000.00"/>  |
| * e. Other          | <input type="text" value="0.00"/>          |
| * f. Program Income | <input type="text" value="0.00"/>          |
| * g. TOTAL          | <input type="text" value="10,592,320.00"/> |

**\* 19. Is Application Subject to Review By State Under Executive Order 12372 Process?**

- ☐ a. This application was made available to the State under the Executive Order 12372 Process for review on .
- ☐ b. Program is subject to E.O. 12372 but has not been selected by the State for review.
- ☒ c. Program is not covered by E.O. 12372.

**\* 20. Is the Applicant Delinquent On Any Federal Debt? (If "Yes," provide explanation in attachment.)**☐ Yes ☒ No

If "Yes", provide explanation and attach

**21. \*By signing this application, I certify (1) to the statements contained in the list of certifications\*\* and (2) that the statements herein are true, complete and accurate to the best of my knowledge. I also provide the required assurances\*\* and agree to comply with any resulting terms if I accept an award. I am aware that any false, fictitious, or fraudulent statements or claims may subject me to criminal, civil, or administrative penalties. (U.S. Code, Title 218, Section 1001)**

☒ \*\* I AGREE

\*\* The list of certifications and assurances, or an internet site where you may obtain this list, is contained in the announcement or agency specific instructions.

**Authorized Representative:**

Prefix:  \* First Name:

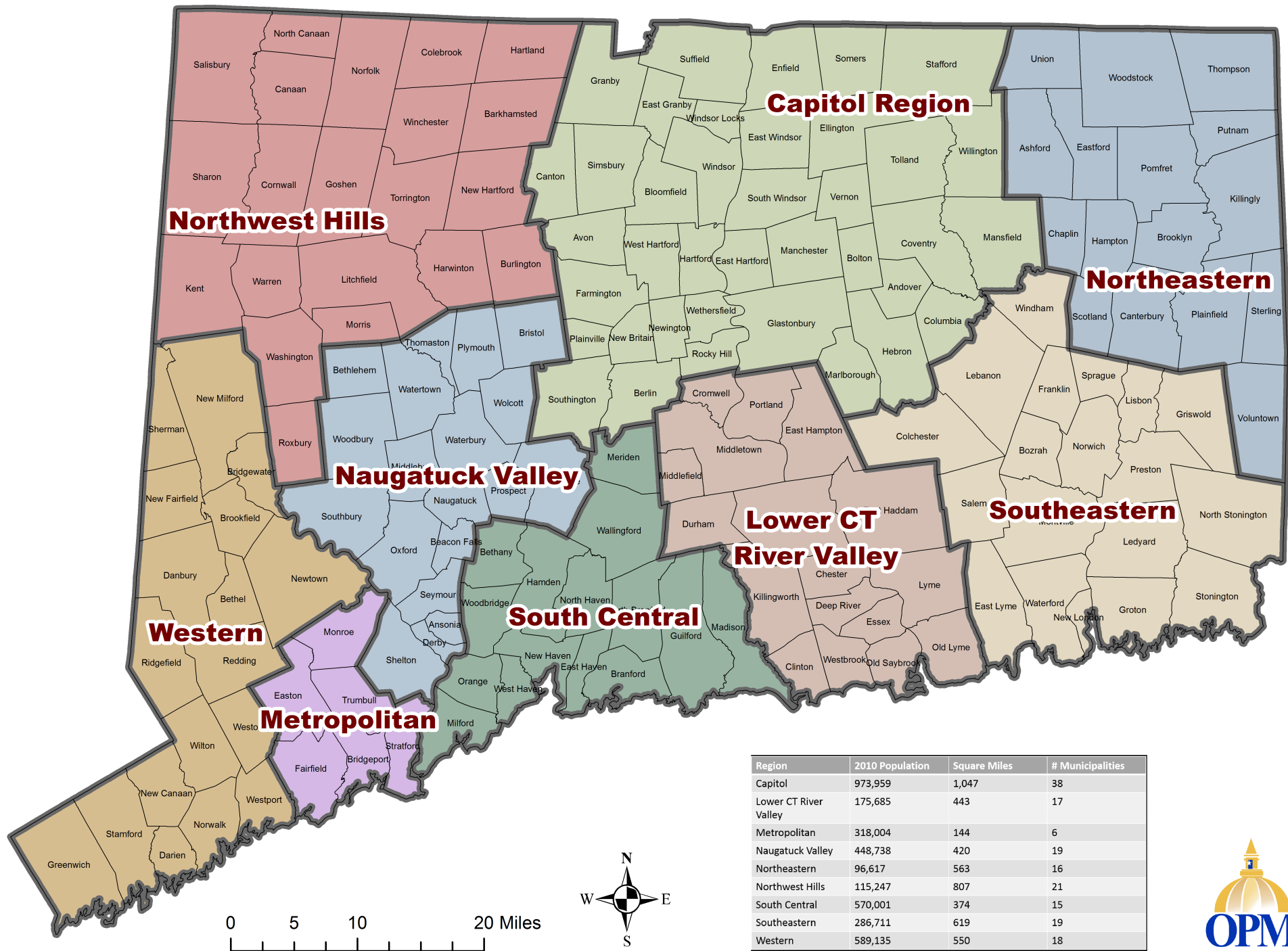
Middle Name:

\* Last Name:

Suffix:

\* Title: \* Telephone Number:  Fax Number: \* Email: \* Signature of Authorized Representative:  \* Date Signed:

## Regional Councils of Governments in Connecticut

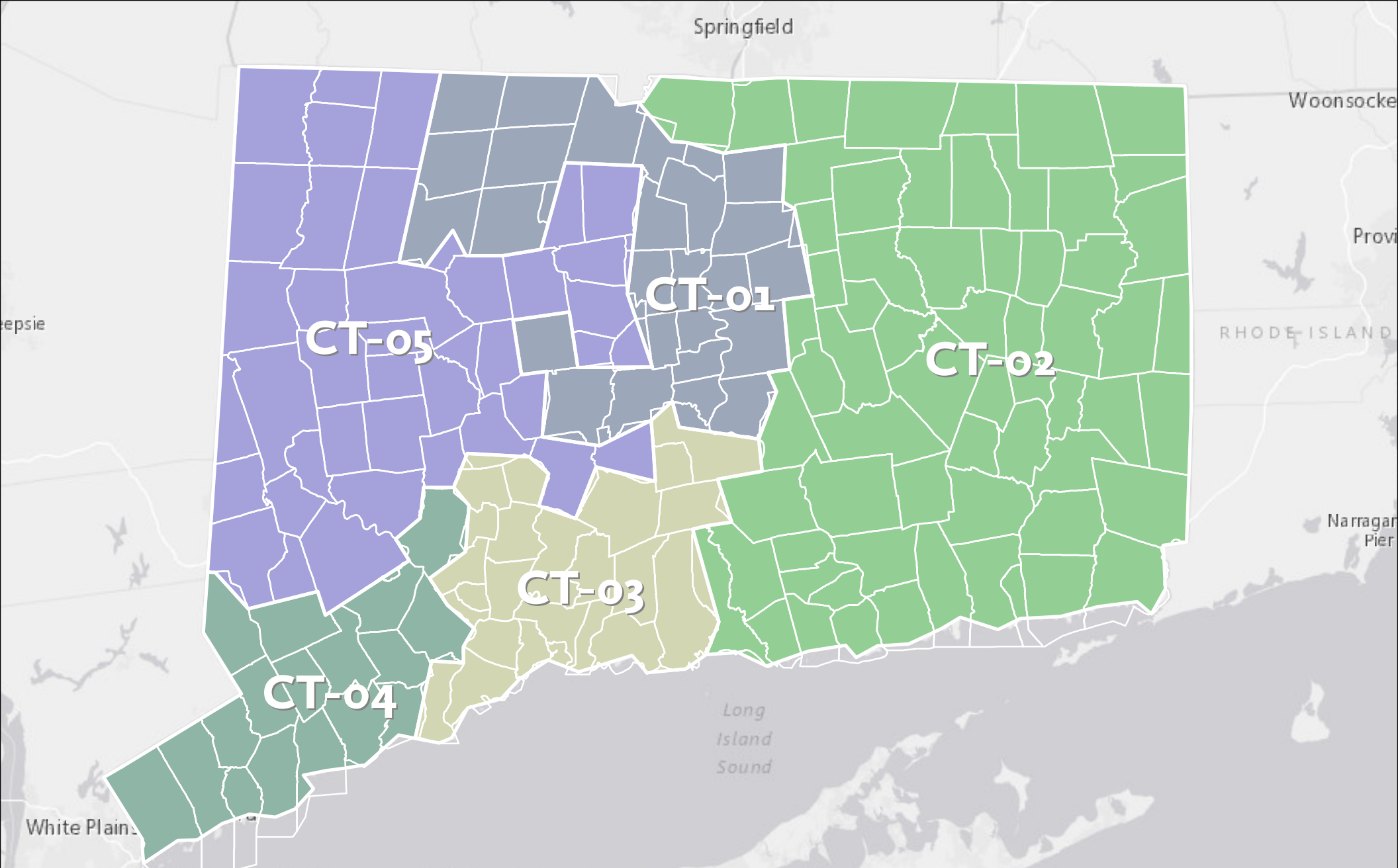


| Region                | 2010 Population | Square Miles | # Municipalities |
|-----------------------|-----------------|--------------|------------------|
| Capitol               | 973,959         | 1,047        | 38               |
| Lower CT River Valley | 175,685         | 443          | 17               |
| Metropolitan          | 318,004         | 144          | 6                |
| Naugatuck Valley      | 448,738         | 420          | 19               |
| Northeastern          | 96,617          | 563          | 16               |
| Northwest Hills       | 115,247         | 807          | 21               |
| South Central         | 570,001         | 374          | 15               |
| Southeastern          | 286,711         | 619          | 19               |
| Western               | 589,135         | 550          | 18               |









# Connecticut Congressional Districts



# ED-900 – General Application for EDA Programs

## A. Applicant Information

A.1. EDA Application Identifier (if available):

A.2. Please identify all applicants for this project:

|                | Name                                  | SAM.gov<br>CAGE Code | SAM.gov<br>Registration<br>Expiration Date | Fiscal Year<br>End Date<br>(mm/dd) |
|----------------|---------------------------------------|----------------------|--|------------------------------------|
| Lead Applicant | Capitol Region Council of Governments | 5QLC3                | 12/02/2020                                 | 06/30                              |
| Co-Applicant 1 |                                       |                      |  |                                    |

## B. Project Information

**B.1. Provide a geographical definition of the region to be served by the investment (project), including the specific geographic location of the project within the region.**

See attachment.

**B.2. Describe and outline the scope of work for the proposed EDA investment, including a list of tasks to be undertaken.**

See attachment

### B.3. Economic development needs

B.3.a. Does the region in which the project will be located have a Comprehensive Economic Development Strategy (CEDS)?

☒ Yes If Yes, what is the source?

All regions except for RiverCOG have a CEDS.

☐ No If No, then please check one:

☐ B.3.a.i. An alternate strategic planning document that governs this investment is attached.

Add Attachment

Delete Attachment

View Attachment

☐ B.3.a.ii. This investment is to develop a "strategy grant" to develop, update or refine a CEDS.

☐ N/A – Not Applicable

B.3.b. Describe the economic conditions of your region. Define the economic development need to be addressed by the proposed EDA investment and explain how the proposed investment will address that need.

See attached.

### B.4. Applicant's capability

Briefly describe the applicant's capability to administer, implement, and maintain the project.

See attached.

### B.5. List and describe the strategic partners and organizations to be engaged in this project

See attached.

### B.6. Describe the investment (project) impact and fit with EDA funding priorities

See attached.

### B.7. Identify the proposed time schedule for the project

See attached.

### B.8. Economic impacts of the project

B.8.a. Please describe the economic impacts of the project:

See attached.

B.8.b. Please identify the total estimated jobs and private investment that is expected to be generated by this project:

| Estimated Jobs Created | Estimated Jobs Retained | Estimated Private Investment |
|------------------------|-------------------------|------------------------------|
|                        |                         |                              |

B.8.c. Please identify the source of Estimates above (check as many as apply):

- ☐ Letters from Beneficiaries of the Project  
☐ Input/Output Model (e.g. IMPLAN, REMI)  
☐ Comparison to Similar Projects  
☐ Other Method (specify below)

### B.9. Beneficiaries of the project

| Beneficiary Name | NAICS Code | Estimated Jobs Created | Estimated Jobs Retained | Estimated Private Investment |
|------------------|------------|------------------------|-------------------------|------------------------------|
|                  |            |                        |                         |                              |
| Total            |            |                        |                         |                              |

### B.10. Non-EDA funding for the project

B.10.a. Are all non-EDA funds committed to the project, available as needed, and not conditioned or encumbered in any way that would preclude their use consistent with the purpose of the project?

- ☒ Yes
 ☐ No (explain below)

B.10.b. Identify the source, nature and amount of all non-EDA funds.

| Source   | Amount       | Date Available | Type    | Restriction/Comments |
|--|--------------|----------------|---------|----------------------|
| Staffing hours contributed by AdvanceCT and DECD in running and assisting the BRCs, etc... | \$426,000.00 | 01/01/2021     | In-Kind | n/a                  |
| Cash match from Hartford Foundation for Public Giving                                      | \$200,000.00 | 01/01/2021     | Cash    | n/a                  |

|  |              |            |         |     |
|--|--------------|------------|---------|-----|
| Cash match from 4-CT   | \$125,000.00 | 01/01/2021 | Cash    | n/a |
| Staffing hours contributed by the MetroHartford Alliance                                 | \$200,000.00 | 01/01/2021 | In-Kind | n/a |
| Staffing hours contributed by REX Development and Greater New Haven Chambers of Commerce | \$200,000.00 | 01/01/2021 | In-Kind | n/a |

B.10.c. Does the applicant plan to seek other federal financial assistance as part of or in connection with this project? If so, please describe the source, amount and any terms and conditions of the funding, and when the funding will be available for use by the applicant.

☐ Yes (explain below) ☒ No

B.10.d. Please attach documentation confirming non-EDA (matching or cost share) funding:

match-letters.pdf

Add Attachment

Delete Attachment

View Attachment

### B.11. Justification for sole source procurement

Will you contract work to complete part or all of this project?

☐ B.11.a. No

☒ B.11.b. Yes If yes, will contracts be awarded by competitive bid?

☒ B.11.b.i. Yes

☐ B.11.b.ii. No

If contracts will not be awarded by competitive bid, please provide a justification. A cost analysis will be necessary when adequate price competition is lacking, and for sole source procurements.

## B.12. Equipment

Will any funds be used to purchase equipment?

☐ B.12.a. No

☒ B.12.b. Yes

If yes, will project funding be used to install the equipment?

☐ Yes

☒ No

Please attach a list, including cost, description, purpose, and estimated useful life of any equipment that will be purchased as a part of this project.

equipment-justification.pdf

Add Attachment

Delete Attachment

View Attachment

## C. Regional Eligibility

### C.1. Region

Define the area/region that is the basis for the applicant's claim of eligibility. EDA will review and evaluate documentation submitted by the applicant to verify and determine eligibility.

The State of Connecticut

### C.2. Economic Distress

Check all that apply in establishing regional eligibility (see NOFO for more details):

☐ C.2.A. Unemployment rate

☐ C.2.B. Per capita income

☒ C.2.C. Special need, including:

☐ Substantial out-migration or population loss;

☐ Underemployment; that is, employment of workers at less than full-time or at less skilled tasks than their training or abilities permit;

☐ Military base closure or realignment, defense contractor reductions-in-force, or U.S. Department of Energy defense-related funding reductions;

☒ Natural or other major disasters or emergencies;

☐ Extraordinary depletion of natural resources;

☐ Closing or restructuring of an industrial firm or loss of other major employer;

☐ Negative effects of changing trade patterns; or

☒ Other circumstances set forth in the applicable NOFO (please explain below).

COVID-19 Pandemic.

### C.3. Substantial Direct Benefit

If the project does not meet any of the criteria above, is it located in an Economic Development District (EDD), and will it provide substantial direct benefit to residents of an area within that EDD that does meet the distress criteria?

☐ Yes

☐ No

Which Economic Development District?

Please explain how the proposed project will provide a substantial direct benefit to this geographic area within the EDD.

#### C.4. Source of data provided for regional eligibility determination

Check the box denoting what data source you used to establish eligibility:

- ☐ C.4.a. The most recent ACS data published by the U.S. Census Bureau.
- ☐ C.4.b. The most recent Bureau of Labor Statistics Data.
- ☐ C.4.c. The most recent other federal data for the region in which the project is located (e.g., U.S. Census Bureau or the Bureaus of Economic Analysis, Labor Statistics, Indian Affairs, etc.).
- ☐ C.4.d. If no federal data are available, the most recent data available through the state government for the region in which the project is located.
- ☒ C.4.e. Other data to substantiate regional eligibility based on a "Special Need" as defined in 13 C.F.R. § 300.3.

Please attach a copy of the documentation used to support your claim of eligibility:

Add Attachment

Delete Attachment

View Attachment

## D. Budget and Staffing

To be completed by applicants for non-construction assistance only

### D.1. Budget justification

See attached.

### D.2. Indirect costs

See attached.

### D.3. Key applicant staff

See attached.

## E. Administrative Requirements

### E.1. Civil rights

E.1.a. Does the applicant understand and agree to comply with all applicable civil rights requirements (see 13 C.F.R. § 302.20)?

☒ Yes ☐ No (explain below)

E.1.b. Do identified "Other Parties" (as listed in question B.9.), businesses that will create and/or save fifteen or more jobs as a result of the EDA project, understand and agree to comply with all applicable civil rights requirements under 13 C.F.R. § 302.20, including the requirement to provide signed assurances of compliance (ED-900B)?

☒ Not Applicable (No Other Parties Identified) ☐ Yes ☐ No (explain below)

### E.2. Lobbying certifications

Will you be able to comply with federal requirements regarding lobbying?

☒ Yes ☐ No (explain below)

### E.3. Compliance with Executive Order 12372, State Single Point of Contact (SPOC)

Does the state in which the project will be located have a project review process that requires submission to a Single Point of Contact (SPOC)?

☒ E.3.a. No. Go to Question E.4

☐ E.3.b. Yes

If Yes, does this request for EDA investment assistance meet the SPOC process established by the state?

☐ E.3.b.i. No ☐ E.3.b.ii. Yes

Please explain why not



If Yes, were SPOC comments/clearance received?

☐ E.3.b.ii.a. Yes

Please attach the comments/clearance:

Add Attachment

Delete Attachment

View Attachment

☐ E.3.b.ii.b. No. The review period has expired and no comments were received.

☐ E.3.b.ii.c. No. Comments have been requested but the review period has not yet expired.

Please attach evidence of your request for comments:

Add Attachment

Delete Attachment

View Attachment

#### E.4. Single Audit Act Requirement

E.4.a. Does the applicant understand and agree to the requirements of subpart F of 2 C.F.R. part 200 regarding federal audits?

☒ Yes

☐ No

E.4.b. Is the applicant currently audited under the Single Audit Act?

☐ E.4.b.i. No

☒ E.4.b.ii. Yes, If yes:

E.4.b.ii.a. What is the date of the most recent audit?

06/30/2020

E.4.b.ii.b. Was this audit submitted to the Federal Audit Clearinghouse?

☒ Yes

☐ No

## F. Requirements for Non-Governmental Applicants (Excluding Public Universities and Certain District Organizations)

As indicated below, non-governmental applicants (excluding public universities and district organizations) must also provide a copy of the following items, either using the Attachments form that is part of the application package downloaded from [www.Grants.gov](http://www.Grants.gov) or providing a hard copy.

- F.1. Non-profit organizations must provide a current Certificate of Good Standing from the State in which they are incorporated.

[Add Attachment](#)[Delete Attachment](#)[View Attachment](#)

- F.2. New non-profit organization applicants must provide their Articles of Incorporation and By-Laws. Non-profits with an active EDA grant must either provide a) a revised copy of their Articles of Incorporation or By-Laws if these have been amended or b) a statement certifying that there has been no change in the organization's Articles of Incorporation or By-Laws.

[Add Attachment](#)[Delete Attachment](#)[View Attachment](#)

- F.3. Non-profit organizations must provide a resolution passed by a general purpose political subdivision of a State (e.g., local government entity) or a letter signed by an authorized representative of a local government acknowledging that the applicant is acting in cooperation with officials of the political subdivision. EDA may waive this requirement for certain projects of significant regional or national scope (see 13 CFR § 301.2(b)).

[Add Attachment](#)[Delete Attachment](#)[View Attachment](#)

- F.4. If applying for a construction or RLF investment, an applicant must afford the appropriate general purpose governmental authority a minimum of 15 days to review and comment on the proposed project (13 CFR § 302.9(a)).

Will the applicant be able to provide these comments?

☐ Yes

☐ Not applicable, because the applicant is not applying for a construction or RLF grant

☐ Not applicable, because this requirement has been satisfied under an existing RLF plan

☐ No, for another reason (explain below)

# Instructions for Form ED-900

---

## A. Applicant Information

A.1. EDA Application Identifier – If EDA has previously provided an identifier for your proposal/application, please enter that identifier here. Otherwise, leave blank.

A.2. Please identify all applicants for this project:

The Lead Applicant should be the party who is responsible for handling disbursement of funds and reporting to EDA.

Note that Sam.gov registration is required of all EDA applicants and awardees. Please list the relevant CAGE Code and SAM.gov expiration data for all applicants and co-applicants (if any).

## B. Project Information

**B.1. Provide a geographical definition of the region to be served by the investment (project), including the specific geographic location of the project within the region.**

Clearly and concisely describe the region where the project will be located, including the specific geographic location of the project within the region, as well as background on the assets of the area, which may include clusters, and workforce, physical, educational and financial infrastructure.

**B.2. Describe and outline the scope of work for the proposed EDA investment, including a list of tasks to be undertaken.**

List specific activities that will be undertaken and the specific deliverables that will be produced as a result of this investment. The description of the proposed project must include a clear statement of the overall purpose of the project.

**Applicants for construction assistance (including design and engineering assistance)** should also include a statement of project components. Indicate if the proposed project involves the construction of a new facility or facilities or the enlargement, expansion, renovation, or replacement of an existing facility or facilities. Describe the existing facility and proposed project components in terms of dimensions, capacities, quantities, etc.

**Applicants for Partnership Planning Assistance** should provide a narrative on the economic development activities that will be undertaken including managing and maintaining the CEDS process.

**Applicants for Short Term Planning Assistance** should provide a narrative explaining how the proposed scope of work will enhance economic development planning capacity of the identified region. Include any relationship or collaboration with other public and private entities. Please explain how the strategy will expand the capacity of public officials and economic development organizations to work effectively with employers and enable the region to plan and coordinate the use of available resources to support economic recovery and the development of a regional economy and/or develop innovative approaches to economic revitalization in the region.

**Applicants for State Planning Assistance** should provide a narrative outlining the proposed scope of work for the project. Include the relationship to any existing CEDS or similar planning processes in the region and the goals and objectives of the proposed project.

### **B.3. Economic development needs**

Except for grants to fund developing, updating or refining a CEDS as described in 13 C.F.R. § 303.7, the region in which Public Works or Economic Adjustment projects will be located must have a CEDS with which the project is consistent.

B.3.a. Does the region in which the project will be located have a Comprehensive Economic Development Strategy (CEDS)?

If Yes, what is the source? *Note: If you are unsure if your region has a CEDS, please contact your local District Organization. In areas without a District Organization, CEDS may also be obtained at the City, County, or State level.*

If No, then please check one of the indicated options:

B.3.a.i. There is an alternate strategic planning document that will govern this investment. Please identify the strategy and provide a copy of this planning document, either by attaching the document to this application or submitting a hard copy.

B.3.a.ii. This investment is to create a strategy plan to develop, update or refine a CEDS. Please explain how the strategy will expand the capacity of public officials and economic development organizations to work effectively with employers and enable the region to plan and coordinate the use of available resources to support economic recovery and the development of a regional economy and/or develop innovative approaches to economic revitalization in the region.

B.3.b. Briefly describe the economic conditions of the region described in B.1, as well as the economic adjustment problems or economic dislocations the region has experienced (or is about to experience) and the regional impact of these conditions. How does the project address the economic development needs of the region and the goals and objectives of the CEDS for the region or the alternate strategic planning document described in section b below? See 13 C.F.R. part 303.

### **B.4. Applicant's capability**

Briefly describe the applicant's capability to administer, implement, and maintain the project.

### **B.5. List and describe strategic partners and organizations to be engaged in this project**

Describe existing regional partnerships (if any) that are directly engaged in supporting the proposed project, including a discussion of the extent of participation of government agencies, private sector interests, education providers, non-profits, community and labor groups, workforce boards, utilities, etc.

### **B.6. Describe the investment (project) impact and fit with EDA funding priorities**

Concisely document how the proposed project aligns with one or more of EDA's investment priorities. Applicants that propose projects that do not align with EDA's investment priorities will not be as competitive as those that do. Applicants are strongly encouraged to review EDA's investment priorities, as outlined in the applicable Notice of Funding Opportunity (NOFO) announcement on [www.Grants.gov](http://www.Grants.gov).

### **B.7. Proposed time schedule for the project**

Provide a proposed time schedule for completion of the project, including when (month/year) the project will begin and end. Explain any potential issues that could affect project implementation.

## **B.8. Economic impacts of the project**

Provide a clear and compelling justification for the long-term potential economic impact of the proposed project, through anticipated job creation or retention, private investment leveraging, number of businesses or collaborations supported, or other appropriate measures. All job and private investment estimates should reflect the anticipated impact within nine years of the potential EDA investment. Applicants must attach letters of commitment from any identified beneficiaries.

For all other measures, applicants should clearly identify the expected time frame. In all cases, applicants must document the benefit and provide third-party data or information available to support these claims.

## **B.9. Beneficiaries of the project**

If applicants have identified specific private sector employers that are expected to create and/or save jobs as a result of the project, applicants should list those beneficiaries in the table provided. All job and private investment estimates should reflect the anticipated impact within nine years of the potential EDA investment.

NAICS Code: The NAICS code for the major industry category of the beneficiary company (see [www.naics.com](http://www.naics.com) for a searchable list).

Jobs Created: The number of jobs that the company expects to create as a result of the project.

Jobs Retained: The number of jobs that the company expects to retain as a result of the project.

Private Investment: The amount of private investment that the company expects to make in its business/community as a result of the project.

Form ED-900B must be completed by each beneficiary that expects to create and/or save fifteen or more jobs as a result of the project.

## **B.10. Non-EDA funding for the project**

Select the appropriate response to each question. Applicants should identify the source, nature and amount of all non-EDA funds, including in-kind contributions (non-cash contributions of space, equipment, services, or assumptions of debt). Explain the status of all funding commitments, including the date the funds will be available from each source, and describe any conditions or restrictions on the use of such funds. If in-kind contributions are included, explain the basis on which they are valued. If so, please describe the source, amount and any terms and conditions of the funding, and when the funding will be available for use by the applicant. Please attach evidence of commitment from all funding sources. For example, if bonds are contemplated as match, counsel opinion of the applicant's bonding authority and eligibility of the bonds for use as match, along with full disclosure of the type of bonds and the schedule of the applicant's intended bond issue, are required.

## **B.11. Justification for sole source procurement**

Select the appropriate response to each question.

## **B.12. Equipment**

Select the appropriate response to each question.

## C. Regional Eligibility

Public Works and Economic Adjustment Assistance projects must satisfy regional eligibility requirements (see NOFO for more details). This section will assist EDA in determining if the proposed project satisfies these eligibility requirements.

Planning and Technical Assistance applications: although meeting specific distress criteria is not a prerequisite for funding under these programs, the economic distress level of the region impacted by a project serves as the basis for establishing the EDA share of the total cost of the project and can inform competitiveness.

Please answer all questions completely and accurately and attach explanations and supporting documentation where applicable.

### C.1. Region

Clearly define the area/region that is the basis for your claim of eligibility.

### C.2. Economic Distress

Check all that apply in establishing regional eligibility (see NOFO for more details):

**C.2.A. Unemployment rate:** The project is located in a region that has an unemployment rate that is, for the most recent 24-month period for which data are available, at least one percentage point above the national unemployment rate.

**C.2.B. Per capita income:** The project is located in a region that has a per capita income that is, for the most recent period for which data are available, 80 percent or less of the national average per capita income.

**C.2.C. Special need:** The project is located in a region that has experienced or is about to experience a "Special Need" (as defined in 13 C.F.R. § 300.3) arising from actual or threatened severe unemployment or economic adjustment problems resulting from severe short-term or long-term changes in economic conditions, including: Substantial out-migration or population loss; Underemployment, that is, employment of workers at less than full-time or at less skilled tasks than their training or abilities permit; Military base closure or realignment, defense contractor reductions-in-force, or U.S. Department of Energy defense-related funding reductions; Natural or other major disasters or emergencies; Extraordinary depletion of natural resources; Closing or restructuring of an industrial firm or loss of other major employer; Negative effects of changing trade patterns; or other circumstances set forth in the applicable NOFO.

### C.3. Substantial Direct Benefit

A project located within an Economic Development District (EDD) that is located in a region that does not meet the economic distress criteria set forth in section C.2 above, is also eligible for EDA investment assistance if EDA determines that the project will be of "substantial direct benefit" to a geographic area within the EDD that meets the distress criteria set forth in question C.2 above by providing significant employment opportunities for unemployed, underemployed, or low-income residents of the distressed geographic area within the EDD. If applicable, identify the EDD in which the proposed project will be located, as well as the geographic area within the EDD that meets the economic distress criteria detailed in section C.2., and explain how the proposed project will provide a substantial direct benefit to this geographic area within the EDD. (See NOFO for more details.)

### C.4. Source of data provided for regional eligibility determination

Check the appropriate box denoting what data source you used to establish eligibility. Please attach data used to establish eligibility.

## D. Budget and Staffing

To be completed by applicants for non-construction assistance only

### D.1. Budget justification

Provide a clear budget justification that identifies how funds in each line item of the budget will be utilized to support the proposed project. Explain the proposed use of any amounts budgeted for "Equipment," "Contractual," or "Other," if any, on Form SF-424A, Budget Information - Non-Construction Programs.

### D.2. Indirect costs

Explain the types of indirect costs, if any, on Form SF-424A. If there are any indirect costs, please submit a copy of the current Indirect Cost Rate Agreement that your organization has with its cognizant Federal agency. Alternatively, applicants must provide supplemental documentation such as: a certificate of indirect costs and acknowledgment letter from the cognizant agency, a cost allocation plan, an indirect cost rate proposal and/or other acceptable documents under Uniform Administrative Requirements, Cost Principles, and Audit Requirements for Federal Awards (Uniform Guidance) as set forth in 2 C.F.R. part 200 or relevant procurement regulations.

### D.3. Key applicant staff

Identify key applicant staff who will undertake and complete project activities. Include a description of the knowledge, organizational experience, and expertise of individual staff members. In addition, explain how organizational resources will be used to complete project activities. For National Technical Assistance, Training and Research and Evaluation projects, specify which positions will be charged to the federal and non-federal portion of the project budget.

## E. Administrative Requirements

### E.1. Civil rights

Select the appropriate response, providing an explanation if "no."

### E.2. Lobbying certifications

**All applicants** for federal financial assistance must certify that federal funds have not been used and will not be used for lobbying in connection with this request for federal financial assistance (Form CD-511). If non-federal funds have been or are planned to be used for lobbying in connection with this request for federal financial assistance, Form SF-LLL also must be completed. Applicants must comply with 13 C.F.R. § 302.10 regarding attorneys' and consultants' fees and the employment of expeditors. This regulation requires that applicants identify and disclose the amount of fees paid to anyone engaged to assist the applicant in obtaining assistance under the Public Works and Economic Development Act of 1965 (PWEDA), as amended.

### E.3. Compliance with Executive Order 12372, State Single Point of Contact (SPOC)

Select the appropriate response to each question, please attach any comments that have been received. If the comment period has not yet expired or comments were not received, attach evidence of your request for comments.

### E.4. Single Audit Act Requirement

Select the appropriate response to each question.

## **F. Requirements for Non-Governmental Applicants (Excluding Public Universities and Certain District Organizations)**

As indicated, non-governmental applicants must also provide a copy of the requested items, either using the Attachments form that is part of the application package downloaded from [www.Grants.gov](http://www.Grants.gov) or submitting a hard copy. Public Universities and Certain District Organizations may be exempt from this requirement, please contact your Regional Office to determine the requirements applicable to your organization.



# METRO★HARTFORD ALLIANCE

---

Debra Beavin, Economic Development Representative  
U.S. Department of Commerce-EDA  
Philadelphia Regional Office  
Robert N.C. Nix, Sr. Federal Building and U.S. Courthouse  
900 Market Street, Room 602  
Philadelphia, PA 19107

July 13, 2020

RE: MetroHartford Alliance In-Kind Match for EDA - CARES Act grant application

Dear Ms. Beavin:

Please accept this letter as certification that MetroHartford Alliance commits to providing the following scope of work and otherwise non-obligated, eligible match and leverage support for the entire duration of the term for the Alliance's portion of the State of Connecticut Economic Development Administration - CARES Act - Economic Adjustment Assistance (EAA) grant.

PROJECT NAME: MetroHartford Alliance Covid-19 Response to CEDS Implementation

PROJECT APPLICANT: Connecticut Capital Region Council of Governments (CR COG)

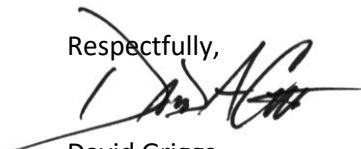
Eligible Comprehensive Economic Development Strategy (CEDS) scope of work proposed include:

- Business retention/expansion and business attraction – Addressing the needs of companies currently in the Hartford region and attracting new businesses to strengthen our economic diversity and lessen the impacts of swings in the economy. (Proposed EDA - EAA funding \$300,000)
- Retaining and attracting talent – Engage, retain, and attract talent with a focus on underserved and underrepresented populations to better meet the needs of the region's employers and to create jobs paying a family living wage. (Proposed EDA - EAA funding \$50,000)
- Branding and promoting the Hartford Region – Telling the Hartford region's story to promote its strengths as a place for companies seeking to grow and expand. (Proposed EDA - EAA funding \$50,000)

Total Proposed EDA CARES Act - EAA grant application amount: \$400,000.

Match Source: Cash and In-kind monies provided to the MetroHartford Alliance from Private Sector contributions. Funding amount fully committed. \$200,000.

Respectfully,



David Griggs  
President and CEO  
MetroHartford Alliance



**Hartford Foundation**  
FOR PUBLIC GIVING

July 28, 2020

Debra Beavin  
Regional Economic Development Representative  
U.S. Department of Commerce  
Economic Development Administration  
Philadelphia Regional Office  
Robert Nix Federal Building  
900 Market Street, Room 602  
Philadelphia, PA 19107

RE: Commitment of match for Connecticut EDA CARES Act Grant Application

Dear Ms. Beavin,

The Hartford Foundation for Public Giving (Hartford Foundation) is pleased to share its commitment to provide a match in the amount of \$125,000 in support of the Capitol Region Council of Government's (CRCoG) statewide EDA CARES Act grant application, titled "Statewide long-term recovery coordination, resiliency planning, business retention, and business assistance."

As the community foundation for the 29-town Greater Hartford region, the Hartford Foundation is dedicated to working with partners to create lasting solutions that result in vibrant communities. In 2019, we launched our new strategic plan, which focuses on addressing the urgent racial, geographic and economic disparities present in our region. The CRCoG application includes an explicit goal of inclusion and equity as core to the assessment, action planning and implementation of the proposed project.

We believe this project will provide much needed information and resources to help Connecticut recover from the unprecedented impact of COVID-19 pandemic and ensure that it is more resilient to future events. We are particularly encouraged by the project's commitment to helping underserved populations and supporting small businesses. We look forward to working with the various statewide public and private partners to ensure its success.

Sincerely,

Jay Williams  
President and CEO  
Hartford Foundation for Public Giving

July 24, 2020

Debra Beavin  
Regional Economic Development Representative  
U.S. Department of Commerce  
Economic Development Administration  
Philadelphia Regional Office  
Robert Nix Federal Building  
900 Market Street, Room 602  
Philadelphia, PA 19107

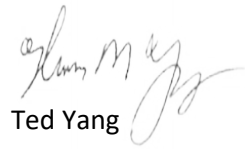
RE: Commitment of match

Ms. Beavin,

I am writing to assure you that 4-CT is committed to providing a match in the amount of \$200,000 in support of the Capitol Region Council of Government's statewide EDA CARES Act grant application, titled "Statewide long-term recovery coordination, resiliency planning, business retention, and business assistance". This match will be in the form of a cash match and will be used to support the work of the Global Resilience Institute. The money we are providing is unencumbered and will be readily available as needed once the project begins, by October 31.

We are excited to support this project which will provide much needed information and resources to help Connecticut recover from this unprecedented disaster. We are particularly encouraged by this project's commitment to help local and regional underserved populations and small businesses plan for the future. Not only does this project have our financial support, but we are committed to working with the various partners to ensure it is a success.

Sincerely,



Ted Yang

Co-Founder and CEO, 4-CT





July 13, 2020

To Ms. Debra Beavin  
U.S. Department of Commerce  
U.S. Economic Development Administration  
Economic Development Representative for CT, MA and RI  
Philadelphia Regional Office  
Robert N.C. Nix Federal Building  
900 Market Street, Room 602  
Philadelphia, PA 19107  
DBeavin@eda.gov

CC Ms. Linda Cruz-Carnall  
U.S. Department of Commerce  
U.S. Economic Development Administration  
Regional Director  
Philadelphia Regional Office  
Robert N.C. Nix Federal Building  
900 Market Street, Room 602  
Philadelphia, PA 19107  
[LCruz-Carnall@eda.gov](mailto:LCruz-Carnall@eda.gov)

Re  
FY 2020 Public Works and Economic Adjustment Assistance Notice of Funding Opportunity (FY20 PWEAA NOFO), Funding Opportunity Number PWEAA2020

Dear Ms. Beavin,

On behalf of AdvanceCT, the Connecticut Department of Community and Economic Development (DECD), and my economic development colleagues across Connecticut, I thank you for considering our application for CARES Act Recovery Assistance grant funding pursuant to Funding Opportunity Number PWEAA2020 that is designed to help communities prevent, prepare for, and respond to coronavirus.

As indicated in the State of Connecticut's consolidated Grant Application (CT Grant Application), AdvanceCT will be the lead implementing partner on three of the programs (AdvanceCT Programs), working in close coordination with the Connecticut Department of Economic and Community Development (DECD) and other Connecticut partner organizations.

The AdvanceCT Programs for which we are requesting funding, will contribute to Connecticut's coronavirus pandemic-related economic recovery, and strengthen our resiliency to withstand economic shocks and dislocations associated with future pandemics.

The purpose of this letter is to convey the commitment of AdvanceCT to match all of the funding requested in the three initiatives with in-kind support and equity.

Implementing high impact and inclusive economic development solutions for Connecticut.

805 Brook Street, Building 4, Rocky Hill, CT 06067 | 860.571.7136 | [advancect.org](http://advancect.org)



### **Regional Business Resource Centers (BRCs)**

**AdvanceCT, DECD and Statewide Partners – In-Kind Match: \$240,000**

For Connecticut's Business Resource Centers (BRCs), AdvanceCT, DECD, and other partners across Connecticut will contribute \$240,000 of in-kind funding in the form of physical infrastructure for the BRCs, strategic development of the program support modules, administrative staffing infrastructure hours, equipment, business services staffing, and other professional business advisory staffing.

### **Business Retention and Expansion (BRE)**

**AdvanceCT and DECD– In-Kind Match: \$60,000**

For Connecticut's Business Retention and Expansion (BRE) program upgrade, AdvanceCT and DECD will contribute \$60,000 in in-kind support in the form of, staffing hours for management of the program, procurement, data compilation, and implementation. AdvanceCT will also provide the management and state-wide administration of the BRE program, including CRM updates and data entry.

### **Reimagining Connecticut's Innovation Corridor (CIC)**

**AdvanceCT, DECD, Universities, Industry Associations – In-Kind Match: \$126,000**

The Reimagining Connecticut's Innovation Corridor (CIC) Initiative will be developed by a group of stakeholders that includes the state's universities and incubators, AdvanceCT, DECD, and other organizations that are active in the state's innovation ecosystem. For the Reimagine CIC Initiative, AdvanceCT and DECD will contribute \$126,000 in in-kind support in the form of staffing. To execute the Reimagine CIC Initiative, staffing hours contributed by AdvanceCT and DECD will include management and event staff, marketing and business development support, mentoring and advisory functions, plus outreach and communication.

Thank you for your consideration. Should you have any questions or require additional information, please do not hesitate to contact me or my team directly.

Best regards,

A handwritten signature in black ink, appearing to read "Peter Denious".

Peter Denious  
President & CEO  
AdvanceCT  
T: 203-912-0204  
E: [pdenious@advancect.org](mailto:pdenious@advancect.org)

Implementing high impact and inclusive economic development solutions for Connecticut.

805 Brook Street, Building 4, Rocky Hill, CT 06067 | 860.571.7136 | [advancect.org](http://advancect.org)



July 15, 2020

Debra Beavin, Economic Development Representative  
U.S. Department of Commerce-EDA  
Philadelphia Regional Office  
Robert N.C. Nix, Sr. Federal Building and U.S. Courthouse  
900 Market Street, Room 602  
Philadelphia, PA 19107

**RE: Greater New Haven Chamber of Commerce Support for CT EDA-CARES Act Application**

Dear Ms. Beavin:

The Greater New Haven Chamber of Commerce strongly supports the EDA-CARES Act grant application for the State of Connecticut and specifically the portion that supports the New Haven region through REX Development (a.k.a. South Central Connecticut Regional Economic Development Corporation.) Our organization has agreed to partner with REX Development in order to leverage private sector dollars to advance economic recovery and growth in our region.

The Chamber represents more than 1200 businesses across New Haven and our region. Before the pandemic hit, the New Haven Region's economy was on the rise and we were seeing growth across many sectors. We believe that the New Haven Region can recapture that growth trend, but it will take support and resources. If this grant is awarded, we will work closely with REX, the South Central Regional Council of Governments, and other state and local organizations to improve and expand the delivery of economic development services in our region.

The Chamber staff was part of the creation of our region's CEDS report and now conducts economic development activities that are in line with this plan. During the pandemic, the Chamber has focused on business assistance through resources and technical support. By partnering on this EDA grant we will be able to expand this program as well as increase the reach of our traditional business retention and expansion program that we currently co-coordinate with REX Development. That program has achieved more than 70 business outreach visits in less than a year and several expansion leads. It has also identified areas of need in managing talent pipelines and creating more opportunity for minority-owned businesses and minority entrepreneurs – both efforts that are included in this grant application. The Chamber's private funding investments in these areas will exceed \$200,000 over the next two years.

Thank you for your consideration of this proposal. Please contact me if you have any questions at [gshEEhan@gnhcc.com](mailto:gshEEhan@gnhcc.com).

Sincerely,

Garrett Sheehan  
President & CEO

BETHANY | BRANFORD | CHESHIRE | EAST HAVEN | GUILFORD | HAMDEN | MADISON | MILFORD  
NEW HAVEN | NO. BRANFORD | NO. HAVEN | ORANGE | WALLINGFORD | WEST HAVEN | WOODBRIDGE

900 CHAPEL STREET, 10<sup>TH</sup> FLOOR | NEW HAVEN, CT 06510 | TEL. (203) 787-6735 | FAX (203) 782-4329 | [GNHCC.COM](http://GNHCC.COM)

# Equipment

Computer Hardware: Funding for computer hardware will be used to furnish computers and required accessories for the research team in order to analyze data and information and produce reports.

**Hardware Total: Federal \$4,452**



# BUDGET INFORMATION - Non-Construction Programs

OMB Number: 4040-0006  
Expiration Date: 02/28/2022

## SECTION A - BUDGET SUMMARY

| Grant Program Function or Activity<br>(a)         | Catalog of Federal Domestic Assistance Number<br>(b) | Estimated Unobligated Funds |                    | New or Revised Budget |                    |                  |
|---|--|-----------------------------|--------------------|-----------------------|--------------------|------------------|
|   |  | Federal<br>(c)              | Non-Federal<br>(d) | Federal<br>(e)        | Non-Federal<br>(f) | Total<br>(g)     |
| 1. Long-term recovery coordination                |  | \$                          | \$                 | \$ 3,337,320.00       | \$ 0.00            | \$ 3,337,320.00  |
| 2. Long-term resiliency planning                  |  |                             |                    | 2,000,000.00          | 325,000.00         | 2,325,000.00     |
| 3. Business assistance, expansion, and innovation |  |                             |                    | 1,704,000.00          | 426,000.00         | 2,130,000.00     |
| 4. CEDS Implementation                            |  |                             |                    | 2,400,000.00          | 400,000.00         | 2,800,000.00     |
| 5. Totals   |  | \$                          | \$                 | \$ 9,441,320.00       | \$ 1,151,000.00    | \$ 10,592,320.00 |

Standard Form 424A (Rev. 7- 97)  
Prescribed by OMB (Circular A -102) Page 1

## SECTION B - BUDGET CATEGORIES

| 6. Object Class Categories                    | GRANT PROGRAM, FUNCTION OR ACTIVITY       |   |   |                            | Total<br>(5)     |
|---|---|---|---|----------------------------|------------------|
|   | (1)<br>Long-term recovery<br>coordination | (2)<br>Long-term resiliency<br>planning | (3)<br>Business assistance,<br>expansion, and<br>innovation | (4)<br>CEDS Implementation |                  |
| <b>a. Personnel</b>                           | \$ 300,594.79                             | \$                                      | \$  | \$                         | \$ 300,594.79    |
| <b>b. Fringe Benefits</b>                     | 128,895.05                                |   |   |                            | 128,895.05       |
| <b>c. Travel</b>                              |   |   |   |                            |                  |
| <b>d. Equipment</b>                           |   |   |   |                            |                  |
| <b>e. Supplies</b>                            |   |   |   |                            |                  |
| <b>f. Contractual</b>                         | 2,558,028.00                              | 2,325,000.00                            | 1,704,000.00  | 2,400,000.00               | 8,987,028.00     |
| <b>g. Construction</b>                        |   |   |   |                            |                  |
| <b>h. Other</b>                               |   |   | 426,000.00  | 400,000.00                 | 826,000.00       |
| <b>i. Total Direct Charges (sum of 6a-6h)</b> | 2,987,517.84                              | 2,325,000.00                            | 2,130,000.00  | 2,800,000.00               | \$ 10,242,517.84 |
| <b>j. Indirect Charges</b>                    | 349,802.16                                |   |   |                            | \$ 349,802.16    |
| <b>k. TOTALS (sum of 6i and 6j)</b>           | \$ 3,337,320.00                           | \$ 2,325,000.00                         | \$ 2,130,000.00   | \$ 2,800,000.00            | \$ 10,592,320.00 |
| <b>7. Program Income</b>                      | \$  | \$                                      | \$  | \$                         | \$               |

Authorized for Local Reproduction

Standard Form 424A (Rev. 7- 97)  
Prescribed by OMB (Circular A -102) Page 1A

| SECTION C - NON-FEDERAL RESOURCES                  |   |   |   |   |
|--|---|---|---|---|
| (a) Grant Program                                  | (b) Applicant                                 | (c) State                                     | (d) Other Sources                             | (e) TOTALS                                    |
| 8. Long-term recovery coordination                 | \$ <input style="width: 100px;" type="text"/> | \$ <input style="width: 100px;" type="text"/> | \$ <input style="width: 100px;" type="text"/> | \$ <input style="width: 100px;" type="text"/> |
| 9. Long-term resiliency planning                   | <input style="width: 100px;" type="text"/>    | <input style="width: 100px;" type="text"/>    | 325,000.00                                    | 325,000.00                                    |
| 10. Business assistance, expansion, and innovation | <input style="width: 100px;" type="text"/>    | <input style="width: 100px;" type="text"/>    | 426,000.00                                    | 426,000.00                                    |
| 11. CEDS Implementation                            | <input style="width: 100px;" type="text"/>    | <input style="width: 100px;" type="text"/>    | 400,000.00                                    | 400,000.00                                    |
| 12. TOTAL (sum of lines 8-11)                      | \$ <input style="width: 100px;" type="text"/> | \$ <input style="width: 100px;" type="text"/> | 1,151,000.00                                  | 1,151,000.00                                  |

| SECTION D - FORECASTED CASH NEEDS  |  |  |  |  |  |
|------------------------------------|--|--|--|--|--|
|                                    | Total for 1st Year   | 1st Quarter  | 2nd Quarter  | 3rd Quarter  | 4th Quarter  |
| 13. Federal                        | \$ <input style="width: 100px;" type="text" value="4,720,660.00"/> | \$ <input style="width: 100px;" type="text" value="1,180,165.00"/> | \$ <input style="width: 100px;" type="text" value="1,180,165.00"/> | \$ <input style="width: 100px;" type="text" value="1,180,165.00"/> | \$ <input style="width: 100px;" type="text" value="1,180,165.00"/> |
| 14. Non-Federal                    | \$ <input style="width: 100px;" type="text" value="575,500.00"/>   | <input style="width: 100px;" type="text" value="143,875.00"/>      | <input style="width: 100px;" type="text" value="143,875.00"/>      | <input style="width: 100px;" type="text" value="143,875.00"/>      | <input style="width: 100px;" type="text" value="143,875.00"/>      |
| 15. TOTAL (sum of lines 13 and 14) | \$ <input style="width: 100px;" type="text" value="5,296,160.00"/> | \$ <input style="width: 100px;" type="text" value="1,324,040.00"/> | \$ <input style="width: 100px;" type="text" value="1,324,040.00"/> | \$ <input style="width: 100px;" type="text" value="1,324,040.00"/> | \$ <input style="width: 100px;" type="text" value="1,324,040.00"/> |

| SECTION E - BUDGET ESTIMATES OF FEDERAL FUNDS NEEDED FOR BALANCE OF THE PROJECT |  |   |   |   |
|---|--|---|---|---|
| (a) Grant Program   | FUTURE FUNDING PERIODS (YEARS)                                     |   |   |   |
|   | (b) First  | (c) Second                                    | (d) Third                                     | (e) Fourth                                    |
| 16. Long-term recovery coordination   | \$ <input style="width: 100px;" type="text" value="1,668,660.00"/> | \$ <input style="width: 100px;" type="text"/> | \$ <input style="width: 100px;" type="text"/> | \$ <input style="width: 100px;" type="text"/> |
| 17. Long-term resiliency planning   | <input style="width: 100px;" type="text" value="1,000,000.00"/>    | <input style="width: 100px;" type="text"/>    | <input style="width: 100px;" type="text"/>    | <input style="width: 100px;" type="text"/>    |
| 18. Business assistance, expansion, and innovation                              | <input style="width: 100px;" type="text" value="852,000.00"/>      | <input style="width: 100px;" type="text"/>    | <input style="width: 100px;" type="text"/>    | <input style="width: 100px;" type="text"/>    |
| 19. CEDS Implementation   | <input style="width: 100px;" type="text" value="1,200,000.00"/>    | <input style="width: 100px;" type="text"/>    | <input style="width: 100px;" type="text"/>    | <input style="width: 100px;" type="text"/>    |
| 20. TOTAL (sum of lines 16 - 19)  | \$ <input style="width: 100px;" type="text" value="4,720,660.00"/> | \$ <input style="width: 100px;" type="text"/> | \$ <input style="width: 100px;" type="text"/> | \$ <input style="width: 100px;" type="text"/> |

| SECTION F - OTHER BUDGET INFORMATION                           |  |
|--|--|
| 21. Direct Charges: <input style="width: 300px;" type="text"/> | 22. Indirect Charges: <input style="width: 300px;" type="text"/> |
| 23. Remarks: <input style="width: 900px;" type="text"/>        |  |



# ED-900A - Additional EDA Assurances for Construction Or Non-Construction Investments

For **ALL** investments: As a duly authorized representative of the applicant, I further certify that the applicant:

1. Understands that attorneys' or consultants' fees, whether direct or indirect, expended for securing or obtaining EDA investment assistance are not eligible costs. See 13 C.F.R. § 302.10(a).
2. Understands that conflicts of interest or appearances of conflicts of interest are prohibited and may jeopardize this application or result in the forfeiture of investment funds. A conflict of interest occurs, for example, where a representative, official, employee, architect, attorney, engineer, or inspector of the applicant, or a representative or official of the federal, State or local government, has a direct or indirect financial interest in the acquisition or furnishing of any materials, equipment, or services to or in connection with the project. See 13 C.F.R. § 302.17.
3. Will comply with the reporting requirements under the Government Performance and Results Act (GPRA) of 1993 and the GPRA Modernization Act of 2010 (GPRAMA) for measuring and reporting project performance.

For **CONSTRUCTION** investments: As a duly authorized representative of the applicant, I further certify that the applicant:

1. Will operate and maintain the facility in accordance with at least the minimum standards as may be required or prescribed by applicable federal, State and local agencies for the maintenance and operation of such facilities.
2. Will require the facility to be designed to comply with the Americans with Disabilities Act of 1990 (ADA) (42 U.S.C. 12101 et seq.), the Architectural Barriers Act of 1968 (42 U.S.C. 4151 et seq.) and the Accessibility Guidelines for Buildings and Facilities regulations, as amended (36 C.F.R. part 1191), and will be responsible for conducting inspections to insure compliance with these requirements.
3. For the two-year period beginning on the date EDA investment assistance is awarded, will refrain from employing, offering any office or employment to, or retaining for professional services any person who, on the date on which the investment assistance is awarded or within the one-year (1) period ending on that date, served as an officer, attorney, agent or employee of the Department of Commerce and occupied a position or engaged in activities that EDA determines involved discretion with respect to the award of investment assistance under PWEDA. See section 606 of PWEDA and 13 C.F.R. §302.10(b).
4. Will have no facilities under ownership, lease or supervision to be utilized in this project that are listed or under consideration for listing on EPA's List of Violating Facilities.
5. Will comply with Executive Order 12699, "Seismic Safety of Federal and Federally Assisted or Regulated New Building Construction," which imposes requirements that federally-assisted facilities be designed and constructed in accordance with the most current local building codes determined by the awarding agency or by the Interagency Committee for Seismic Safety in Construction (ICSSC) and the most recent edition of the American National Standards Institute Standards A58, Minimum Design Loads for Buildings and Other Structures.

6. Will observe and comply with federal procurement rules, as set forth in 2 C.F.R. part 200, as applicable, for award of any contracts for architectural engineering, grant administration services, or construction financed with EDA investment assistance

For **NON-CONSTRUCTION** investments: As a duly authorized representative of the applicant, I further certify that the applicant:

1. Will comply with applicable regulations regarding indirect cost rates, if indirect costs are included in the application.
2. Will comply with the requirement that this investment assistance will not provide a proprietary benefit to a private individual, for-profit corporation, or other commercial entity.

SIGNATURE OF AUTHORIZED CERTIFYING OFFICIAL

Completed on submission to Grants.gov

TITLE

Principal Planner

APPLICANT ORGANIZATION

Capitol Region Council of Governments

DATE SUBMITTED

Completed on submission to Grants.gov

## ASSURANCES - NON-CONSTRUCTION PROGRAMS

Public reporting burden for this collection of information is estimated to average 15 minutes per response, including time for reviewing instructions, searching existing data sources, gathering and maintaining the data needed, and completing and reviewing the collection of information. Send comments regarding the burden estimate or any other aspect of this collection of information, including suggestions for reducing this burden, to the Office of Management and Budget, Paperwork Reduction Project (0348-0040), Washington, DC 20503.

**PLEASE DO NOT RETURN YOUR COMPLETED FORM TO THE OFFICE OF MANAGEMENT AND BUDGET. SEND IT TO THE ADDRESS PROVIDED BY THE SPONSORING AGENCY.**

**NOTE:** Certain of these assurances may not be applicable to your project or program. If you have questions, please contact the awarding agency. Further, certain Federal awarding agencies may require applicants to certify to additional assurances. If such is the case, you will be notified.

As the duly authorized representative of the applicant, I certify that the applicant:

1. Has the legal authority to apply for Federal assistance and the institutional, managerial and financial capability (including funds sufficient to pay the non-Federal share of project cost) to ensure proper planning, management and completion of the project described in this application.
2. Will give the awarding agency, the Comptroller General of the United States and, if appropriate, the State, through any authorized representative, access to and the right to examine all records, books, papers, or documents related to the award; and will establish a proper accounting system in accordance with generally accepted accounting standards or agency directives.
3. Will establish safeguards to prohibit employees from using their positions for a purpose that constitutes or presents the appearance of personal or organizational conflict of interest, or personal gain.
4. Will initiate and complete the work within the applicable time frame after receipt of approval of the awarding agency.
5. Will comply with the Intergovernmental Personnel Act of 1970 (42 U.S.C. §§4728-4763) relating to prescribed standards for merit systems for programs funded under one of the 19 statutes or regulations specified in Appendix A of OPM's Standards for a Merit System of Personnel Administration (5 C.F.R. 900, Subpart F).
6. Will comply with all Federal statutes relating to nondiscrimination. These include but are not limited to: (a) Title VI of the Civil Rights Act of 1964 (P.L. 88-352) which prohibits discrimination on the basis of race, color or national origin; (b) Title IX of the Education Amendments of 1972, as amended (20 U.S.C. §§1681-1683, and 1685-1686), which prohibits discrimination on the basis of sex; (c) Section 504 of the Rehabilitation Act of 1973, as amended (29 U.S.C. §794), which prohibits discrimination on the basis of handicaps; (d) the Age Discrimination Act of 1975, as amended (42 U.S.C. §§6101-6107), which prohibits discrimination on the basis of age; (e) the Drug Abuse Office and Treatment Act of 1972 (P.L. 92-255), as amended, relating to nondiscrimination on the basis of drug abuse; (f) the Comprehensive Alcohol Abuse and Alcoholism Prevention, Treatment and Rehabilitation Act of 1970 (P.L. 91-616), as amended, relating to nondiscrimination on the basis of alcohol abuse or alcoholism; (g) §§523 and 527 of the Public Health Service Act of 1912 (42 U.S.C. §§290 dd-3 and 290 ee- 3), as amended, relating to confidentiality of alcohol and drug abuse patient records; (h) Title VIII of the Civil Rights Act of 1968 (42 U.S.C. §3601 et seq.), as amended, relating to nondiscrimination in the sale, rental or financing of housing; (i) any other nondiscrimination provisions in the specific statute(s) under which application for Federal assistance is being made; and, (j) the requirements of any other nondiscrimination statute(s) which may apply to the application.
7. Will comply, or has already complied, with the requirements of Titles II and III of the Uniform Relocation Assistance and Real Property Acquisition Policies Act of 1970 (P.L. 91-646) which provide for fair and equitable treatment of persons displaced or whose property is acquired as a result of Federal or federally-assisted programs. These requirements apply to all interests in real property acquired for project purposes regardless of Federal participation in purchases.
8. Will comply, as applicable, with provisions of the Hatch Act (5 U.S.C. §§1501-1508 and 7324-7328) which limit the political activities of employees whose principal employment activities are funded in whole or in part with Federal funds.

9. Will comply, as applicable, with the provisions of the Davis-Bacon Act (40 U.S.C. §§276a to 276a-7), the Copeland Act (40 U.S.C. §276c and 18 U.S.C. §874), and the Contract Work Hours and Safety Standards Act (40 U.S.C. §§327-333), regarding labor standards for federally-assisted construction subagreements.
10. Will comply, if applicable, with flood insurance purchase requirements of Section 102(a) of the Flood Disaster Protection Act of 1973 (P.L. 93-234) which requires recipients in a special flood hazard area to participate in the program and to purchase flood insurance if the total cost of insurable construction and acquisition is \$10,000 or more.
11. Will comply with environmental standards which may be prescribed pursuant to the following: (a) institution of environmental quality control measures under the National Environmental Policy Act of 1969 (P.L. 91-190) and Executive Order (EO) 11514; (b) notification of violating facilities pursuant to EO 11738; (c) protection of wetlands pursuant to EO 11990; (d) evaluation of flood hazards in floodplains in accordance with EO 11988; (e) assurance of project consistency with the approved State management program developed under the Coastal Zone Management Act of 1972 (16 U.S.C. §§1451 et seq.); (f) conformity of Federal actions to State (Clean Air) Implementation Plans under Section 176(c) of the Clean Air Act of 1955, as amended (42 U.S.C. §§7401 et seq.); (g) protection of underground sources of drinking water under the Safe Drinking Water Act of 1974, as amended (P.L. 93-523); and, (h) protection of endangered species under the Endangered Species Act of 1973, as amended (P.L. 93-205).
12. Will comply with the Wild and Scenic Rivers Act of 1968 (16 U.S.C. §§1271 et seq.) related to protecting components or potential components of the national wild and scenic rivers system.
13. Will assist the awarding agency in assuring compliance with Section 106 of the National Historic Preservation Act of 1966, as amended (16 U.S.C. §470), EO 11593 (identification and protection of historic properties), and the Archaeological and Historic Preservation Act of 1974 (16 U.S.C. §§469a-1 et seq.).
14. Will comply with P.L. 93-348 regarding the protection of human subjects involved in research, development, and related activities supported by this award of assistance.
15. Will comply with the Laboratory Animal Welfare Act of 1966 (P.L. 89-544, as amended, 7 U.S.C. §§2131 et seq.) pertaining to the care, handling, and treatment of warm blooded animals held for research, teaching, or other activities supported by this award of assistance.
16. Will comply with the Lead-Based Paint Poisoning Prevention Act (42 U.S.C. §§4801 et seq.) which prohibits the use of lead-based paint in construction or rehabilitation of residence structures.
17. Will cause to be performed the required financial and compliance audits in accordance with the Single Audit Act Amendments of 1996 and OMB Circular No. A-133, "Audits of States, Local Governments, and Non-Profit Organizations."
18. Will comply with all applicable requirements of all other Federal laws, executive orders, regulations, and policies governing this program.
19. Will comply with the requirements of Section 106(g) of the Trafficking Victims Protection Act (TVPA) of 2000, as amended (22 U.S.C. 7104) which prohibits grant award recipients or a sub-recipient from (1) Engaging in severe forms of trafficking in persons during the period of time that the award is in effect (2) Procuring a commercial sex act during the period of time that the award is in effect or (3) Using forced labor in the performance of the award or subawards under the award.

|  |                                       |
|--|---------------------------------------|
| <b>SIGNATURE OF AUTHORIZED CERTIFYING OFFICIAL</b> | <b>TITLE</b>                          |
| Completed on submission to Grants.gov              | Principal Planner                     |
| <b>APPLICANT ORGANIZATION</b>                      | <b>DATE SUBMITTED</b>                 |
| Capitol Region Council of Governments              | Completed on submission to Grants.gov |

Standard Form 424B (Rev. 7-97) Back

## CERTIFICATION REGARDING LOBBYING

Applicants should also review the instructions for certification included in the regulations before completing this form. Signature on this form provides for compliance with certification requirements under 15 CFR Part 28, 'New Restrictions on Lobbying.' The certifications shall be treated as a material representation of fact upon which reliance will be placed when the Department of Commerce determines to award the covered transaction, grant, or cooperative agreement.

## LOBBYING

As required by Section 1352, Title 31 of the U.S. Code, and implemented at 15 CFR Part 28, for persons entering into a grant, cooperative agreement or contract over \$100,000 or a loan or loan guarantee over \$150,000 as defined at 15 CFR Part 28, Sections 28.105 and 28.110, the applicant certifies that to the best of his or her knowledge and belief, that:

- (1) No Federal appropriated funds have been paid or will be paid, by or on behalf of the undersigned, to any person for influencing or attempting to influence an officer or employee of any agency, a Member of Congress in connection with the awarding of any Federal contract, the making of any Federal grant, the making of any Federal loan, the entering into of any cooperative agreement, and the extension, continuation, renewal, amendment, or modification of any Federal contract, grant, loan, or cooperative agreement.
- (2) If any funds other than Federal appropriated funds have been paid or will be paid to any person for influencing or attempting to influence an officer or employee of any agency, a Member of Congress, an officer or employee of Congress, or an employee of a member of Congress in connection with this Federal contract, grant, loan, or cooperative agreement, the undersigned shall complete and submit Standard Form-LLL, 'Disclosure Form to Report Lobbying,' in accordance with its instructions.
- (3) The undersigned shall require that the language of this certification be included in the award documents for all subawards at all tiers (including subcontracts, subgrants, and contracts under grants, loans, and cooperative agreements) and that all subrecipients shall certify and disclose accordingly.

This certification is a material representation of fact upon which reliance was placed when this transaction was made or entered into. Submission of this certification is a prerequisite for making or entering into this transaction imposed by section 1352, title 31, U.S. Code. Any person who fails to file the required certification shall be subject to a civil penalty of not less than \$10,000 and not more than \$100,000 for each such failure occurring on or before October 23, 1996, and of not less than \$11,000 and not more than \$110,000 for each such failure occurring after October 23, 1996.

As the duly authorized representative of the applicant, I hereby certify that the applicant will comply with the above applicable certification.

## \* NAME OF APPLICANT

Capitol Region Council of Governments

## \* AWARD NUMBER

## \* PROJECT NAME

Statewide long-term recovery coordination

## Prefix:

## \* First Name:

Lynne

## Middle Name:

## \* Last Name:

Pike DiSanto

## Suffix:

\* Title: Principal Planner

## \* SIGNATURE:

Completed by Grants.gov upon submission.

## \* DATE:

Completed by Grants.gov upon submission.



## ATTACHMENTS FORM

**Instructions:** On this form, you will attach the various files that make up your grant application. Please consult with the appropriate Agency Guidelines for more information about each needed file. Please remember that any files you attach must be in the document format and named as specified in the Guidelines.

**Important:** Please attach your files in the proper sequence. See the appropriate Agency Guidelines for details.

|                                 |                               |                |                   |                 |
|---------------------------------|-------------------------------|----------------|-------------------|-----------------|
| 1) Please attach Attachment 1   | ED900-Section B-FINAL.pdf     | Add Attachment | Delete Attachment | View Attachment |
| 2) Please attach Attachment 2   | ED900-Section D-FINAL.pdf     | Add Attachment | Delete Attachment | View Attachment |
| 3) Please attach Attachment 3   | EDA graphic - bright 2020-07- | Add Attachment | Delete Attachment | View Attachment |
| 4) Please attach Attachment 4   | delegation-letter.pdf         | Add Attachment | Delete Attachment | View Attachment |
| 5) Please attach Attachment 5   | COG-letters.pdf               | Add Attachment | Delete Attachment | View Attachment |
| 6) Please attach Attachment 6   | match-letters.pdf             | Add Attachment | Delete Attachment | View Attachment |
| 7) Please attach Attachment 7   | support-letters.pdf           | Add Attachment | Delete Attachment | View Attachment |
| 8) Please attach Attachment 8   | Partner-letters-final.pdf     | Add Attachment | Delete Attachment | View Attachment |
| 9) Please attach Attachment 9   | Northeastern_GRI_CT_EDA_Budge | Add Attachment | Delete Attachment | View Attachment |
| 10) Please attach Attachment 10 |                               | Add Attachment | Delete Attachment | View Attachment |
| 11) Please attach Attachment 11 |                               | Add Attachment | Delete Attachment | View Attachment |
| 12) Please attach Attachment 12 |                               | Add Attachment | Delete Attachment | View Attachment |
| 13) Please attach Attachment 13 |                               | Add Attachment | Delete Attachment | View Attachment |
| 14) Please attach Attachment 14 |                               | Add Attachment | Delete Attachment | View Attachment |
| 15) Please attach Attachment 15 |                               | Add Attachment | Delete Attachment | View Attachment |

# Project Information

## B.1. Provide a geographical definition of the region to be served by the investment (project), including the specific geographic location of the project within the region.

An investment from the FY2020 Public Works and Economic Adjustment Assistance Programs including CARES Act Funding will serve the entire State of Connecticut via the nine regional councils of governments. In Connecticut, the regional council of governments provide a “geographic framework within which municipalities can jointly address common interests and coordinate such interests with state plans and programs.” Due to COVID-19’s immense public health and economic impacts statewide, the regional council of governments have been tasked by the State of Connecticut’s Department of Economic & Community Development (DECD) and Division of Emergency Management and Homeland Security (DEMHS) with the long-term economic recovery efforts that are already underway and will continue for the foreseeable future.

For the purposes of this application, the Capitol Region Council of Governments (CRCOG), which is one of nine regional councils of governments (COGs) in Connecticut, is submitting this application on behalf of all others COGs and the State of Connecticut.

## B.2. Describe and outline the scope of work for the proposed EDA investment, including a list of tasks to be undertaken.

Connecticut’s nine Councils of Governments (COGs) are proposing a consolidated grant application for CARES Act Funding through the US Economic Development Administration. The grant would fund a comprehensive suite of services to support Connecticut’s economic recovery and bolster its resiliency to future economic disasters.

These services will build upon and strengthen work that is already being performed by the COGs as an immediate response to the COVID-19 pandemic. Working with the State of Connecticut Office of Policy and Management (OPM), the COGs will engage the Global Resilience Institute (GRI) at Northeastern University to identify a resilience baseline for the five DEMHS regions across the state and to provide a framework for inclusive recovery planning that emphasizes equity, resilience, and stakeholder engagement that involves underserved populations and communities of color. This effort will also enhance regional and local leadership capacity to develop plans that address a wide range of community stakeholder needs. This work, funded with a state allocation of its CDBG CARES Act block grant (which must be expended by the end of December 2020), will inform and shape the activities to be completed under the US EDA grant.

The COGs anticipate beginning work under the US EDA grant in December. The grant will fund work for 24 months. Work conducted under the US EDA grant will include:

## Recovery Coordinators

### *Implementing Partners: All nine regional COGs*

This grant will fund regional economic recovery coordinators in each of the nine regions of Connecticut. The primary task of the coordinators will be to lead, coordinate and provide staff support for the Regional Long-Term Recovery Steering Committees established in response to the COVID-19 emergency. The committees will:

- Assist in local, state, and federally-led coronavirus recovery planning efforts that advance equity and resilience among the state's most highly impacted communities;
- Help identify economic development grant-eligible projects with state and federal resources for locally impacted communities to ensure these entities take full advantage of available funding opportunities;
- Identify and foster private and non-profit partnership opportunities to support the financing of economic development projects including leveraging the state's 72 Qualified Opportunity Zones.

The coordinators will also:

- Serve as a liaison between local and regional recovery committees and the state's five DEMHS regional emergency management districts;
- Serve as a liaison between local, state, and federal partners in order to speed the recovery process through strategic technical assistance and local capacity augmentation for the highly impacted communities within the COG's jurisdiction;
- Facilitate the implementation of locally generated disaster recovery economic development projects;
- Develop baseline data for assessing the current condition of community resilience:
  - inventory regional resources;
- Conduct needs and resource matching to community needs that will enhance that resilience especially for the most vulnerable populations who have been most adversely affected by the COVID-19 emergency;
- Perform extensive outreach to survey businesses, government and non-profit organizations to determine economic impacts and immediate, mid-term and long-term needs, concerns, and development goals;
- Identify additional non-governmental resources that can be harnessed to advance community economic recovery goals;
- Develop and escalate each regional chart of "Unmet Needs" to the DEMHS regional coordinator;
- Conduct a comparison that identifies opportunities to closely align existing state and regional plans and adapt them to new needs arising from the COVID-19 emergency;
- Build closer connectivity with economic development partners from underserved populations and communities of colors;
- Focus on leveraging Opportunity Zones, Enterprise zones and other existing assistance programs that can increase access to private capital to invest in development opportunities; and
- Provide GIS services, data coding and mapping, as required.

## Long-Term Economic Recovery Planning and Implementation

*Implementing Partners: All nine regional COGs; Global Resilience Institute*

### YEAR ONE

#### *Tools to Support Resilience-based Long-Term Recovery Planning*

The Global Resilience Institute will be developing resilience baselines in collaboration with the nine CT Councils of Governments (COGs) for the five DEMHS districts of the State under work funded through CARES act support. During that project, the GRI team will define which aspects of its Integrated-Resilience Enhancement Solution (I-RES) methodology are required to enable long-term equitable and sustainable recovery and resilience building and which tools, products, and approaches will enable CT municipalities to assess baseline economic and social conditions affecting resilience.

Upon approval of the EDA grant, the GRI team will develop implementable tools to be used by COGs to pilot the application of the resilience-based process to the State's nine COGs. These tools may include a data collection worksheet based on resilient economic development principles, a supporting community engagement template that can be used to guide local conversation, an evaluation matrix that can guide analysis and creation of a municipality long-term resilient economic development strategy, and a "how to" handbook to guide local committees.

This toolkit work will be funded by a collaboration of important community foundations: 4-CT, The Hartford Foundation, The Community Foundation for Greater New Haven, and the Connecticut Health Foundation. The funds of approximately \$325,000 that will contribute to the state matching funds for the EDA grant.

#### *Developing Economic Resilience Analysis and Long-Term Recovery Plans for Representative Communities*

Representative communities will be selected in each of the nine CT COGs. The regional steering committees, councils, and municipalities will coordinate to select the communities for analysis.

The GRI team will lead the COGs and their stakeholders in utilizing the toolkit, training, and framework provided by GRI and the McChrystal group to perform resilience analyses to support recovery planning in the representative communities. They will also develop long-term recovery plans and resilience-based economic development strategies with the guidance of GRI. Additionally, the process will yield the development of candidate community and economic development projects that are well positioned to receive federal funding support and are attractive to private investors.

### YEAR TWO

#### *Developing In-State Capacity*

During the 2nd year, the GRI team will work with the nine COGs and the lessons learned from the pilots with their representative communities to build broad statewide, community-based capacity to conduct resilience analyses and develop their long-term recovery strategies. The COGs will begin to use the toolkit and training methodologies to conduct resilience analyses and develop Long-Term Recovery plans for all of the communities in CT, with the nine COGs working with their respective municipalities to develop their Long-Term Recovery strategies. GRI and team will provide training to stakeholders and committees identified by the COGs on resilience principles, use of the tools and accompanying playbook, and their application to long-term economic recovery and development.

### *Refining the Tools, Evaluating Progress, Validating the Approach*

As the COGs begin to lead the application of the I-RES based toolkit to advance equitable and resilient economic development for communities within their regions, GRI will move into a consultative role, providing training, help with toolkit use, and consultation on resilience matters as the communities begin their own analyses and Long-Term Recovery strategies. GRI will monitor committees' utilization of the toolkit in order to validate and refine the toolkit as required throughout the statewide application of the process. In addition, GRI will work with the State and communities to gather outcomes from the Long-Term Recovery analysis and implementation in order to evaluate the efficacy of the tools and methods. Finally, GRI will use feedback from the broad community implementation to refine analyst guides, data sheets, the handbook, and templates so they are enriched by incorporating observations and learnings from the communities' long-term recovery work. The final product will be a replicable, scalable roadmap and set of tools through which the State of Connecticut can conduct similar analyses and planning across all of their municipalities and regions.

### *Regional Business Resource Centers*

#### *Implementing Partners: AdvanceCT; Connecticut Department of Economic and Community Development (DECD)*

As Connecticut continues to re-open the state's economy, thoughtfully and carefully, the impact of the coronavirus pandemic-induced shutdown is being felt across all the state's industries and communities. Some of our communities are experiencing extreme hardship, without a clear path to recovery as the economy reopens and rebounds.

Nine at-risk, underserved areas within the state have been earmarked by DECD and AdvanceCT, as requiring additional support and assistance. These communities include specific neighborhoods within the cities of Waterbury, Bridgeport, New London, Hartford, and Stamford. There are neighborhoods and communities within these cities that are underserved, where the pandemic has had a particularly devastating effect on the well-being of the businesses located there and people living there.

Small businesses have been particularly hard hit by Connecticut's economic shutdown. To assist and restore businesses in these afflicted communities, we are locating resources in their communities through the Regional Business Resource Centers, which will be co-located in existing municipal and support agency offices. This is statewide, multi-agency effort to restore "Main Street" and the small businesses that are the lifeblood of these communities.

By setting up BRCs in highly impacted neighborhoods, we can provide critical information, resources, professional counsel, legal assistance, financing advice, and other form of assistance to help small businesses get back on the path to economic recovery. The BRCs will have channels into all aspects of Connecticut's business resource infrastructure, including workforce development and loan assistance programs, so the BRCs will be able to support businesses with everything from workforce training and upskilling, to advice on financial management and securing bridge loan assistance.

The objective of the BRC program is to help businesses rebound from the acute effects of the pandemic shutdown.

Physical Business Resource Centers (BRC) will be set up in nine (9) locations across Connecticut (leveraging existing facilities and staff) where small/medium-sized businesses (SMEs), can receive

multifaceted business recovery counsel to respond to the economic devastation triggered by the effect of COVID on Connecticut's economy. The BRCs will prioritize establishing operations in underserved and distressed communities, communities disproportionately affected by the pandemic, with the intent to evolve into permanent resource centers supporting the survival and growth of "Main Street" and SME businesses.

- Stand up BRCs in 9 cities / regions across Connecticut;
- Appoint a management team and program administrators within AdvanceCT, DECD and other state organizations;
- Select locations based on business density and economic activity and disparity in economic equity for local citizens;
- Co-locate BRCs in existing facilities (e.g. chambers, EDOs, etc.) and leverage existing staff and volunteers (where possible);
- Align with GWCs "Regional Sector Partnerships" initiative, with the BRCs serving as workforce training feeders leveraging "feet on the street" to identify relevant workforce training opportunities;
- Integrate services with DAS' Business-One-Stop digital initiative;
- Deputize regional coordinators to lead in partnership with AdvanceCT and DECD;
- Provide key business and community resources to ensure that "Main Street" businesses recover, survive and grow;
- Provide aid with accessing state and federal support;
- Provide technical support (bi-lingual) on CT Re-Open procedures, and other IT tools and resources to stabilize local businesses;
- Provide aid to access, manage and track PPP loans;
- Coordinate public sector and charitable support; and,
- Provide guidance on career pathways and skills-upgrading opportunities present within CT's industry ecosystems.

## Business Retention and Expansion

### *Implementing Partners: AdvanceCT and DECD*

As part of Connecticut's business recovery initiative, AdvanceCT and the Connecticut Department of Economic and Community Development (DECD) will upgrade their business retention and business expansion (BRE) operations to accommodate the increased need for business assistance across the state.

When the coronavirus pandemic forced an unexpected shutdown of the state's economy, we discovered there was no set process or mechanism in place to communicate with the leadership of Connecticut's employers in emergency situations. Our customer relationship management databases had office contact details, but there was no comprehensive repository of emergency contact information such as mobile telephone numbers.

To ensure that our state's businesses have timely access to the most critical information disseminated during a crisis, to facilitate two-way communication to our staff, and the team at the DECD, and to

create an efficient, unified channel for broadcasting updates with information that is important to companies' operations, we are implementing improvements across our BRE protocols and practices.

These enhancements will include:

- Upgrading and adding functionality to AdvanceCT's existing CRM system;
- Increasing focus on small employers;
- Procuring a research vendor to compile data to assist with the expansion of Connecticut's BRE/Aftercare efforts;
- Procuring a vendor to compile emergency contact information from Connecticut's key employers (mobile phone numbers and other avenues of communication to send texts and alerts);
- Working with companies across the state to appoint an internal company point of contact for emergency communications with AdvanceCT; and
- Procuring a state-wide communications platform to communicate broadly and efficiently with Connecticut businesses via direct messaging, text, and voice messaging.

## Reimagining Connecticut's Innovation Corridor (CIC)

*Implementing Partners: AdvanceCT; DECD; Universities; Industry Associations*

Pre-pandemic Connecticut had started to promote a corridor of innovative companies that exist in several key sectors across the state. The operations of and connections between these companies form a "link" referred to as the "Connecticut Innovation Corridor" (CIC). The companies comprising the CIC are a mix of SMEs and start-ups.

Start-ups are an especially important part of the state's intellectual property ecosystem, driving innovation, commercialization, and an ongoing crop of new businesses across the state. Cumulatively, they represent significant employment numbers and they act as magnets, attracting skilled workers, intellectual talent, and investment capital to Connecticut.

The state's innovative companies and their products/services constitute an important part of our economy and future economic well-being. Our economy depends on a steady flow of ideas, skilled workers, and emerging companies. This segment of our business environment is an important part of Connecticut's overall economic engine.

Small innovative companies and start-ups in Connecticut have been severely affected by the coronavirus pandemic. The coronavirus has brought to a halt the co-location opportunities, networking, funding events, and peer-to-peer human interactions that are so important to these fledgling companies. The coronavirus pandemic has been catastrophic to the innovation ecosystem, so providing support to Connecticut's innovation driven companies, to ensure they remain solvent and can survive the effects of the coronavirus-triggered disruption to their businesses business and funding sources, is a top priority for the state.

As the state reaches out to assist SMEs across industries, we must ensure also that a concerted effort is made to support our innovation ecosystem, with a particular emphasis on women and minority-owned start-ups.



An important goal of the program is to expand the Connecticut Innovation Corridor into some of the state's underserved and distressed communities.

Pre-coronavirus there was momentum building, as small companies were securing funding and commercializing their intellectual property. It was a vibrant time for innovation in the state, as the seeds for future companies were planted. For Connecticut's economic recovery, it will be important to regain that momentum.

The CIC placemaking efforts will center around several core initiatives and programs:

- Developing a directory of available physical space and incubators as a resource for start-ups;
- Creating co-location opportunities for start-ups;
- Creating platforms to showcase start-ups to enhance their chances to secure funding;
- Attracting more SMEs and start-ups to Connecticut;
- Conducting communication outreach to identify female- and minority owned start-ups in the innovation space, and to integrate them into the CIC; and,
- Working to promote and encourage a start-up/innovation mindset in underserved communities across Connecticut.

## Regional CEDS Implementation and Repositioning

*Implementing Partners: Six Economic Development Districts not receiving non-competitive funding from EDA*

Six of the nine Economic Development Districts (EDDs) in Connecticut were not eligible for non-competitive funding under the EDA CARES Act program. Each of those EDDs will work on implementation of short to medium term strategies linked to each region's Comprehensive Economic Development Strategy. The three EDDs funded under the non-competitive program will also be doing similar work *but are not included in this proposal to avoid double-funding*.

### MetroHartford Alliance

The Hartford regional economy lacks true industry diversity and is dominated by two clusters: insurance/financial services and aerospace/defense. In addition, the Hartford region has a strong health care industry, which is anchored by hospitals and community health organizations with an emerging biotech/medtech sector. Lastly, the region has recently seen a resurging advanced manufacturing cluster that is led by firms like Stanley Black and Decker's and their investments in industry 4.0.

The MetroHartford Alliance (MHA) serves as the leading economic development organization (EDO) for the three-counties (Hartford, Middlesex and Tolland) that make up the Hartford Metropolitan Statistical Area (MSA). The MHA is an investor-based EDO focused on growing the regional economy through full-filling the goals outlined in the Comprehensive Economic Development Strategy (CEDS). These include:

- Business retention/expansion and business attraction – Addressing the needs of companies currently in the Hartford region and attracting new businesses to strengthen our economic diversity and lessen the impacts of swings in the economy.
- Retaining and attracting talent – Engage, retain, and attract talent with a focus on underserved and underrepresented populations to better meet the needs of the region's employers and to create jobs paying a family living wage.



- Branding and promoting the Hartford Region – Telling the Hartford region’s story to promote its strengths as a place for companies seeking to grow and expand.

The proposed grant funds, together with our private sector funders, will support the activities of the MetroHartford Alliance in meeting the goals outlined in the CEDS and expand our response to the Covid-19 shutdown by increasing activities through our business retention and expansion efforts.

#### Middlesex Chamber of Commerce

In collaboration with RiverCOG, the Middlesex Chamber of Commerce will undertake the following tasks:

- Reposition the Middlesex Revitalization Commission for COVID-19 Recovery: Work to reposition the Middlesex Revitalization Commission, established in 1993, for COVID-19 recovery. This will include plans to recapitalize the revolving loan and refocus on small businesses and businesses owned by disadvantaged groups (DBE) impacted by the pandemic.
- Workforce Development Planning for Unemployed, Including Support for the establishment of New Businesses: Work with the Workforce Investment Board, state of Connecticut, educational institutions, and major employers on plans to get people left unemployed by COVID-19 employed. This will include support to new entrepreneurs seeking to start their own businesses.
- Transitioning Businesses to Digital Interactions: Research and disseminated digital best practices for businesses seeking to transition to the changed retail landscape of online interactions. This will include helping restaurants and other businesses identify suitable online solutions such as ordering and payment systems, that facilitate online sales and reduce person to person contact.
- Strategic Economic Development Planning for the COVID-19 Landscape: Build upon and update the GrowSmart study completed in 2016 by RiverCOG to reposition the region for COVID-19 including the identification of opportunities to attract industries that may be brought back on-shore for supply chain resiliency or national security reasons. Reimagining the use of recently commercial space left vacant by COVID-19. Looking for new opportunities for local agriculture and tourism. Planning for expansion of access to high-speed internet.

#### Bridgeport Regional Business Council (BRBC)

The Bridgeport Regional Business Council (BRBC) is Greater Bridgeport’s premier business organization that increases economic opportunities for the people of the Bridgeport Region by acting to create an environment for business expansion, retention, and recruitment that will result in jobs and tax base growth. The Bridgeport Regional Business Council is a leader, initiator, and facilitator in local and regional economic development efforts, an advocate for its members and the business community at large in the halls of government, a business and community booster by inspiring business investment to our region via positive reporting on economic development and business innovation and a leader in efforts to raise the level of regional cooperation to foster business growth via the delivery of networking, professional development, and marketing opportunities to members and the business community at large.

In response to COVID-19, the BRBC will collaborate with MetroCOG, local economic development officials and other regional and state agencies and stakeholders to increase the retention, recruiting and consulting type-activities for businesses, with a focus on Disaster Recovery. In addition, the BRBC will

utilize an existing partnership with local universities, community colleges and other private and non-profit partnerships to build a talent pipeline process to retrain those impacted by COVID-19.

#### [South Central Connecticut Regional Economic Development Corporation D/B/A REX Development Partnering with Greater New Haven Chamber of Commerce](#)

REX Development (AKA, South Central Connecticut Regional Economic Development Corporation) is the Economic Development District for South Central Connecticut. This public/private partnership promotes economic development for the fifteen towns of the South Central Connecticut Regional Council of Governments: Bethany, Branford, East Haven, Guilford, Hamden, Madison, Meriden, Milford, New Haven, North Branford, North Haven, Orange, Wallingford, West Haven and Woodbridge. To encourage economic growth and vitality, REX develops and supports programs and policies aimed at making the region more competitive in the global economy. We actively provide stakeholder education, technical support to towns, developers and businesses and work with a wide variety of issues related the region's Comprehensive Economic Development Strategy.

The Greater New Haven Chamber of Commerce is a regional business association covering New Haven and fourteen surrounding communities. The Chamber is a provider of economic development services for the region, working with member and nonmember businesses. These economic development services include a full-time staff position and a business retention and expansion program that conducts 100 business interviews each year. The data from these meetings inform our economic development plan and role with the region's Comprehensive Economic Development Strategy.

The Chamber is a primary connection point between its 1200-plus members and regional and local partners, including six universities. The Chamber works closely with the CT Small Business Development Center to offer resources to business. During the pandemic the Chamber has served as a business assistance center, working with any business in our region to provide support. The primary vehicle has been direct business outreach through phone and Zoom calls.

In response to COVID-19 crisis, REX Development will partner with Greater New Haven Chamber of Commerce; municipal economic development officials; workforce organizations; and regional and state agencies and stakeholders to develop new strategies and action items for the main areas of our CEDS- Business Development & Recruitment; Workforce & Housing; Infrastructure; Real Estate, Land Use & Development; and Marketing, Communications & Stakeholder Education. The pandemic has changed how we define a disaster. In light of this, REX will utilize a portion of funding for additional organizational capacity to perform a current SWOT analysis, initiate a resource inventory, and apply experiential knowledge to update many components of the CEDS with initial emphasis on the disaster recovery and economic resiliency. The recovery from the pandemic will require a bold plan with new priorities created in conjunction with all of our CEDS stakeholders.

Critical components of this work will include building off of the Chamber's business outreach programs. We have found that working directly with businesses provides vital information needed to inform our decisions, and it's the best way to interact with a business and get them the type of assistance that they really need. Both organizations are redoubling our efforts to reach minority-owned businesses and support wealth development in low income neighborhoods. Creating opportunities for longstanding businesses to connect with minority-owned businesses and also mentorship are key components of this

strategy. Finally, we will be working with our partners to strengthen talent pipelines to make our workforce a competitive advantage for our region.

#### Western Connecticut Economic Development District

This grant will allow the Western Connecticut Economic Development District to update and reposition its Comprehensive Economic Development Strategy to adapt to the COVID-19 pandemic. The grant will allow them to upgrade their organizational capacity support for coronavirus response, including technology costs and personnel costs for staff members directly working on or supporting the work of the organization's coronavirus-related economic development response, including additional hiring as needed.

Based on the information gathering and assessment work performed by the Global Resilience Institute and the Council of Governments, the EDD will also conduct industry-specific studies to assist with COVID recovery. These studies will help to realign the region's economic development strategy with the region's new economic conditions. They will also provide much-needed technical assistance to the industries they cover.

#### Northeastern Connecticut Economic Development District

This grant will allow the Northeastern Connecticut Economic Development District to update and reposition its Comprehensive Economic Development Strategy to adapt to the COVID-19 pandemic. The grant will allow them to upgrade their organizational capacity support for coronavirus response, including technology costs and personnel costs for staff members directly working on or supporting the work of the organization's coronavirus-related economic development response, including additional hiring as needed.

Based on the information gathering and assessment work performed by the Global Resilience Institute and the Council of Governments, the EDD will also conduct industry-specific studies to assist with COVID recovery. These studies will help to realign the region's economic development strategy with the region's new economic conditions. They will also provide much-needed technical assistance to the industries they cover.

### B.3.b. Describe the economic conditions of your region. Define the economic development need to be addressed by the proposed EDA investment and explain how the proposed investment will address that need.

COVID-19 has severely impacted the State of Connecticut. As of June 11<sup>th</sup>, Connecticut has had 44,461 confirmed cases and 4,146 deaths, 7<sup>th</sup> and 9<sup>th</sup> highest in the United States, respectively. According to Johns Hopkins University, three counties in Connecticut (Fairfield, Hartford and New Haven) are in the Top 50 of Confirmed Cases by County and in the Top 20 Counties by Number of Deaths. Connecticut's vulnerability to COVID-19 was due to numerous factors, including our proximity to New York City and our population density (4<sup>th</sup> densest state in the nation).

To combat COVID-19, the State of Connecticut issued a Declaration of Civil Preparedness and Public Health Emergency in March. Since that time the State has shed 288,400 jobs, a historic job loss, greater than the combined loss in jobs experienced in the economic recessions of the early 1990s and 2008 to

2010. In the May Labor Situation Report, CTDOL's Office of Research estimates the unemployment rate to be in the range of 19% for the mid-March to Mid-May period, which was based on Connecticut's residential unemployment insurance claims and adding similar factors for the unemployed self-employed. If CTDOL's Office of Research 19% unemployment rate estimates are correct, it would put Connecticut at the 4th highest unemployment rate in the country behind only Michigan, Nevada and Hawaii (U.S. Bureau of Labor Statistics – State Unemployment, May 2020).

As shocking as this economic data is, the information does not accurately depict the severity of the economic conditions in Connecticut. The impact of COVID-19 has affected all sectors of the state's economy. While many businesses responded by instituting remote work, this option was not feasible for a large proportion of the state's businesses, and they were forced to lay-off or furlough workers. Many businesses may rehire all employees once they are able to re-open, but others will reopen with a reduced workforce and may not reopen at all. Many small businesses along the Connecticut shoreline that depend primarily on seasonal tourism revenues are particularly vulnerable to the COVID-19 disruptions and are struggling to survive. The Leisure and Hospitality industry had already lost 34% of its jobs as of June 2020.

Connecticut has been especially hard hit, in part, because of its slow and mixed recovery from the 2008 recession. While private sector job losses were fully recovered prior to COVID-19, the state's overall employment never recovered. To balance budgets, significant cuts were made to public sector positions (traditionally well-paying and stable), leaving Connecticut with a roughly 88% job recovery rate. Furthermore, the jobs that Connecticut recovered were less resilient than those it lost. During the 2008 recession, Connecticut lost 54,000 high-wage jobs but only recovered 8,900 of them. In contrast, it lost 39,000 low-wage jobs but recovered 49,000 of them. This lop-sided recovery has left Connecticut's workforce more dependent on low-wage jobs that tend to be in essential, service-oriented industries that were especially hard hit by the COVID-19 pandemic.

#### B.4. Applicant's capability

The Capitol Region Council of Governments (CRCOG) is serving as the lead applicant and fiduciary for this FY 2020 EDA Public Works and Economic Adjustment Assistance Programs including CARES Act Funding and has been a recipient of federal assistance under a wide range of programs. CRCOG has successfully administered and implemented federal and state funded transportation, economic development and environmental projects. Of note was a \$4 million bi-state HUD Sustainable Communities grant-funded project that CRCOG completed in 2014. Our organization has the experience and professional staff to ensure timely completion of the Regional Long-Term Recovery efforts being proposed in this funding application. Our successful track record of effectively receiving and implementing formula and competitive grant agreements is led by Tim Malone, who has led two previous EDA-funded CEDS updates as well as an EDA-funded economic resilience plan for another region. Additional support for the program will be provided by Pauline Yoder and Lynne Pike DiSanto. They both have strong and successful backgrounds in project management will ensure that project deliverables and requirements are met.

In addition to our experience, all nine Regional Council of Governments in Connecticut have similar experience in administering federal assistance programs. Each COG has identified a Point of Contact that will work closely with our staff in carrying out the Regional Long-Term Recovery.

## B.5. List and describe the strategic partners and organizations to be engaged in this project

The following partners will be engaged in the Regional Long-Term Recovery Coordination and Economic Recovery Planning & Implementation:

- **Capitol Region Council of Governments (Lead Applicant & Fiduciary):** CRCOG is a voluntary organization formed to initiate and implement regional programs of benefit to the towns and the region. It is guided by the chief elected officials of the 38 Metro Hartford municipalities. CRCOG assists members improve governmental efficiency, and promote efficient transportation systems, responsible land use, preservation of land and natural resources, and effective economic development.
- **Connecticut Metropolitan Council of Governments:** MetroCOG is a multi-discipline regional planning organization that works with its municipal partners to identify projects, programs, and funding opportunities. Its core disciplines include transportation, land use, environmental, brownfields assessment, economic development, regional shared services and GIS services. It is comprised of six municipalities centered on the City of Bridgeport.
- **Naugatuck Valley Council of Governments:** The NVCOG is a forum for elected officials, established by state statute, to perform a wide range of regional planning activities, including transportation, economic development, land use, emergency management, and environmental, and sets regional priorities for a variety of federal and state funding programs. The region is comprised of 19 cities and towns, and centered on the City of Waterbury.
- **Western Connecticut Council of Governments:** WestCOG provides transportation, environmental, economic, and other planning services to the 18 municipalities in western Connecticut. The region is a gateway to Connecticut from the New York metropolitan area. The principle cities in the region are Stamford, Danbury and Norwalk.
- **South Central Regional Council of Governments:** The SCRCOG is a forum of 15 cities and towns centered on the City of New Haven. It brings together local governments to coordinate land use and transportation planning on a regional basis, and works to foster communication and collaboration among its member municipalities in identifying and addressing these and other regional issues.
- **Northwest Hills Council of Governments:** NHCOG is a rural region located in northwestern Connecticut and is comprised of twenty-one municipalities. It is a forum for local officials to discuss issues of intermunicipal concern, promote regional cooperation, and direct various regional initiatives. The NHCOG's focus areas include regional transportation planning, regional growth planning and is involved in planning for housing, economic development, emergency management and natural hazard mitigation.
- **Northeastern Connecticut Council of Governments:** NECCOG is a rural area in northeastern Connecticut, comprised of 16 towns. NECCOG is focused on achieving results for its member towns through regionalism. These programs include: transportation planning and project assistance; engineering; land use planning and regulation development; economic development; administrative assistance; animal services; transit administration; property revaluation; emergency preparedness; geographic information services and paramedic intercept services.

- **Southeastern Connecticut Council of Governments:** SCCOG represents twenty-two towns, cities, and boroughs in Southeastern Connecticut, centered on the New London-Norwich urban area. It was formed to provide a basis for intergovernmental cooperation in dealing with a wide range of issues. Its primary focus areas are: regional planning; transportation planning; setting priorities for State and Federal transportation funding; and providing regional services
- **Lower Connecticut River Valley Council of Governments:** The RiverCOG is a forum for communication and cooperation across municipal boundaries, facilitating the identification of common issues and the pursuit of effective responses to regional challenges. RiverCOG is comprised of 17 cities and towns and brings together local governments to support shared regional services and coordinated planning
- **State of Connecticut Department of Economic & Community Development:** DECD is the state's lead agency responsible for strengthening Connecticut's competitive position in the rapidly changing, knowledge-based global economy. The agency supports and promotes existing businesses, attracts new businesses and jobs, strengthens Connecticut's communities by providing funding and technical support economic development projects, helps eliminate brownfield properties, and works to make tourism and the arts and culture economic contributors.
- **State of Connecticut Division of Emergency Management & Homeland Security:** DEMHS is a division of the CT Department of Emergency Services and Public Protection. It works closely with local, state, federal, tribal, and private sector partners to provide a coordinated, integrated program for statewide emergency management and homeland security. DEMHS directs and coordinates all available resources to protect the life and property of Connecticut's residents in the event of a disaster or crisis, whether natural or manmade. Five regional emergency planning areas have been designated that provide the framework for collaborative program of prevention, planning, preparedness, response, recovery, mitigation, and public education.
- **Global Resiliency Institute at Northeastern University and The McChrystal Group:** GRI is an interdisciplinary institute affiliated with Northeastern University in Boston. It is committed to developing and deploying practical and innovative tools, applications, and skills that strengthen the resilience of individuals, communities, infrastructure, systems, networks, and societies. GRI is comprised of resilience experts from academia, government, and industry, empowering its ability to solve the world's most pressing resilience challenges. The GRI has partnered with The McChrystal Group, an advisory services, management consulting, and leadership development firm.
- **AdvanceCT:** AdvanceCT is a nonprofit organization that works to engage, retain and recruit businesses and advance overall economic competitiveness in Connecticut. It works in collaboration with the DECD to build a place where business, government, higher education, and nonprofits come together to implement high impact and inclusive economic development solutions for the state. They assist businesses to start, expand and relocate in Connecticut
- **MetroHartford Alliance** The MetroHartford Alliance is an investor-based economic development partnership focused on business development and growth. It works to retain and attract jobs and talent in targeted sectors, and promotes and supports economic development to ensure a strong urban core.

- **Bridgeport Regional Business Council:** BRBC is the Chamber of Commerce for the City of Bridgeport and towns of Stratford and Trumbull. It works with its membership to increase the economic opportunities for the people of the Bridgeport Region, create an environment for business expansion, retention, and recruitment, and identify economic development projects that are part of an overall economic development vision of the region. The focus of BRBC is ensuring the economic growth and stability of the City of Bridgeport, which is the key to the growth and stability of the region.
- **REX Development:** REX Development serves as the federally designated EDD for the 15-town Greater New Haven area. It offers a full range of economic development services, including maintaining the CEDS and providing oversight on progress towards its stated goals and objectives. REX helps businesses wanting to expand or relocate to the region.
- **Greater New Haven Chamber of Commerce:** GNHCC is a member-supported Chamber of Commerce serving the Greater New Haven area. It supports economic development, assists businesses to grow and retain employees, and provides resources to promote economic growth.
- **Western Connecticut Economic Development District:** The WCEDD guides the implementation of the Western CT CEDS, prepared and adopted in 2017. The group is a mix of public and private sector stakeholders and influencers in the region. The purpose of the WCEDD includes setting goals and strategies for the economic development of the District, as well as the identification of candidate projects of potential funding
- **Northeastern Connecticut Economic Development District:** The NECEDD guides the development and implementation of the Northeastern CT CEDS. The CEDS Strategy Committee is a mix of public and private sector stakeholders in the region. The purpose of the NECEDD includes setting goals and strategies for the economic development of the District, as well as the identification of candidate projects of potential funding.

## B.6. Describe the investment (project) impact and fit with EDA funding priorities

Our investments of Regional Long-Term Recovery Coordination and Long-Term Economic Recovery Planning and Implementation align with the Economic Development Administration's *"Recovery and Resilience"*, *"Workforce Development & Manufacturing"* and *"Opportunity Zones"* Investment Priorities.

Each Regional Recovery Committee will consist of a wide range of diverse stakeholders from regional and municipal chambers of commerce, business and financial service providers, social service organizations, higher education, health care, community and cultural organizations that will facilitate economic recovery and resiliency between the public, private and non-profit sectors. Each Committee will be responsible for the identification of regional unmet needs and determining the appropriate resources and policies to address those concerns, with a focus on our most vulnerable populations. Committees will also assist in other local, state, and federally led coronavirus recovery planning efforts; help in sourcing grant-eligible projects and programs with state and federal resources to ensure eligible entities take full advantage of available funding opportunities; and with fostering private and non-profit partnership opportunities.

The Regional Long-Term Recovery Committees will work to identify critical infrastructure projects with a particular focus on increasing broadband access, as well as energy, water, sewer, and transportation



projects that support long-term recovery through job creation and building a more sustainable and resilient economic infrastructure that advances a more equitable and prosperous economy, particularly for underserved communities. Other efforts will focus on the development of workforce training programs and retraining strategies so that local businesses can begin to rehire and reopen, and more importantly innovate and diversify to absorb future economic shocks. Working in collaboration with our Regional Workforce Development Boards and Chambers of Commerce, EDA funds will be used for capacity building workshops and programs for small and medium sized businesses; and the creation of industry-specific recovery and resiliency plans that focus on the attraction and retention of Connecticut's most critical economic sectors.

Although COVID-19 has significantly impacted every community in the State of Connecticut, some jurisdictions have been more disproportionately impacted, including communities with federally designated Opportunity Zones. The State of Connecticut has 72 Qualified Opportunity Zones in 27 of Connecticut's 169 municipalities. Those 27 municipalities account for 27,281 of our 44,461 COVID-19 Confirmed or Probable Cases (61.4%) and 2,105 of the 4,146 COVID-19 Associated Deaths (51%). Many residents of those communities lacked the opportunity to work from home, thus were faced with layoffs and furloughs, which exacerbated their challenges dealing with a lack of affordable, safe and healthy housing, food security and access to adequate health care. As part of both our Regional Long-Term Recovery Coordination and Long-Term Economic Planning, the Regional Councils of Governments, the Global Resilience Institute and our other institutional partners (State of Connecticut Department of Economic & Community Development, Division of Emergency Management & Homeland Security) will focus the economic recovery efforts on those communities, and more specifically those census tracts that already faced economic hardship prior to COVID-19 and who are now facing even more severe financial burden amid a global pandemic.



## B.7. Identify the proposed time schedule for the project

The proposed schedule for the project starts on January 1, 2021 and continues for 24 months.

|  | Jan 21 | Feb 21 | Mar 21 | Apr 21 | May 21 | June 21 | July 21 | Aug 21 | Sept 21 | Oct 21 | Nov 21 | Dec 21 | Jan 22 | Feb 22 | Mar 22 | Apr 22 | May 22 | June 22 | July 22 | Aug 22 | Sept 22 | Oct 22 | Nov 22 | Dec 22 |
|--|--------|--------|--------|--------|--------|---------|---------|--------|---------|--------|--------|--------|--------|--------|--------|--------|--------|---------|---------|--------|---------|--------|--------|--------|
| <b>1. Long-Term Recovery Committees</b>  |        |        |        |        |        |         |         |        |         |        |        |        |        |        |        |        |        |         |         |        |         |        |        |        |
| Develop baseline data for assessing the current condition of community resilience                      |        |        |        |        |        |         |         |        |         |        |        |        |        |        |        |        |        |         |         |        |         |        |        |        |
| Survey/interview local businesses about needs  |        |        |        |        |        |         |         |        |         |        |        |        |        |        |        |        |        |         |         |        |         |        |        |        |
| Staff regional long-term recovery committees   |        |        |        |        |        |         |         |        |         |        |        |        |        |        |        |        |        |         |         |        |         |        |        |        |
| Develop and implement near-term recovery projects  |        |        |        |        |        |         |         |        |         |        |        |        |        |        |        |        |        |         |         |        |         |        |        |        |
| <b>2. Long-Term Recovery/Resilience Planning</b>   |        |        |        |        |        |         |         |        |         |        |        |        |        |        |        |        |        |         |         |        |         |        |        |        |
| Analyze data   |        |        |        |        |        |         |         |        |         |        |        |        |        |        |        |        |        |         |         |        |         |        |        |        |
| Develop strategies   |        |        |        |        |        |         |         |        |         |        |        |        |        |        |        |        |        |         |         |        |         |        |        |        |
| Solicit input on draft strategies  |        |        |        |        |        |         |         |        |         |        |        |        |        |        |        |        |        |         |         |        |         |        |        |        |
| Finalize strategies  |        |        |        |        |        |         |         |        |         |        |        |        |        |        |        |        |        |         |         |        |         |        |        |        |
| Begin implementation phase   |        |        |        |        |        |         |         |        |         |        |        |        |        |        |        |        |        |         |         |        |         |        |        |        |
| <b>3. Regional Business Resource Centers</b>   |        |        |        |        |        |         |         |        |         |        |        |        |        |        |        |        |        |         |         |        |         |        |        |        |
| Appoint management team  |        |        |        |        |        |         |         |        |         |        |        |        |        |        |        |        |        |         |         |        |         |        |        |        |
| Identify locations   |        |        |        |        |        |         |         |        |         |        |        |        |        |        |        |        |        |         |         |        |         |        |        |        |
| Provide services through BRCs  |        |        |        |        |        |         |         |        |         |        |        |        |        |        |        |        |        |         |         |        |         |        |        |        |
| Identify additional funding to continue functions  |        |        |        |        |        |         |         |        |         |        |        |        |        |        |        |        |        |         |         |        |         |        |        |        |
| <b>4. Statewide Business Retention and Expansion</b>   |        |        |        |        |        |         |         |        |         |        |        |        |        |        |        |        |        |         |         |        |         |        |        |        |
| Procure updated CRM system   |        |        |        |        |        |         |         |        |         |        |        |        |        |        |        |        |        |         |         |        |         |        |        |        |
| Procure vendor to update emergency contact information   |        |        |        |        |        |         |         |        |         |        |        |        |        |        |        |        |        |         |         |        |         |        |        |        |
| Procure data vendor  |        |        |        |        |        |         |         |        |         |        |        |        |        |        |        |        |        |         |         |        |         |        |        |        |
| Procure statewide communications platform  |        |        |        |        |        |         |         |        |         |        |        |        |        |        |        |        |        |         |         |        |         |        |        |        |
| Utilize new capabilities to expand BRE efforts   |        |        |        |        |        |         |         |        |         |        |        |        |        |        |        |        |        |         |         |        |         |        |        |        |
| <b>5. Reimagining Connecticut's Innovation Corridor (CIC)</b>  |        |        |        |        |        |         |         |        |         |        |        |        |        |        |        |        |        |         |         |        |         |        |        |        |
| Create inventory of resources, including incubators and co-location spaces                             |        |        |        |        |        |         |         |        |         |        |        |        |        |        |        |        |        |         |         |        |         |        |        |        |
| Identify opportunities for co-location   |        |        |        |        |        |         |         |        |         |        |        |        |        |        |        |        |        |         |         |        |         |        |        |        |
| Conduct outreach to small and medium sized businesses, focusing on women and minority-owned businesses |        |        |        |        |        |         |         |        |         |        |        |        |        |        |        |        |        |         |         |        |         |        |        |        |
| <b>6. Regional CEDS Implementation</b>   |        |        |        |        |        |         |         |        |         |        |        |        |        |        |        |        |        |         |         |        |         |        |        |        |
| Implement CEDS Strategies  |        |        |        |        |        |         |         |        |         |        |        |        |        |        |        |        |        |         |         |        |         |        |        |        |
| Conduct CEDS-supportive studies  |        |        |        |        |        |         |         |        |         |        |        |        |        |        |        |        |        |         |         |        |         |        |        |        |

### B.8.a. Please describe the economic impacts of the project:

The consolidated and collaborative statewide effort will build the custom tools necessary to aid in the long-term recovery and resiliency of Connecticut's economy. It is critical that this project work from the bottom, up. We must first understand the full extent of the economic impact, especially to what extent the pandemic has devastated small and medium businesses located in underserved and distressed neighborhoods and communities. These businesses are much less able to respond to and recover from the economic downturn caused by the health crisis. The project will reach out to these areas and survey businesses to identify unmet needs. Once the unmet needs and gaps are known, resources can be allocated.

- Economic Outcome: Baseline inventory of small and medium businesses, with a special focus on businesses location in the state's opportunity zones, underserved and distressed communities. And minority-owned businesses.
- Economic Outcome: Increase opportunities in areas designated as or adjacent to Opportunity Zones and/or Enterprise Zones and leverage existing assistance programs to provide additional support to companies that operate or locate in economically distressed communities.

The project will also strengthen economic diversity to lessen the impacts and swings of future economic disruptions. A key action is to establish Business Resource Centers in nine cities throughout the state to assist small and medium businesses develop the new skills needs to retain employees and attract new talent. These BRCs will be located in underserved and distressed communities and evolve into permanent centers that will be able to counsel the businesses most affected by the COVID-19 pandemic. The Connecticut Innovation Corridor project will expand linkages of small, innovative companies and also strengthen the small-business and startup ecosystem in the state by providing greater resources to small companies and creating methods to make-up for lost opportunities for co-locating, networking and per-to-peer interactions.

- Economic Outcome: Reduce and prevent permanent business closures.
- Economic Outcome: Increase the success rate for start-up companies and businesses, including those locating in underserved and distressed areas or are minority, woman or veteran owned.
- Economic Outcome: Retain employees and create new job opportunities to attract talent.
- Economic Outcome: Workforce development to retrain workers displaced by the COVID-19 pandemic.
- Economic Outcome: Increase incomes and create living wages for racial and ethnic minorities.

The project will help to better align the plans and processes of a variety of regional stakeholders, producing better responses to future incidents. For example, the long-term recovery committees include representatives of the state's traditional disaster/emergency response apparatus, as well as representatives from private industry and economic development. This greater alignment will produce a more coordinated response to future disasters and emergencies.

- Economic Outcome: Better and faster response to future economic disruptions will lead to a reduction in business closures.

Each region will also be realigning and implementing their respective Comprehensive Economic Development Strategy (six of them will be funded with this grant, while three others will be funded through non-competitive EDA funding). This will allow those regions to re-examine the assumptions and strategies that underly their CEDS. It will also result in industry-specific assistance that will provide technical assistance to hard-hit industries. For example, in the New Haven area, the arts sector has been particularly hard-hit by the pandemic. Many arts organizations have either folded or are on the brink. This sector is important economically due to the tourism dollars it attracts, as well as its harder to quantify place-making attributes. REX Development will be engaging closely with this industry to craft specific strategies to assist with recovery and resilience.

- Economic Outcome: CEDS with repositioned strategies that respond to new economic conditions.
- Economic Outcome: Industry sectors with improved plans and strategies for strengthening resilience and improving the speed of recovery.
- Economic Outcome: Fewer closures and job losses from future disasters/emergencies.

Finally, the state will upgrade and improve its business retention, expansion, and attraction (BREA) activities and infrastructure. Based on the assessments done by the COGs and the Global Resilience Institute, as well as industry-specific studies conducted by the EDDs, BREA efforts will focus on creating greater resilience for industry sectors. For example, ensuring that adequate suppliers are present for key manufacturers will help the state retain large companies in this sector and help to reduce disruptions in the future. Efforts will also be made to broaden the economy in each region, creating more resilience.

- Economic Outcome: Improved supply lines for key industry sectors.
- Economic Outcome: Broader economic base that is more resilient to economic disruptions.

# Budget Information

## D.1. Budget justification

*Provide a clear budget justification that identifies how funds in each line item of the budget will be utilized to support the proposed project. Explain the proposed use of any amounts budgeted for "Equipment," "Contractual," or "Other," if any, on Form SF-424A, Budget Information - Non-Construction Programs.*

### COG Staff Time

#### Recovery Coordinators

Budget: \$3,337,30

This portion will fund regional economic recovery coordinators in each of the nine regions of Connecticut. The primary task of the coordinators will be to lead, coordinate and provide staff support for the Regional Long-Term Recovery Steering Committees established in response to the COVID-19 emergency. The committees will:

- Assist in local, state, and federally led coronavirus recovery planning efforts that advance equity and resilience among the state's most highly impacted communities;
- Help identify economic development grant-eligible projects with state and federal resources for locally impacted communities to ensure these entities take full advantage of available funding opportunities;
- Identify and foster private and non-profit partnership opportunities to support the financing of economic development projects including leveraging the state's 72 Qualified Opportunity Zones;

The budget was developed as follows:

- Each COG determined the time spent working on COVID-19 response and planning between March 1, 2020 and June 30, 2020;
- Based on the above, each COG estimated the time they would spend on COVID-19 over the next six-month period, which is being funded by the State's CARES Act block grant;
- The six-month estimate was projected out over the 24-month grant period in this proposal.

As the lead on the grant, CRCOG's budget includes staff time, fringe, and overhead. The breakdown is as follows:

| Function                  | Year 1       | Year 2       | Total        |
|---------------------------|--------------|--------------|--------------|
| Capitol Region Staff Time | \$264,646.00 | \$264,646.00 | \$529,292.00 |
| Capitol Region Admin      | \$125,000.00 | \$125,000.00 | \$250,000.00 |
|                           |              |              | \$779,292.00 |

| <u>Council of Governments</u> | Labor        |              | Request        |
|-------------------------------|--------------|--------------|----------------|
|                               | Year 1       | Year 2       |                |
| Metropolitan                  | \$143,231.85 | \$143,231.85 | \$286,464.00   |
| Naugatuck Valley              | \$127,379.27 | \$136,307.19 | \$263,686.00   |
| Northeast                     | \$164,956.00 | \$164,956.00 | \$329,912.00   |
| Northwest Hills               | \$111,912.00 | \$111,912.00 | \$223,824.00   |
| RiverCOG                      | \$168,060.00 | \$168,060.00 | \$336,120.00   |
| South Central Regional        | \$149,578.00 | \$154,224.00 | \$303,802.00   |
| Southeastern                  | \$202,510.00 | \$202,510.00 | \$405,020.00   |
| WestCOG                       | \$204,600.00 | \$204,600.00 | \$409,200.00   |
| <b>COG Total</b>              |              |              | \$2,558,028.00 |

## Global Resilience Institute

### Long-term Economic Recovery Planning

Budget: \$2,325,000; Federal: \$2,000,000; Match: \$325,000

The COGs will partner with the Global Resilience Institute (GRI) at Northeastern University, to assist with developing and testing a statewide Long-Term Economic Recovery and Resilience Strategy and Action Plan. GRI is an interdisciplinary institute committed to developing and deploying practical and innovative tools, applications, and skills that strengthen the resilience of individuals, communities, infrastructure, systems, networks and societies. GRI has developed an Integrated Resilience Enhancement System (I-RES) process that uses a data collection and community engagement process: (1) to understand the baseline economic and social challenges of communities and regions; (2) to assess existing Comprehensive Economic Development Strategies (CEDS) and any other economic development planning already in place through a “resilience lens;” and (3) to assist the COGs in creating a long-term resilient economic development strategy and implementing plans.

Most of the work done by GRI will be funded through a grant from the State of Connecticut. The work performed in this proposal would be based on implementing recommendations developed during the six months of work conducted under the state grant.

***See the GRI-budget.pdf attachment for a complete budget of GRI’s federal request.***

## AdvanceCT

### Business Resource Centers

Budget: \$1,200,000; Federal: \$960,000; Match: \$240,000

Regional Business Resource Centers (BRCs) will be set up in nine (9) locations across Connecticut (leveraging existing office space, facilities, and staff). where small/medium-sized businesses (SMEs) can receive multifaceted business recovery assistance to respond to the economic devastation triggered by the effect of the pandemic on Connecticut’s economy.

The BRCs will prioritize establishing operations in underserved and distressed communities, and communities disproportionately affected by the pandemic, with the intent to evolve into permanent resource centers supporting the survival and growth of “Main Street” and SME businesses.

|  |           |
|--|-----------|
| Kick-off and coordination session with municipalities and partnering organizations to brief the various teams and partners on BRCs and the engagement plan | \$20,000  |
| Communication: bilingual PSA marketing and communication outreach to inform businesses in each BRC community location about the services available to them | \$220,000 |
| Website: bilingual website with online meeting reservation and other functions   | \$50,000  |
| Printed Materials: bilingual marketing materials   | \$90,000  |
| CRM System - to input business interactions, schedule follow-up and track of outcomes  | \$60,000  |
| Office Equipment and Supplies  | \$90,000  |
| Program Administration and Management: to define needs of each business interacting with the BRCs, matching them with services and support                 | \$90,000  |
| Coordinator: schedule management and expert recruitment  | \$75,000  |
| Co-location contribution for facilities in chambers of commerce offices and other municipal organizations and operations                                   | \$180,000 |
| Development of Evaluation criteria and process   | \$30,000  |
| Follow-up and field? work  | \$55,000  |

#### Matching Funds Breakdown (in-kind services and assets)

|   |           |
|---|-----------|
| Staffing hours contributed by AdvanceCT and DECD in the form of professional assistance, guidance and counsel that is provided to the state’s companies | \$240,000 |
|---|-----------|

#### Business Retention and Expansion (BRE): EMERGENCY PREPAREDNESS

Budget: \$300,000; Federal: \$240,000 ; Match: \$60,000

As part of Connecticut’s business recovery initiative, AdvanceCT and the Connecticut Department of Economic and Community Development (DECD) will upgrade their business retention and business expansion (BRE) operations to accommodate the increased need for business assistance across the state.

When the coronavirus pandemic forced an unexpected shutdown of the state’s economy, we discovered there was no set process or mechanism in place to communicate with the leadership of Connecticut’s employers in emergency situations. Our customer relationship management databases had office contact details, but there was no comprehensive repository of emergency contact information such as mobile telephone numbers.

To ensure that our state’s businesses have timely access to the most critical information disseminated during a crisis, to facilitate two-way communication to our staff, and the team at the DECD, and to create an efficient, unified channel for broadcasting updates with information that is important to companies’ operations, we are implementing improvements across our BRE protocols and practices.

### Federal Grant Funding Breakdown

|  |           |
|--|-----------|
| Upgrades to AdvanceCT's CRM system with new added modules and data capture fields  | \$40,000  |
| Procurement of vendor to compile data for SMEs across Connecticut, including emergency contact details for each of Connecticut's major and SME employers   | \$150,000 |
| Procurement of a text and automated call platform to communicate with Connecticut employers about important, business related information, plus potential emergencies that would affect their operations or businesses | \$50,000  |

### Matching Funds Breakdown (in-kind services and assets)

|   |          |
|---|----------|
| Staffing hours contributed by AdvanceCT and DECD in the form of program management and managing the procurement process for data compilation and the communication platform | \$60,000 |
|---|----------|

### Reimagining Connecticut's Innovation Corridor (CIC)

Implementing Partners: AdvanceCT; DECD; Universities; Industry Associations

Budget: \$630,000; Federal: \$504,000; Match: \$126,000

Connecticut currently has an Innovation Corridor, consisting of Biotech companies in the Stamford /New Haven /Hartford area, Insurtech companies in the Hartford area, and Software/Digital media companies in the Stamford area. As a result of the coronavirus pandemic, many start-up SMEs are experiencing hardships and closures. The Reimagining CIC program focuses on innovation placemaking and efforts to shore-up Connecticut's Bioscience, Insurtech, and Technology sectors with particular focus on supporting start-ups.

The program will identify opportunities to furnish physical innovation infrastructure in one (or more) distressed communities, thereby extending the Innovation Corridor to include economically challenged cities like Bridgeport and New London. By providing support to small innovation companies and start-ups, and by expanding Connecticut's Innovation Corridor to distressed communities, new opportunities will emerge to support individuals and start-ups in some of Connecticut's underserved communities.

### Federal Grant Funding Breakdown

|   |           |
|---|-----------|
| Develop a detailed directory of physical space and incubators   | \$25,000  |
| Create co-location opportunities for start-ups  | \$50,000  |
| Create funding platforms  | \$240,000 |
| Attract more small companies and start-ups to Connecticut   | \$74,000  |
| Identify/recruit minority owned start-ups to participate in funding, networking and support opportunities | \$70,000  |
| Work to promote and encourage the start-up mindset in underserved communities                             | \$45,000  |

### Matching Funds Breakdown (in-kind services and assets)

|   |           |
|---|-----------|
| Staffing hours contributed by AdvanceCT and DECD in the form of program management and managing the procurement process for data compilation and the communication platform | \$126,000 |
|---|-----------|

### CEDS Implementation

| Organization                           | Year 1    | Year 2    | Year 1 Contractual | Year 2 Contractual | Match     | Total     |
|--|-----------|-----------|--------------------|--------------------|-----------|-----------|
| Bridgeport Regiona Business Council    | \$200,000 | \$200,000 |                    |                    |           | \$400,000 |
| NECOG Economic Development District    | \$200,000 | \$200,000 |                    |                    |           | \$400,000 |
| RiverCOG Economic Development District | \$100,000 | \$100,000 | \$100,000          | \$100,000          |           | \$400,000 |
| WestCOG Economic Development District  | \$100,000 | \$100,000 | \$100,000          | \$100,000          |           | \$400,000 |
| MetroHartford Alliance                 | \$200,000 | \$200,000 |                    |                    | \$200,000 | \$600,000 |
| REX Development                        | \$200,000 | \$200,000 |                    |                    | \$200,000 | \$600,000 |

### D.2. Indirect costs

Indirect costs include overhead and indirect expenses, such as: office rent & upkeep, utilities, accounting, administration, etc. The quoted indirect cost rate of \$1.09 per dollar of direct payroll is based on allowable costs outlined in OMB Circular A-87. CRCOG has an established BFO (burden, fringe, and overhead) rate agreement with Connecticut State Department of Transportation (CTDOT) (currently 159%); indirect cost rate was calculated from that rate. See attachment 2, Indirect Cost Rate Items, for a list of items included in the indirect rate.

### D.3. Key applicant staff

Staff directly working on the project will be:

Project Manager: Timothy Malone

Timothy Malone is a Principal Planner with CCRCOG and has experience economic development, transportation, and community planning. Prior to joining CRCOG in 2015, he managed the Economic Development Program for the Central Connecticut Regional Planning Agency's Economic Development



District. He held that position for four years, and during that time he developed a new five-year CEDS for the region and successfully applied for Economic Development District status for the region. He also managed an EDA-funded project to create an Economic Resilience Plan for the region. Tim will provide overall administration of the grant.

#### Jessica Muirhead

Jessica Muirhead is the Senior Program Manager at the Capitol Region Council of Governments and has an MPA from UCONN. Jessica's primary role at CRCOG is overseeing the Capitol Region Purchasing Council (115 members). Jessica will provide support for any shared municipal services, research and analytics.

#### Kim Bona

Kim Bona is Program Manager at CRCOG, overseeing CRCOG's Information Technology Cooperative as well as providing administrative support to various departments. Kim will provide research support, website maintenance and staffing support.

#### Pauline Yoder

Pauline Yoder is the Director of Municipal Services and Special Projects. She has an MBA from the Yale School of Management. Pauline will provide strategic support for the Long Term Recovery Committees and Work Groups as well as overseeing project management and overall administration.

#### Lynne Pike DiSanto, AICP

Lynne Pike DiSanto, AICP is a Principal Planner and Policy Analyst for the Capitol Region Council of Governments (CRCOG). At CRCOG, Ms. Pike DiSanto oversees regional reviews of municipal zoning, subdivision, and plan of development referrals, and assists in the administration of the MetroHartford Brownfields Program, which is funded through grants from the U.S. EPA and CT DECD. Recently Lynne led a FEMA funded update of a regional Natural Hazards Mitigation Plan for thirty municipalities, and provided staff assistance for the bi-state HUD Sustainable Communities Regional Planning Grant for the Hartford-Springfield Knowledge Corridor, including an update of the regional plan of conservation and development. Lynne will assist with coordinating with municipal officials and will coordinate on any issues related to FEMA or brownfields.

# COUNCILS OF GOVERNMENTS IN CONNECTICUT

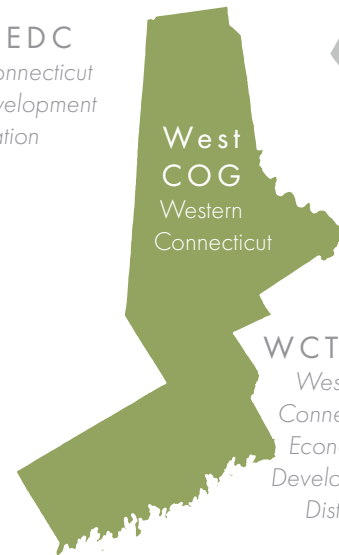
Connecticut is divided into nine planning regions, or Council of Governments (COG). Each COG is governed by a Board made up of each member municipality's Chief Elected Official. COGs are responsible for planning across areas including, land use, transportation, emergency management, environment, and economic development. This structure provides a geographic framework for municipalities to jointly address common interests, and coordinate these interests with state and federal plans and programs.

## REGIONS DEVELOPING A CEDS OR PURSUING AN EDD DESIGNATION:

## REGIONS WITH ECONOMIC DEVELOPMENT DISTRICT DESIGNATIONS



**CREDD**  
Capitol Region Economic Development District

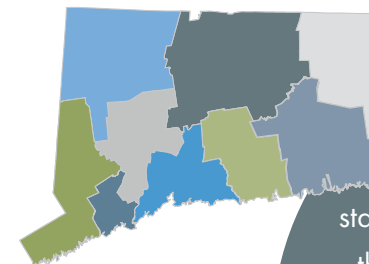
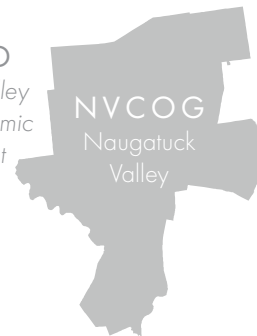


**WCTEDD**  
Western Connecticut Economic Development District



**NWCT EDC**  
Northwest Connecticut Economic Development Corporation

**NVCEDD**  
Naugatuck Valley Corridor Economic Development District



Municipalities are authorized by state statute to establish the COG governance structure. Federal, state and local funds support COG-provided services.

Individual boundaries are not to scale.

## COG CONTACT INFORMATION & MEMBER MUNICIPALITIES

### Capitol Region Council of Governments

[www.crcog.org](http://www.crcog.org)

Region 3 ~ Andover Avon Berlin Bloomfield Bolton East Granby East Hartford East Windsor Ellington Enfield Farmington Glastonbury Granby Hartford Hebron Manchester Marlborough New Britain Newington Plainville Rocky Hill Simsbury Somers South Windsor Southington Stafford Suffield Tolland Vernon West Hartford Wethersfield Windsor Windsor Locks

Region 4 ~ Columbia Coventry Mansfield Willington

### Connecticut Metropolitan Council of Governments

[www.ctmetro.org](http://www.ctmetro.org)

Region 1 ~ Bridgeport Easton Fairfield Monroe Stratford Trumbull

### Lower Connecticut River Valley Council of Governments

[www.rivercog.org](http://www.rivercog.org)

Region 2 ~ Chester Middlefield Westbrook Clinton Deep River Durham Essex Haddam Killingworth Lyme Old Lyme Old Saybrook

Region 3 ~ Cromwell East Haddam East Hampton Middletown Portland

### Naugatuck Valley Council of Governments

[www.nvcogct.org](http://www.nvcogct.org)

Region 2 ~ Ansonia Beacon Falls Bristol Cheshire Middlebury Oxford Prospect Southbury Watertown Woodbury

### Northeastern Connecticut Council of Governments

[www.neccog.org](http://www.neccog.org)

Region 4 ~ Ashford Brooklyn Canterbury Chaplin Eastford Hampton Killingly Plainfield Pomfret Putnam Scotland Sterling Thompson Union Voluntown Woodstock

### Northwest Hills Council of Governments

[www.northwesthillscog.org](http://www.northwesthillscog.org)

Region 3 ~ Burlington

Region 5 ~ Barkhamsted Canaan Colebrook Cornwall Goshen Hartland Harwinton Kent Litchfield Morris New Hartford Norfolk North Canaan Roxbury Salisbury Sharon Torrington Warren Washington Winchester

### South Central Regional Council of Governments

[www.scrkog.org](http://www.scrkog.org)

Region 2 ~ Bethany Branford East Haven Guilford Hamden Madison Meriden Milford New Haven North Branford North Haven Orange Wallingford West Haven Woodbridge

### Southeastern Connecticut Council of Governments

[www.seccog.org](http://www.seccog.org)

Region 4 ~ Bozrah Colchester East Lyme Franklin Griswold Groton Jewett City Lebanon Ledyard Lisbon Montville New London North Stonington Norwich Preston Salem Sprague Stonington Waterford Windham

### Western Connecticut Council of Governments

[www.westcog.org](http://www.westcog.org)

Region 1 ~ Darien Greenwich New Canaan Norwalk Stamford Weston Westport Wilton

Region 5 ~ Bethel Bridgewater Brookfield Danbury New Fairfield New Milford Newtown Redding Ridgefield Sherman

## CONNECTICUT STATE AGENCY PARTNERS:

### Department of Economic & Community Development

In addition to the CEDS and EDD process, COGs partner with DECD on many economic development projects, such as transit oriented development and brownfields revitalization.

### Department of Energy & Environmental Protection

Resource protection and conservation is integral to the planning, from assessing air quality impacts, to ensuring clean water and equitable access to green space and nature.

### Department of Transportation

As the federally designated recipients of transportation planning funds, COGs administer millions of dollars in local, state, and federal funding for the transportation network.

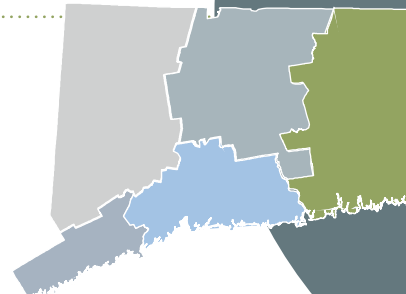
### Office of Policy & Management

The OPM Secretary is authorized by state statute to oversee and designate the boundaries of logical planning regions, or COGs.

## Division of Emergency Management & Homeland Security

Connecticut is divided into five Regional Emergency Planning Team (REPTs). The COGs work proactively with the REPTs and local stakeholders to develop emergency management protocols and procedures.

Region 1 | Southwest  
Region 2 | South Central  
Region 3 | North Central  
Region 4 | East  
Region 5 | Northwest



**Congress of the United States**  
**Washington, DC 20515**

July 28, 2020

Dana Gartzke  
Performing the Delegated Duties of the Assistant Secretary of Commerce for Economic  
Development  
U.S. Department of Commerce  
1401 Constitution Avenue, NW  
Suite 71014  
Washington, DC 20230

RE: Capitol Region Council of Governments – Economic Development Planning Assistance

Dear Mr. Gartzke:

We write in support of the application submitted by the Capitol Region Council of Governments (CRCOG) of Hartford, Connecticut to the U.S. Economic Development Administration (EDA) under the Economic Development Planning Assistance program for funding to help the State of Connecticut recover from the COVID-19 Pandemic and plan for a more resilient future.

The COVID-19 Pandemic has hit every state in the country – and Connecticut is no different. In the early months of this crisis, Connecticut experienced one of the highest infection and mortality rates in the nation. Thanks to robust mitigation efforts, the situation in our state is currently stable. However, the economic impact has been devastating. Even with a limited degree of reopening, there is no clear end in sight to the challenges many sectors of Connecticut's economy face in recovery. As a marker of the scope of the problem, the Connecticut Department of Labor estimates unemployment in our state to be as high as 17 percent – one of the highest rates in the nation.

The damage to Connecticut's economy is both widespread and deep, impacting our aerospace manufacturing, healthcare, and entertainment and hospitality sectors, to name a few. While some businesses have successfully transitioned to a 'work-from-home' format, many others have not, resulting in significant layoffs and reduced working hours. Even after the State's initial phased reopening, many businesses are struggling to return to normalcy.

Overcoming these challenges will require a concerted effort from all stakeholders. To that end, CRCOG has put forth a proposal, in coordination with all nine of Connecticut's regional councils of governments, to develop a localized, recovery-focused strategy to aid businesses and

communities in addressing their multitude of needs. This strategy includes: creating regional Business Resource Centers (BRCs) to provide business recovery counseling to businesses on their recovery plans; developing a statewide long-term recovery and resiliency plan; and, strengthening existing business/workforce development and retention programs to increase economic diversity.

In the face of the COVID-19 Pandemic and future crises, it is critical to act in a coordinated manner to ensure our economy recovers quickly, but safely, while leaving no industries behind. The proposal put forth by CRCOG is a strong step in that direction. We, therefore, respectfully request your full and fair consideration of CRCOG's request for Economic Development Planning Assistance.

Thank you for your attention to this important matter.

Sincerely,



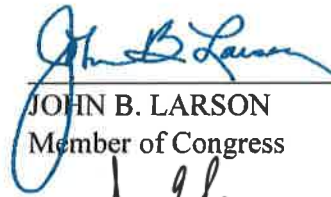
RICHARD BLUMENTHAL  
United States Senate



CHRISTOPHER S. MURPHY  
United States Senate



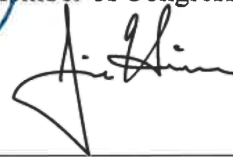
ROSA L. DELAURO  
Member of Congress



JOHN B. LARSON  
Member of Congress



JOSEPH D. COURTNEY  
Member of Congress



JIM HIMES  
Member of Congress



JAHANA HAYES  
Member of Congress



July 22, 2020

Ms. Debra Beavin  
Regional Economic Development Representative  
U.S. Department of Commerce  
Economic Development Administration  
Philadelphia Regional Office  
Robert Nix Federal Building  
900 Market Street, Room 602  
Philadelphia, Pennsylvania 19107

**RE: Commitment and Support for Connecticut CARES Act Recovery Assistance Grant**

Dear Ms. Beavin,

On behalf of the Connecticut Metropolitan Council of Governments (MetroCOG), which represents the City of Bridgeport and the Towns of Easton, Fairfield, Monroe, Stratford and Trumbull; and my economic development colleagues across the State of Connecticut, I thank you for considering our CARES Act Recovery Assistance Grant Application pursuant to Funding Opportunity Number PWEAA2020 that is designed to help communities prevent, prepare for, and respond to coronavirus.

The State of Connecticut's consolidated grant application - "*Statewide Long-Term Recovery Coordination, Resiliency Planning, Business Retention, and Business Assistance*" outlines that MetroCOG will be an implementing partner on Recovery Coordination, working closely with our region's Long-Term Recovery Committee. The work for which we are requesting funding, will contribute to Connecticut's coronavirus pandemic-related economic recovery, and strengthen our resiliency to withstand economic shocks and dislocations associated with future pandemics and other disasters.

MetroCOG is committed to working with the Capitol Regional Council of Governments (CRCOG) and the other implementing partners to advance the programs contained in this grant application. If awarded, our efforts funded under this grant will be leveraged by the considerable time and endeavors put forth by the members of our Regional Long Term Recovery Committee who will be working with us to strengthen our region and the state.

In addition, we will also be working closely with the Bridgeport Regional Business Council, the Fairfield Chamber of Commerce and the Monroe Chamber of Commerce on various economic planning initiatives aimed at responding to and recovering from the disruptions caused by COVID-19.

**Bridgeport + Easton + Fairfield + Monroe + Stratford + Trumbull**

1000 Lafayette Boulevard, Suite 925, Bridgeport, CT 06604 • 203-366-5405 • [info@ctmetro.org](mailto:info@ctmetro.org)

We respectfully request that the Economic Development Administration consider the State of Connecticut's "*Statewide Long-Term Recovery Coordination, Resiliency Planning, Business Retention, and Business Assistance*" Grant Application for funding.

Thank you for your consideration.

Sincerely,

A handwritten signature in blue ink, appearing to read 'M. Fulda', with a stylized flourish at the end.

Matt Fulda





Ashford, Brooklyn, Canterbury, Chaplin, Eastford, Hampton, Killingly, Plainfield,  
Pomfret, Putnam, Scotland, Sterling, Thompson, Union, Voluntown and Woodstock

July 28, 2020

Debra Beavin  
Regional Economic Development Representative  
U.S. Department of Commerce  
Economic Development Administration  
Philadelphia Regional Office  
Robert Nix Federal Building  
900 Market Street, Room 602  
Philadelphia, PA 19107

**RE: CARES Act Recovery Assistance Grant Funding**

Dear Ms. Beavin:

On behalf of the Northeastern Connecticut Council of Governments (NECCOG) and my economic development colleagues across Connecticut, I thank you for considering our application for CARES Act Recovery Assistance grant funding pursuant to **Funding Opportunity Number PWEAA2020** that is designed to help communities prevent, prepare for, and respond to coronavirus.

As indicated in the State of Connecticut's consolidated Grant Application, title "Statewide long-term recovery coordination, resiliency planning, business retention, and business assistance", NECCOG will be an implementing partner on the Recovery Coordinator portion of the project, working closely with our region's Long-Term Recovery Committee. The work for which we are requesting funding, will contribute to Connecticut's coronavirus pandemic-related economic recovery, and strengthen our resiliency to withstand economic shocks and dislocations associated with future pandemics.

The purpose of this letter is to convey the commitment of NECCOG to work with the Capital Region Council of Governments (CRCOG) and the other implementing partners to advance the programs contained in this grant application. Though not shown as "match" in the application, I can also assure you that this grant will help us leverage the considerable time and effort put forth by the over one dozen members of our committee who will be working to strengthen our region and the state.

We also commit to working with our local partners as delineated in our EDA approved Comprehensive Economic Development Strategy (CEDS) and implement its recommendations.

Thank you for your consideration.

Sincerely,

John Filchak  
Executive Director





**SOUTH CENTRAL REGIONAL COUNCIL OF GOVERNMENTS**

*Planning for Our Region's Future*

---

Bethany Branford East Haven Guilford Hamden Madison Meriden Milford  
New Haven North Branford North Haven Orange Wallingford West Haven Woodbridge

---

Carl J. Amento, Executive Director

July 28, 2020

Debra Beavin  
Regional Economic Development Representative  
U.S. Department of Commerce  
Economic Development Administration  
900 Market Street, Room 602  
Philadelphia, PA 19107

RE: Project Commitment and Support

Ms. Beavin,

On behalf of the South Central Regional Council of Governments (SCRCOG) and my economic development colleagues across Connecticut, I thank you for considering our application for CARES Act Recovery Assistance grant funding pursuant to Funding Opportunity Number PWEAA2020 that is designed to help communities prevent, prepare for, and respond to coronavirus.

As indicated in the State of Connecticut's consolidated Grant Application, title "Statewide long-term recovery coordination, resiliency planning, business retention, and business assistance", SCRCOG will be an implementing partner on the Recovery Coordinator portion of the project, working closely with our region's Long-Term Recovery Committee. The work for which we are requesting funding, will contribute to Connecticut's coronavirus pandemic-related economic recovery, and strengthen our resiliency to withstand economic shocks and dislocations associated with future pandemics.

The purpose of this letter is to convey the commitment of SCRCOG to work with CRCOG and the other implementing partners to advance the programs contained in this grant application. Though not shown as "match" in the application, I can also assure you that this grant will help us leverage the considerable time and effort put forth by the over one dozen members of our committee who will be working to strengthen our region and the state.

We also commit to working with our local partner, REX Development, Inc., to reposition our region's Comprehensive Economic Development Strategy (CEDS) and implement its recommendations.

Thank you for your consideration.

Sincerely,

A handwritten signature in blue ink, appearing to read "Carl Amento", is written over a light blue horizontal line.

Carl Amento  
Executive Director

---

127 Washington Avenue, 4th Floor West, North Haven, CT 06473

[www.scrcog.org](http://www.scrcog.org) T (203) 234-7555 F (203) 234-9850 [camento@scrcog.org](mailto:camento@scrcog.org)

# Western Connecticut COUNCIL OF GOVERNMENTS



July 28, 2020

Debra Beavin, Regional Economic Development Representative  
U.S. Department of Commerce  
Economic Development Administration  
Philadelphia Regional Office, Robert Nix Federal Building  
900 Market Street, Room 602  
Philadelphia, PA 19107

## **RE: Project Commitment and Support**

Dear Ms. Beavin:

On behalf of the Western Connecticut Council of Governments (WestCOG), I thank you for considering our application for a CARES Act Recovery Assistance grant per Funding Opportunity PWEEA2020 to help communities respond to and recover from the Covid-19 pandemic.

Connecticut is among the parts of the country that were struck earliest and hardest by Covid-19, both in loss of life and in loss of jobs and income. Given the need to forestall current economic damage from becoming lasting devastation, this grant application is a high priority for WestCOG.

Per the State of Connecticut's consolidated grant application, *Statewide long-term recovery coordination, resiliency planning, business retention, and business assistance*, WestCOG will be an implementing partner on the Recovery Coordinator portion of the project, working closely with regional Long-Term Recovery Committees. The work for which we seek funding will accelerate Connecticut's recovery from Covid-19 and build resiliency to withstand economic shocks and dislocations associated with future outbreaks, whether from Covid-19 or another disease.

This letter conveys WestCOG's commitment to work with CRCOG and the other implementing partners to realize the objectives of the grant application. Though not shown as "match" therein, the grant will leverage the considerable time and effort put forth by the over one dozen members of our committee who will be working to strengthen our region and the state.

WestCOG also commits to collaborating with its regional partner, the federally-designated Western Connecticut Economic Development District, further to advance to the region's Comprehensive Economic Development Strategy (CEDS), to implement its recommendations, and enhance it to address public health-related economic impacts.

Thank you for your consideration.

Sincerely,

Francis R. Pickering, Executive Director

1 Riverside Road, Sandy Hook, CT 06482

Visit us online at **[westcog.org](http://westcog.org)**  
Telephone/fax 475-323-2060



July 21, 2020

Debra Beavin  
Regional Economic Development Representative  
U.S. Department of Commerce  
Economic Development Administration  
Philadelphia Regional Office  
Robert Nix Federal Building  
900 Market Street, Room 602  
Philadelphia, PA 19107

RE: Project Commitment and Support

Ms. Beavin,

On behalf of the Northwest Hills Council of Governments (NHCOC) and our economic development colleagues across Connecticut, I thank you for considering our application for CARES Act Recovery Assistance grant funding pursuant to Funding Opportunity Number PWEAA2020 that is designed to help communities prevent, prepare for, and respond to the coronavirus.

As indicated in the State of Connecticut's consolidated Grant Application, entitled "Statewide long-term recovery coordination, resiliency planning, business retention, and business assistance", the NHCOC will be an implementing partner on the Recovery Coordinator portion of the project, working closely with our region's Long-Term Recovery Committee. The work for which we are requesting funding, will contribute to Connecticut's coronavirus pandemic-related economic recovery, and strengthen our resiliency to withstand economic shocks and dislocations associated with future pandemics.

The purpose of this letter is to convey the commitment of NHCOC to work with CRCOG and the other implementing partners to advance the programs contained in this grant application. Though not shown as "match" in the application, I can also assure you that this grant will help us leverage the considerable time and effort put forth by the over one dozen members of our committee who will be working to strengthen our region and the state. Thank you for your consideration.

Sincerely,

Richard M. Lynn, Jr., AICP  
Executive Director



# Lower Connecticut River Valley Council of Governments

145 Dennison Road Essex, CT 06426 | +1 860 581 8554 | [www.rivercog.org](http://www.rivercog.org)

July 28, 2020

Ms. Debra Beavin  
Regional Economic Development Representative  
U.S. Department of Commerce  
Economic Development Administration  
Philadelphia Regional Office  
Robert Nix Federal Building  
900 Market Street, Room 602  
Philadelphia, PA 19107

RE: Lower Connecticut River Valley Council of Governments (RiverCOG) Endorsement and Commitment for Connecticut Statewide EDA Project

Ms. Beavin:

On Wednesday, July 22, 2020 the chief elected officials of the Lower Connecticut River Valley voted unanimously to endorse and commit to the statewide Connecticut application for CARES Act Recovery Assistance grant funding (Funding Opportunity Number PWEAA2020). We thank you for your consideration of our request. RiverCOG believes that our proposal, in cooperation with the Middlesex County Chamber of Commerce and our statewide economic development partners, will help in our region's and state's response and recovery to COVID-19.

As indicated in the State of Connecticut's consolidated grant application, titled "Statewide Long-Term Recovery Coordination, Resiliency Planning, Business Retention, and Business Assistance", RiverCOG will be an implementing partner on the Recovery Coordinator portion of the project, working closely with our region's Long-Term Recovery Committee (which RiverCOG co-chairs). The work for which we are requesting funding, will support our region and contribute to Connecticut's coronavirus pandemic-related economic recovery, and strengthen our resiliency to withstand economic shocks and dislocations associated with future pandemics.

The purpose of this letter is to convey the commitment of RiverCOG to work with Capitol Region Council of Governments (CRCOG) and the other implementing partners to advance the programs contained in this grant application. Though not shown as match in the application, I can also assure you that this grant will help us leverage the considerable time and effort put forth by the over one dozen members of our committee who will be working to strengthen our region and the state, in addition to the overall capacity of RiverCOG to deliver projects.

Sincerely,

Samuel S. Gold, AICP  
Executive Director  
Connecticut Association of Councils of Governments Chair

## RiverCOG

Chester | Clinton | Cromwell | Deep River | Durham | East Haddam | East Hampton | Essex | Haddam | Killingworth | Lyme | Middlefield | Middletown | Old Lyme | Old Saybrook | Portland | Westbrook



**NAUGATUCK VALLEY  
COUNCIL of GOVERNMENTS**

49 Leavenworth Street, 3rd Floor, Waterbury, CT 06702 • 203-757-0535 • 203-735-8688 • nvcogct.org

July 20, 2020

Debra Beavin, Regional Economic Development Representative  
U.S. Department of Commerce  
Economic Development Administration  
Philadelphia Regional Office  
Robert Nix Federal Building  
900 Market Street, Room 602  
Philadelphia, PA 19107

Re: Project Commitment and Support

Ms. Beavin:

On behalf of the Naugatuck Valley Council of Governments (NVCOG) and my economic development colleagues across Connecticut, I thank you for considering our application for CARES Act Recovery Assistance grant funding pursuant to Funding Opportunity Number PWEAA2020 that is designed to help communities prevent, prepare for, and respond to coronavirus.

As indicated in the State of Connecticut's consolidated Grant Application, titled "Statewide long-term recovery coordination, resiliency planning, business retention, and business assistance", NVCOG will be an implementing partner on the Recovery Coordinator portion of the project, working closely with our region's Long-Term Recovery Committee. The work for which we are requesting funding will contribute to Connecticut's coronavirus pandemic-related economic recovery, and strengthen our resiliency to withstand economic shocks and dislocations associated with future pandemics.

The purpose of this letter is to convey the commitment of NVCOG to work with CRCOG and the other implementing partners to advance the programs contained in this grant application. Though not shown as "match" in the application, I can also assure you that this grant will help us leverage the considerable time and effort put forth by the members of our committee, as well as local subcommittees formed, who will be working to strengthen our region and the state.

We also commit to working with the Shelton Economic Development District (SEDC), our local EDD partner, to reposition our Comprehensive Economic Development Strategy (CEDS) and implement its recommendations.

Thank you for your consideration.

Sincerely,

Rick Dunne  
Executive Director



# SOUTHEASTERN CONNECTICUT COUNCIL OF GOVERNMENTS

5 Connecticut Avenue, Norwich, Connecticut 06360  
(860) 889-2324/Fax: (860) 889-1222/Email: [office@seccog.org](mailto:office@seccog.org)

---

22 July 2020

Debra Beavin  
Regional Economic Development Representative  
U.S. Department of Commerce  
Economic Development Administration  
Philadelphia Regional Office  
Robert Nix Federal Building  
900 Market Street, Room 602  
Philadelphia, PA 19107

SUBJECT: Project Commitment and Support

Dear Ms. Beavin:

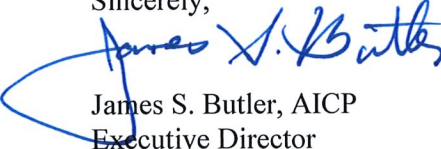
On behalf of the Southeastern Connecticut Council of Governments (SCCOG), composed of 22 municipal Chief Elected Officials, and my economic development colleagues across Connecticut, I thank you for considering the State of Connecticut's consolidate grant application for CARES Act Recovery Assistance grant funding pursuant to Funding Opportunity Number PWEAA2020 that is designed to help communities prevent, prepare for, and respond to coronavirus.

As indicated in this application: "Statewide long-term recovery coordination, resiliency planning, business retention, and business assistance" will be our primary mission if this funding is received. SCCOG will be an implementing partner on the Recovery Coordinator portion of the project, working closely with our region's Long-Term Recovery Steering Committee. The work for which we are requesting funding, will contribute to Connecticut's coronavirus pandemic-related economic recovery, and strengthen our resiliency to withstand economic shocks and dislocations associated with future pandemics.

The purpose of this letter is to convey the commitment of SCCOG to work with CRCOG and the other implementing partners to advance the programs contained in this grant application. Though not shown as "match" in the application, this grant will help us leverage the considerable time and effort put forth by the eighteen members of our steering committee and dozens of persons who will comprise working groups who will be working in this important effort to strengthen our region and the state.

Thank you for your consideration.

Sincerely,



James S. Butler, AICP  
Executive Director

---

**Member Municipalities:**

Bozrah \* Colchester \* East Lyme \* Franklin \* Griswold \* Borough of Jewett City \* City of Groton \* Town of Groton \* Lebanon \* Ledyard \* Lisbon \* Montville \* New London \* North Stonington \* Norwich \* Preston \* Salem \* Sprague \* Stonington \* Stonington Borough \* Waterford \* Windham

*If language assistance is needed, please contact SCCOG at 860-889-2324, [office@seccog.org](mailto:office@seccog.org)*

*Si necesita asistencia lingüística, por favor comuníquese a 860-889-2324, [office@seccog.org](mailto:office@seccog.org)*

*如果您需要语言帮助, 请致电 860-889-2324 或发送电子邮件至 [office@seccog.org](mailto:office@seccog.org)*

# METRO★HARTFORD ALLIANCE

---

Debra Beavin, Economic Development Representative  
U.S. Department of Commerce-EDA  
Philadelphia Regional Office  
Robert N.C. Nix, Sr. Federal Building and U.S. Courthouse  
900 Market Street, Room 602  
Philadelphia, PA 19107

July 13, 2020

RE: MetroHartford Alliance In-Kind Match for EDA - CARES Act grant application

Dear Ms. Beavin:

Please accept this letter as certification that MetroHartford Alliance commits to providing the following scope of work and otherwise non-obligated, eligible match and leverage support for the entire duration of the term for the Alliance's portion of the State of Connecticut Economic Development Administration - CARES Act - Economic Adjustment Assistance (EAA) grant.

PROJECT NAME: MetroHartford Alliance Covid-19 Response to CEDS Implementation

PROJECT APPLICANT: Connecticut Capital Region Council of Governments (CR COG)

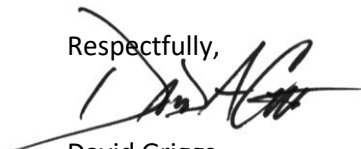
Eligible Comprehensive Economic Development Strategy (CEDS) scope of work proposed include:

- Business retention/expansion and business attraction – Addressing the needs of companies currently in the Hartford region and attracting new businesses to strengthen our economic diversity and lessen the impacts of swings in the economy. (Proposed EDA - EAA funding \$300,000)
- Retaining and attracting talent – Engage, retain, and attract talent with a focus on underserved and underrepresented populations to better meet the needs of the region's employers and to create jobs paying a family living wage. (Proposed EDA - EAA funding \$50,000)
- Branding and promoting the Hartford Region – Telling the Hartford region's story to promote its strengths as a place for companies seeking to grow and expand. (Proposed EDA - EAA funding \$50,000)

Total Proposed EDA CARES Act - EAA grant application amount: \$400,000.

Match Source: Cash and In-kind monies provided to the MetroHartford Alliance from Private Sector contributions. Funding amount fully committed. \$200,000.

Respectfully,



David Griggs  
President and CEO  
MetroHartford Alliance



**Hartford Foundation**  
FOR PUBLIC GIVING

July 28, 2020

Debra Beavin  
Regional Economic Development Representative  
U.S. Department of Commerce  
Economic Development Administration  
Philadelphia Regional Office  
Robert Nix Federal Building  
900 Market Street, Room 602  
Philadelphia, PA 19107

RE: Commitment of match for Connecticut EDA CARES Act Grant Application

Dear Ms. Beavin,

The Hartford Foundation for Public Giving (Hartford Foundation) is pleased to share its commitment to provide a match in the amount of \$125,000 in support of the Capitol Region Council of Government's (CRCoG) statewide EDA CARES Act grant application, titled "Statewide long-term recovery coordination, resiliency planning, business retention, and business assistance."

As the community foundation for the 29-town Greater Hartford region, the Hartford Foundation is dedicated to working with partners to create lasting solutions that result in vibrant communities. In 2019, we launched our new strategic plan, which focuses on addressing the urgent racial, geographic and economic disparities present in our region. The CRCoG application includes an explicit goal of inclusion and equity as core to the assessment, action planning and implementation of the proposed project.

We believe this project will provide much needed information and resources to help Connecticut recover from the unprecedented impact of COVID-19 pandemic and ensure that it is more resilient to future events. We are particularly encouraged by the project's commitment to helping underserved populations and supporting small businesses. We look forward to working with the various statewide public and private partners to ensure its success.

Sincerely,

Jay Williams  
President and CEO  
Hartford Foundation for Public Giving



July 24, 2020

Debra Beavin  
Regional Economic Development Representative  
U.S. Department of Commerce  
Economic Development Administration  
Philadelphia Regional Office  
Robert Nix Federal Building  
900 Market Street, Room 602  
Philadelphia, PA 19107

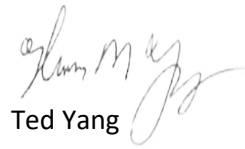
RE: Commitment of match

Ms. Beavin,

I am writing to assure you that 4-CT is committed to providing a match in the amount of \$200,000 in support of the Capitol Region Council of Government's statewide EDA CARES Act grant application, titled "Statewide long-term recovery coordination, resiliency planning, business retention, and business assistance". This match will be in the form of a cash match and will be used to support the work of the Global Resilience Institute. The money we are providing is unencumbered and will be readily available as needed once the project begins, by October 31.

We are excited to support this project which will provide much needed information and resources to help Connecticut recover from this unprecedented disaster. We are particularly encouraged by this project's commitment to help local and regional underserved populations and small businesses plan for the future. Not only does this project have our financial support, but we are committed to working with the various partners to ensure it is a success.

Sincerely,



Ted Yang

Co-Founder and CEO, 4-CT





July 13, 2020

To Ms. Debra Beavin  
U.S. Department of Commerce  
U.S. Economic Development Administration  
Economic Development Representative for CT, MA and RI  
Philadelphia Regional Office  
Robert N.C. Nix Federal Building  
900 Market Street, Room 602  
Philadelphia, PA 19107  
DBeavin@eda.gov

CC Ms. Linda Cruz-Carnall  
U.S. Department of Commerce  
U.S. Economic Development Administration  
Regional Director  
Philadelphia Regional Office  
Robert N.C. Nix Federal Building  
900 Market Street, Room 602  
Philadelphia, PA 19107  
[LCruz-Carnall@eda.gov](mailto:LCruz-Carnall@eda.gov)

Re  
FY 2020 Public Works and Economic Adjustment Assistance Notice of Funding Opportunity (FY20 PWEAA NOFO), Funding Opportunity Number PWEAA2020

Dear Ms. Beavin,

On behalf of AdvanceCT, the Connecticut Department of Community and Economic Development (DECD), and my economic development colleagues across Connecticut, I thank you for considering our application for CARES Act Recovery Assistance grant funding pursuant to Funding Opportunity Number PWEAA2020 that is designed to help communities prevent, prepare for, and respond to coronavirus.

As indicated in the State of Connecticut's consolidated Grant Application (CT Grant Application), AdvanceCT will be the lead implementing partner on three of the programs (AdvanceCT Programs), working in close coordination with the Connecticut Department of Economic and Community Development (DECD) and other Connecticut partner organizations.

The AdvanceCT Programs for which we are requesting funding, will contribute to Connecticut's coronavirus pandemic-related economic recovery, and strengthen our resiliency to withstand economic shocks and dislocations associated with future pandemics.

The purpose of this letter is to convey the commitment of AdvanceCT to match all of the funding requested in the three initiatives with in-kind support and equity.

Implementing high impact and inclusive economic development solutions for Connecticut.

805 Brook Street, Building 4, Rocky Hill, CT 06067 | 860.571.7136 | [advancect.org](http://advancect.org)



### **Regional Business Resource Centers (BRCs)**

**AdvanceCT, DECD and Statewide Partners – In-Kind Match: \$240,000**

For Connecticut's Business Resource Centers (BRCs), AdvanceCT, DECD, and other partners across Connecticut will contribute \$240,000 of in-kind funding in the form of physical infrastructure for the BRCs, strategic development of the program support modules, administrative staffing infrastructure hours, equipment, business services staffing, and other professional business advisory staffing.

### **Business Retention and Expansion (BRE)**

**AdvanceCT and DECD– In-Kind Match: \$60,000**

For Connecticut's Business Retention and Expansion (BRE) program upgrade, AdvanceCT and DECD will contribute \$60,000 in in-kind support in the form of, staffing hours for management of the program, procurement, data compilation, and implementation. AdvanceCT will also provide the management and state-wide administration of the BRE program, including CRM updates and data entry.

### **Reimagining Connecticut's Innovation Corridor (CIC)**

**AdvanceCT, DECD, Universities, Industry Associations – In-Kind Match: \$126,000**

The Reimagining Connecticut's Innovation Corridor (CIC) Initiative will be developed by a group of stakeholders that includes the state's universities and incubators, AdvanceCT, DECD, and other organizations that are active in the state's innovation ecosystem. For the Reimagine CIC Initiative, AdvanceCT and DECD will contribute \$126,000 in in-kind support in the form of staffing. To execute the Reimagine CIC Initiative, staffing hours contributed by AdvanceCT and DECD will include management and event staff, marketing and business development support, mentoring and advisory functions, plus outreach and communication.

Thank you for your consideration. Should you have any questions or require additional information, please do not hesitate to contact me or my team directly.

Best regards,

A handwritten signature in black ink, appearing to read "Peter Denious".

Peter Denious  
President & CEO  
AdvanceCT  
T: 203-912-0204  
E: [pdenious@advancect.org](mailto:pdenious@advancect.org)

Implementing high impact and inclusive economic development solutions for Connecticut.

805 Brook Street, Building 4, Rocky Hill, CT 06067 | 860.571.7136 | [advancect.org](http://advancect.org)



July 15, 2020

Debra Beavin, Economic Development Representative  
U.S. Department of Commerce-EDA  
Philadelphia Regional Office  
Robert N.C. Nix, Sr. Federal Building and U.S. Courthouse  
900 Market Street, Room 602  
Philadelphia, PA 19107

**RE: Greater New Haven Chamber of Commerce Support for CT EDA-CARES Act Application**

Dear Ms. Beavin:

The Greater New Haven Chamber of Commerce strongly supports the EDA-CARES Act grant application for the State of Connecticut and specifically the portion that supports the New Haven region through REX Development (a.k.a. South Central Connecticut Regional Economic Development Corporation.) Our organization has agreed to partner with REX Development in order to leverage private sector dollars to advance economic recovery and growth in our region.

The Chamber represents more than 1200 businesses across New Haven and our region. Before the pandemic hit, the New Haven Region's economy was on the rise and we were seeing growth across many sectors. We believe that the New Haven Region can recapture that growth trend, but it will take support and resources. If this grant is awarded, we will work closely with REX, the South Central Regional Council of Governments, and other state and local organizations to improve and expand the delivery of economic development services in our region.

The Chamber staff was part of the creation of our region's CEDS report and now conducts economic development activities that are in line with this plan. During the pandemic, the Chamber has focused on business assistance through resources and technical support. By partnering on this EDA grant we will be able to expand this program as well as increase the reach of our traditional business retention and expansion program that we currently co-coordinate with REX Development. That program has achieved more than 70 business outreach visits in less than a year and several expansion leads. It has also identified areas of need in managing talent pipelines and creating more opportunity for minority-owned businesses and minority entrepreneurs – both efforts that are included in this grant application. The Chamber's private funding investments in these areas will exceed \$200,000 over the next two years.

Thank you for your consideration of this proposal. Please contact me if you have any questions at [gshEEhan@gnhcc.com](mailto:gshEEhan@gnhcc.com).

Sincerely,

Garrett Sheehan  
President & CEO

BETHANY | BRANFORD | CHESHIRE | EAST HAVEN | GUILFORD | HAMDEN | MADISON | MILFORD  
NEW HAVEN | NO. BRANFORD | NO. HAVEN | ORANGE | WALLINGFORD | WEST HAVEN | WOODBRIDGE

900 CHAPEL STREET, 10<sup>TH</sup> FLOOR | NEW HAVEN, CT 06510 | TEL. (203) 787-6735 | FAX (203) 782-4329 | [GNHCC.COM](http://GNHCC.COM)



**Melissa McCaw**  
Secretary

**Konstantinos Diamantis**  
Deputy Secretary

## STATE OF CONNECTICUT

### OFFICE OF POLICY AND MANAGEMENT

July 31, 2020

Ms. Debra Beavin  
Regional Economic Development Representative  
U.S. Department of Commerce  
Economic Development Administration  
Philadelphia Regional Office  
Robert Nix Federal Building  
900 Market Street, Room 602  
Philadelphia, PA 19107

RE: Project support from the Connecticut Office of Policy and Management

Ms. Beavin,

On behalf of the Connecticut Office of Policy and Management (OPM) I thank you for considering the statewide application for CARES Act Recovery Assistance grant funding pursuant to Funding Opportunity Number PWEAA2020 that is designed to help communities prevent, prepare for, and respond to coronavirus.

As indicated in the State of Connecticut's consolidated Grant Application, title "Statewide long-term recovery coordination, resiliency planning, business retention, and business assistance," the State of Connecticut is committed to providing \$1.25 million of the state's allocation of CRF to the first phase of the work being done by the Global Resilience Institute (GRI) at Northeastern University. This work will begin on September 1 to develop resilience baselines in collaboration with the nine CT Councils of Governments (COGs) for the five DEMHS districts of the State. The application highlights that as a part of this pre-cursor project, the GRI team will define which aspects of its Integrated-Resilience Enhancement Solution (I-RES) methodology are required to enable long-term equitable and sustainable recovery and resilience building and which tools, products, and approaches will enable CT municipalities to assess baseline economic and social conditions affecting resilience.

**450 Capitol Avenue · Hartford, Connecticut 06106-1379**  
**[www.ct.gov/opm](http://www.ct.gov/opm)**

OPM understands the importance of this work and is committed to providing these funds to help jumpstart the process. We also wish to convey that it is equally important that this project receive continued funding so that this important work can be completed. This project has OPM's full support.

Thank you for your consideration.

Sincerely,

A handwritten signature in blue ink, appearing to read "Melissa McCaw", with a long horizontal flourish extending to the right.

Melissa McCaw  
Secretary, Office of Policy and Management



July 29, 2020

Debra Beavin  
Regional Economic Development Representative  
U.S. Department of Commerce  
Economic Development Administration  
Philadelphia Regional Office  
Robert Nix Federal Building  
900 Market Street, Room 602  
Philadelphia, PA 19107

Dear Ms. Beavin:

I write to express my personal support and that of the Connecticut Health Foundation that I lead for the proposed effort outlined in the Capitol Region Council of Government's statewide EDA CARES Act grant application, titled "Statewide long-term recovery coordination, resiliency planning, business retention, and business assistance."

Through this letter, we confirm that we believe that this project will provide tangible benefits to the communities of color we serve and the state of Connecticut. The project approach is inclusive ensuring equity is practiced in the planning process and is reflected as it works to catalyzes diversity in economic development, increases the competitiveness of applications to attract both public sector funding and private sector investment, and illuminates resilience considerations for the community. We strongly support this grant application and the focus it has on uniting social impact-oriented efforts with the economic development outcomes that are so critical to Connecticut's economic recovery from the COVID-19 emergency.

We are prepared to directly support this project with a commitment to work with the various partners to ensure that the voices and needs of underserved populations and people of color are incorporated into Connecticut's recover from this unprecedented disaster. We embrace the overarching goal of the project of ensuring that our communities and the state become more resilient to future events.

Sincerely,

A handwritten signature in black ink that reads "Patricia Baker".

Patricia Baker  
President and CEO  
Connecticut Health Foundation





July 29, 2020

Ms. Debra Bevin  
Regional Economic Development Representative  
United States Department of Commerce  
Economic Development Administration  
Robert Nix Federal Building  
900 Market Street, Room 602  
Philadelphia, Pennsylvania 19107

Re: **State of Connecticut EDA CARES Act Grant Application**

Dear Ms. Bevin,

I am writing to express the Bridgeport Regional Business Council's (BRBC) support of the "*Statewide Long-Term Recovery Coordination, Resiliency Planning, Business Retention, and Business Assistance*" application under the EDA CARES Act Recovery Assistance Program.

The Bridgeport Regional Business Council represents the economic and business interests of the Greater Bridgeport Region. Our mission is to increase the economic opportunities for our residents by creating an environment for enhanced business recruitment, retention, and expansion that results in jobs and tax base growth. Many of these efforts have been impeded by the COVID-19 pandemic, resulting in short and long-term economic uncertainties that impact our residents, businesses, non-profits, and local governments. In the face of these unprecedented circumstances, there is a need for ongoing local, regional, and statewide planning and coordination efforts on economic response, recovery, and resiliency. An investment from the United States

---

**BRIDGEPORT REGIONAL BUSINESS COUNCIL (BRBC) | WHERE COMMERCE & COMMUNITY CONNECT**

**CHAMBERS OF COMMERCE:** Bridgeport, Stratford, and Trumbull

**LEADERSHIP PROGRAMS|NETWORKING GROUPS:** Business Referral Network (BRN) | CEO Peer Group | Leadership Greater Bridgeport

**THRIVE:** Emerging Leaders | Women's Leadership Network

**ECONOMIC DEVELOPMENT AFFILIATE:** Bridgeport Economic Development Corporation

10 MIDDLE STREET, 14<sup>FL</sup> | BRIDGEPORT, CT 06604 | P: 203.335.3800 | F: 203.366.0105 | [www.brbc.org](http://www.brbc.org)

Economic Development Administration will allow us to partner with our local economic development officials, regional organizations, state agencies and other stakeholders to increase the retention and recruiting activities for businesses, with a focus on Disaster Recovery. In addition, the BRBC will also be able to utilize an existing partnership with local universities, community colleges and other private and non-profit partnerships to build a talent pipeline process to retrain those impacted by COVID-19.

The BRBC is fully supportive and committed to the economic response and recovery across Connecticut. We look forward to collaborating with our institutional partners, such as the Councils of Governments, the Regional Chambers of Commerce, the Department of Economic & Community Development, and others to provide a level of constructive regional and statewide coordination in dealing with COVID-19.

Should you have any questions or require any additional information please contact me at 203-335-3800 or [onofrio@brbc.org](mailto:onofrio@brbc.org).

Sincerely,



Dan Onofrio

President & Chief Executive Officer

---

**BRIDGEPORT REGIONAL BUSINESS COUNCIL (BRBC) | WHERE COMMERCE & COMMUNITY CONNECT**

**CHAMBERS OF COMMERCE:** Bridgeport, Stratford, and Trumbull

**LEADERSHIP PROGRAMS|NETWORKING GROUPS:** Business Referral Network (BRN) | CEO Peer Group | Leadership Greater Bridgeport

**THRIVE:** Emerging Leaders | Women's Leadership Network

**ECONOMIC DEVELOPMENT AFFILIATE:** Bridgeport Economic Development Corporation

10 MIDDLE STREET, 14<sup>FL</sup> | BRIDGEPORT, CT 06604 | P: 203.335.3800 | F: 203.366.0105 | [www.brbc.org](http://www.brbc.org)



July 20, 2020

Ms. Debra Beavin  
Regional Economic Development Representative  
U.S. Department of Commerce  
Economic Development Administration  
Philadelphia Regional Office  
Robert Nix Federal Building  
900 Market Street, Room 602  
Philadelphia, PA 19107

RE: Project Commitment and Support

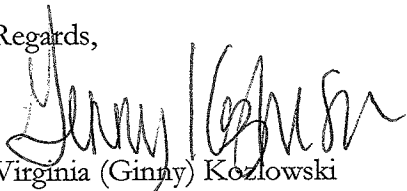
Ms. Beavin,

On behalf of South Central Connecticut Regional Economic Development Corp., AKA Rex Development, and my economic development colleagues across Connecticut, I thank you for considering our application for CARES Act Recovery Assistance grant funding pursuant to Funding Opportunity Number PWEAA2020 that is designed to help communities prevent, prepare for, and respond to coronavirus.

As indicated in the State of Connecticut's consolidated Grant Application, title "Statewide long-term recovery coordination, resiliency planning, business retention, and business assistance", South Central Connecticut Regional Economic Development Corp., AKA Rex Development, will be an implementing partner on the CEDS Implementation portion of the project. The work for which we are requesting funding, will contribute to Connecticut's coronavirus pandemic-related economic recovery, and strengthen our resiliency to withstand economic shocks and dislocations associated with future pandemics.

The purpose of this letter is to convey the commitment of South Central Connecticut Regional Economic Development Corp., AKA Rex Development, to work with CRCOG and the other implementing partners to advance the programs contained in this grant application. Though not shown as "match" in the application, I can also assure you that this grant will help us leverage the considerable time and effort put forth by the over one dozen members of our committee who will be working to strengthen our region and the state.

Thank you for your consideration.

Regards,  
  
Virginia (Ginny) Kozlowski  
Executive Director

PO Box 1576 New Haven, CT 06516  
T 203 821 3682 [www.rexdevelopment.org](http://www.rexdevelopment.org)

BETHANY BRANFORD EAST HAVEN GUILFORD HAMDEN MADISON MERIDEN MILFORD  
NEW HAVEN NORTH BRANFORD NORTH HAVEN ORANGE WALLINGFORD WEST HAVEN WOODBRIDGE



393 Main Street, Middletown, CT 06457-3309 • 860-347-6924 • Fax 860-346-1043  
<http://www.middlesexchamber.com>

Established  
1895

Chester • Cromwell • Deep River • Durham • East Haddam • East Hampton • Essex • Haddam • Middlefield • Middletown • Portland • Westbrook

July 23, 2020

Debra Beavin  
Regional Economic Development Representative  
U.S. Department of Commerce, Economic Development Administration  
Philadelphia Regional Office  
Robert Nix Federal Building  
900 Market Street, Room 602  
Philadelphia, PA 19107

RE: Project Commitment and Support

Dear Ms. Beavin,

I am writing today on behalf of the Middlesex County Chamber of Commerce, a dynamic business organization with over 2,175 members that employ over 50,000 people. Our chamber represents businesses of all sizes and from all industry sectors, from Fortune 500 companies, to micro businesses, and we are committed to promoting a positive business climate in Middlesex County, Connecticut. In addition to being an effective and dynamic business organization, our chamber strives to be a strong community organization. We run a number of events and programs that support workforce development, youth development, substance abuse prevention, our veterans, and much more.

The reason for my letter today, is to encourage the Economic Development Administration to support Connecticut's application for CARES Act Recovery Assistance grant funding, pursuant to Funding Opportunity Number PWEAA2020, that is designed to help communities prevent, prepare for, and respond to the COVID-19 crisis.

As indicated in the State of Connecticut's consolidated Grant Application, titled "Statewide long-term recovery coordination, resiliency planning, business retention, and business assistance", the Middlesex County Chamber of Commerce will be an implementing partner on the Recovery Coordinator portion of the project, working closely with our region's Long-Term Recovery Committee. The work for which we are requesting funding will contribute to Connecticut's coronavirus pandemic-related economic recovery, and will strengthen our resilience to withstand economic shocks and dislocations associated with future pandemics.

In addition to conveying the strong support of our chamber, this letter affirms our commitment to work with the Lower Connecticut Valley Council of Governments (RiverCOG), and other implementing partners, to advance the programs contained in this grant application. Though not shown as "match" in the application, I can also assure you that this grant will help us leverage the considerable time and effort put forth by the many members of our committee who are, and will continue to be, working to strengthen our region and the state.

In closing, I would like to reiterate the Middlesex County Chamber of Commerce's strong support of, and commitment to, this grant application.

Thank you for your continued public service, and best wishes to you and your family.

Sincerely,

  
Larry McHugh  
President





# Northeastern University Research Enterprise Services

## Statement of Intent

July 31, 2020

Capital Region Council of Governments

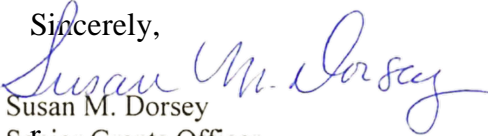
[tmalone@crcog.org](mailto:tmalone@crcog.org)

RE: Northeastern University Subcontract Proposal  
Project Title: Connecticut Implementation of Resilience-based Long-Term Recovery Planning

This letter is to acknowledge that Northeastern University is in support of the above referenced subcontract proposal to Capital Region Council of Governments for its submission to the United States Economic Development Administration. Northeastern University's proposed effort will be led by Dr. Steven Flynn. The period of performance is estimated to begin on December 1, 2020 and end on November 30, 2022 and the total estimated budget for the 2 year effort is \$2,000,000.

In the event that this application is selected for funding, Northeastern University reserves the right to negotiate terms and conditions appropriate for a non-profit research and educational institution. Furthermore, the University will be conducting fundamental research and therefore expects that it will be exempt from publication restrictions or controls.

Sincerely,

  
Susan M. Dorsey  
Senior Grants Officer



**Northeastern University  
Research Enterprise Services**

177 Huntington Avenue | Boston, MA 02115

Tel 617.373.3874 - [s.dorsey@northeastern.edu](mailto:s.dorsey@northeastern.edu)

Central Tel. 617.373.5600 - Fax 617.373.4595 [NU-RES@northeastern.edu](mailto:NU-RES@northeastern.edu)

500-177, 360 Huntington Avenue, Boston, MA 02115-5000

**Telephone:** (617) 373-5600 **Fax:** (617) 373-4595 **E-mail:** [NU-RES@northeastern.edu](mailto:NU-RES@northeastern.edu)



PI: Flynn, White, Anderson

Awarding Agency: EDA/CRCOG

RA Log#:

Award #:

Banner #: G - 0000

Fund/Account #:

Research Admin. Contact: Susan Dorsey

Research Finance Contact: Mai Nguyen

Obligation Period:

Start Date

End Date

12/1/2020

11/30/2022

| KEY PERSONNEL  | ROLE   | INST. BASE SALARY | DHHS Salary Cap (Y/N) | SALARY Amount Requested | 8 Acd Mos - 61010      | 3.2 Sum Mos - 61013 | 12 Mos Appt | FT/PT FTE | FRINGE BENEFITS - 62113 | TOTAL SALARY PLUS FRINGE |  |  |  |  |  |  |
|--|--|-------------------|-----------------------|-------------------------|------------------------|---------------------|-------------|-----------|-------------------------|--------------------------|--|--|--|--|--|--|
| Stephen Flynn  | PI   | \$380,240         | Y                     | \$92,510                | 2                      |                     |             | FT        | \$23,128                | \$115,638                |  |  |  |  |  |  |
| Robin White  | Co-PI  | \$206,470         | Y                     | \$172,058               |                        |                     | 10          | FT        | \$43,015                | \$215,073                |  |  |  |  |  |  |
| Phil Anderson  | Co-PI  | \$211,284         | Y                     | \$70,428                |                        |                     | 4           | FT        | \$17,607                | \$88,035                 |  |  |  |  |  |  |
|  | Co-PI  |                   |                       | \$0                     |                        |                     |             | FT        | \$0                     | \$0                      |  |  |  |  |  |  |
|  | Other  |                   |                       | \$0                     |                        |                     |             | FT        | \$0                     | \$0                      |  |  |  |  |  |  |
| Other Personnel  |  |                   |                       |                         |                        |                     |             |           |                         |                          |  |  |  |  |  |  |
| CJ Grasso  | Program Manager                              | \$75,000          |                       | \$25,000                |                        |                     | 4           |           | \$6,250                 | \$31,250                 |  |  |  |  |  |  |
| TBD  | Research Project Specialist                  | \$56,000          |                       | \$28,000                |                        |                     | 6           |           | \$7,000                 | \$35,000                 |  |  |  |  |  |  |
| Carlisle Dockery                                       | Engagement Coordinator                       | \$53,000          |                       | \$26,500                |                        |                     | 6           |           | \$6,625                 | \$33,125                 |  |  |  |  |  |  |
| Liz Allen  | Post-Doc                                     | \$60,000          |                       | \$45,000                |                        |                     | 9           |           | \$11,250                | \$56,250                 |  |  |  |  |  |  |
| Larissa Pacheco  | Post-Doc                                     | \$54,000          |                       | \$40,500                |                        |                     | 9           |           | \$10,125                | \$50,625                 |  |  |  |  |  |  |
| Caroline Crawford                                      | Communications Manager                       | \$84,000          |                       | \$21,000                |                        |                     | 3           |           | \$5,250                 | \$26,250                 |  |  |  |  |  |  |
| Student/TNS Personnel                                  |  |                   |                       |                         |                        |                     |             |           |                         |                          |  |  |  |  |  |  |
| Mel Bernstein  | Sr. Personnel                                | \$119,995         |                       | \$22,499                |                        |                     | 2.25        |           | \$1,721                 | \$24,220                 |  |  |  |  |  |  |
| Hyeonji Choi   | Engagement Coordinator                       | \$56,000          |                       | \$28,000                |                        |                     | 6           |           | \$2,142                 | \$30,142                 |  |  |  |  |  |  |
| TBD  | Graduate RA                                  | \$39,520          |                       | \$39,520                |                        |                     | 12          |           | \$3,023                 | \$42,543                 |  |  |  |  |  |  |
| TBD  | Graduate RA                                  | \$39,520          |                       | \$19,760                |                        |                     | 6           |           | \$1,512                 | \$21,272                 |  |  |  |  |  |  |
| TBD  | Undergraduate RA                             | \$35,360          |                       | \$35,360                |                        |                     | 12          |           | \$2,705                 | \$38,065                 |  |  |  |  |  |  |
| TBD  | Undergraduate RA                             | \$35,360          |                       | \$17,680                |                        |                     | 6           |           | \$1,353                 | \$19,033                 |  |  |  |  |  |  |
| Total Salary   |  |                   |                       | \$683,815               | Total Fringe           |                     |             |           | \$142,705               |                          |  |  |  |  |  |  |
| Personnel Costs  |  |                   |                       |                         |                        |                     |             |           |                         | \$826,520                |  |  |  |  |  |  |
| CONSULTANT COSTS - Professional Services Other - 74800 |  |                   |                       |                         |                        |                     |             |           |                         |                          |  |  |  |  |  |  |
| Name:  | Warren Edwards                               |                   |                       |                         |                        |                     | \$100,000   |           |                         |                          |  |  |  |  |  |  |
| Name:  | Scott Pickens                                |                   |                       |                         |                        |                     | \$50,000    |           |                         |                          |  |  |  |  |  |  |
| Name:  | John Plodinec                                |                   |                       |                         |                        |                     | \$10,000    |           |                         |                          |  |  |  |  |  |  |
| Name:  | 0  |                   |                       |                         |                        |                     | \$0         |           |                         |                          |  |  |  |  |  |  |
| Name:  | 0  |                   |                       |                         |                        |                     | \$0         |           |                         |                          |  |  |  |  |  |  |
| Consultant Costs                                       |  |                   |                       |                         |                        |                     |             |           |                         | \$160,000                |  |  |  |  |  |  |
| Capital EQUIPMENT (Greater than \$5000)                |  |                   |                       |                         |                        |                     |             |           |                         |                          |  |  |  |  |  |  |
| 73003 - Lab  |  |                   |                       |                         |                        |                     | \$0         |           |                         |                          |  |  |  |  |  |  |
| 73005 - Computers over 5K                              |  |                   |                       |                         |                        |                     | \$0         |           |                         |                          |  |  |  |  |  |  |
| 73012 - Fabricated Equip.                              |  |                   |                       |                         |                        |                     | \$0         |           |                         |                          |  |  |  |  |  |  |
| Capital Equipment                                      |  |                   |                       |                         |                        |                     |             |           |                         | \$0                      |  |  |  |  |  |  |
| Other Direct Costs                                     |  |                   |                       |                         |                        |                     |             |           |                         |                          |  |  |  |  |  |  |
| 74517 Tuition  | Excluded from base                           |                   |                       |                         |                        |                     | \$0         |           |                         |                          |  |  |  |  |  |  |
| 73066 Computer Software                                |  |                   |                       |                         |                        |                     | \$0         |           |                         |                          |  |  |  |  |  |  |
| 73301 Office Supplies                                  |  |                   |                       |                         |                        |                     | \$0         |           |                         |                          |  |  |  |  |  |  |
| 73820 Maintenance                                      |  |                   |                       |                         |                        |                     | \$0         |           |                         |                          |  |  |  |  |  |  |
| 73304 Scientific Supplies                              | Computer Hardware                            |                   |                       |                         |                        |                     | \$8,500     |           |                         |                          |  |  |  |  |  |  |
| Other Direct Costs                                     |  |                   |                       |                         |                        |                     |             |           |                         | \$8,500                  |  |  |  |  |  |  |
| TRAVEL - 73021   |  |                   |                       |                         |                        |                     |             |           |                         |                          |  |  |  |  |  |  |
| Domestic Travel - 73021                                |  |                   |                       |                         | Foreign Travel - 73030 |                     |             |           |                         |                          |  |  |  |  |  |  |
| \$5,935  |  |                   |                       |                         | \$0                    |                     |             |           |                         |                          |  |  |  |  |  |  |
| Travel   |  |                   |                       |                         |                        |                     |             |           |                         | \$5,935                  |  |  |  |  |  |  |
| PARTICIPANT SUPPORT COSTS                              |  |                   |                       |                         |                        |                     |             |           |                         |                          |  |  |  |  |  |  |
| 74600 - Stipends                                       |  |                   |                       |                         |                        |                     | \$0         |           |                         |                          |  |  |  |  |  |  |
| 74601 - Other  |  |                   |                       |                         |                        |                     | \$0         |           |                         |                          |  |  |  |  |  |  |
| Number of Participants:                                |  |                   |                       |                         |                        |                     | 0           |           | Part. Support Costs     | \$0                      |  |  |  |  |  |  |
| CONSORTIUM/SUB-AWARD COSTS - 78501/78502/78503/78504   |  |                   |                       |                         |                        |                     | Direct Cost | F&A       | Total                   | Previous Obligation      |  |  |  |  |  |  |
| 1st Sub Institution                                    | MCCHRYSTAL                                   |                   |                       |                         |                        |                     | \$250,000   | \$0       | \$250,000               | \$0                      |  |  |  |  |  |  |
| 2nd Sub Institution                                    | Applied Research Assoc. (ARA) - Howard Smith |                   |                       |                         |                        |                     | \$150,000   | \$0       | \$150,000               | \$0                      |  |  |  |  |  |  |
| 3rd Sub Institution                                    |  |                   |                       |                         |                        |                     | \$0         | \$0       | \$0                     | \$0                      |  |  |  |  |  |  |
| 4th Sub Institution                                    |  |                   |                       |                         |                        |                     | \$0         | \$0       | \$0                     | \$0                      |  |  |  |  |  |  |
| Sub-Awards   |  |                   |                       |                         |                        |                     |             |           |                         | \$400,000                |  |  |  |  |  |  |
| * To be completed by ORAF Grants Officer               |  |                   |                       |                         |                        |                     |             |           |                         |                          |  |  |  |  |  |  |
| COMMITTED COST-SHARE                                   | Type   | Percent           | Source                | Account                 |                        |                     |             |           |                         |                          |  |  |  |  |  |  |
| TOTAL Cost-Share                                       | Voluntary Committed                          | 16%               | HFPG/ 4CT             |                         |                        |                     |             |           |                         |                          |  |  |  |  |  |  |
| \$325,000.00   |  | 0%                | PI/Salary Reduction   |                         |                        |                     |             |           |                         |                          |  |  |  |  |  |  |
|  |  | 0%                | Select College        |                         |                        |                     |             |           |                         |                          |  |  |  |  |  |  |
| Underrecovery of F&A                                   |  | 0%                | Select College        |                         |                        |                     |             |           |                         |                          |  |  |  |  |  |  |
| \$0  |  |                   |                       |                         |                        |                     |             |           |                         |                          |  |  |  |  |  |  |
|  | IDC Base                                     | MTDC              | \$1,050,955           |                         |                        |                     |             |           |                         |                          |  |  |  |  |  |  |
| Adjusted for first \$25,000 on Subawards               |  |                   |                       |                         |                        |                     |             |           |                         |                          |  |  |  |  |  |  |

GRI\_CT Project (EDA) Budget 7.30.2020 FINAL

7/30/2020

PREVIEW Date: Aug 03, 2020

Workspace ID: WS00541253 Funding Opportunity Number: PWEEA2020